

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pechman, Marsha J.	2. Court or Organization U.S. District Court	3. Date of Report 05/08/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III judge - active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 700 Stewart Street, Room 14229 Seattle, WA 98101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Secretary-Treasurer, Board of Trustees	Justice Advocacy Africa
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1/1/99	Public Employees Retirement System, State of Washington (retirement benefit plan)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Preg O'Donnell & Gillett
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Justice Advocacy Africa	August 16 - 27, 2013	Nairobi, Kenya	Trial skills training for African lawyers	Airfare
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Visa	Credit card	J
2.	Capital One	Credit card	J
3.	Preg, O'Donnell & Gillett PLLC Profit Sharing Plan	Loan	K
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1	G	Int./Div.	O	U					
2. -- Bank Dep Sweep Opt (Wells Fargo money market) acct)									
3. -- Dodge & Cox Income (DODIX)									
4. -- Emerson Electric (EMR)									
5. -- Exxon/Mobil Corp. (XOM)									
6. -- General Electric (GE)					Sold	7/6/13	K	A	
7. -- Harbor Bond Fund (HABDX)									
8. -- Harris Assoc Inv Tr Oakmark Intl Fund (OAKIX)									
9. -- Harris Assoc Inv Tr - Oakmark Eq&Inc Fund (OAKBX)									
10. -- Heartland Value Plus Institutional Fund (HNVIX)					Sold	7/16/13	J	A	
11. -- Johnson & Johnson (JNJ)									
12. -- Managers AMG Funds					Buy (add'l)	10/23/13	J		
13. -- Pioneer Cullen Value Fund Cl Y (CVFYX)									
14. -- Prof Mngd Fund Portfolio - Ostweis Funds (OSTFX)									
15. -- Scout Funds - Mid Cap Fund (UMBMX)					Buy	10/23/13	K		
16. -- Sterling Cap Funds (BOPIX)									
17. -- Target (TGT)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -- Touchstone Instl Funds (CISGX)					Buy (add'l)	10/23/13	J		
19. -- T Rowe Price Growth Stock Fund Inc. (PRGFX)					Buy (add'l)	7/16/13	K		
20. -- T Rowe Price Pers Strategy Funds Inc. (TRSGX)					Buy (add'l)	10/23/13	J		
21. -- Vanguard Bond Index Sh Term Port Fund - Inv (VBISX)									
22. -- Vanguard Dividend Apprec (VIG)					Buy	7/16/13	K		
23. -- Vanguard Short Term Bond Index (VBSSX)									
24. -- Vanguard Short Term Corp Fund - Adm (VFSUX)					Sold (part)	7/17/13	K	A	
25.					Sold (part)	10/23/13	K	A	
26. -- Vanguard Short Term - Treas Admiral (VFIRX)									
27. -- Wells Fargo & Co.									
28.									
29. IRA #2	E	Int./Div.	N	U					
30. -- Vanguard Balanced Index Fund Inv (VBINX)	A	Int./Div.							
31. -- Vanguard Inflation- Protected Sec Adm(VAIPX)	A	Int./Div.			Sold (part)	3/27/13	J	A	
32. -- Vanguard Prime Money Mkt Fund (VMMXX)	A	Int./Div.							
33. -- Vanguard Total Bond Mkt Index - Admiral (VBTLX)	A	Int./Div.			Sold (part)	3/28/13	J	A	
34. -- Vanguard Total Int'l Stock Index Admiral (VTIAX)	A	Int./Div.							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -- Vanguard Total Stk Mkt Index Fund - Adm (VTSAX)	B	Int./Div.							
36. -- Vanguard Wellington Fund Admiral (VWENX)	B	Int./Div.			Sold (part)	12/26/13	J	A	
37.									
38. Wm. Fitzharris 401K	A	Int./Div.	L	U					
39. -- American Funds Europacific R4					Buy (add'l)	12/27/13	J		
40.					Sold (part)	12/19/13	J	A	
41. -- Blackrock Mid Cap Value Inst					Buy (add'l)	4/22/13	J		
42.					Sold (part)	4/24/13	J	A	
43. -- Columbia Acorn					Buy (add'l)	4/24/13	J		
44.					Sold (part)	12/19/13	J	A	
45. -- DFA U.S. Large Cap Value					Buy (add'l)	1/3/13	J		
46.					Sold (part)	12/19/13	J	A	
47. -- DFA U.S. Small Cap Value					Buy (add'l)	1/3/13	J		
48.					Sold (part)	12/19/13	J	A	
49. -- JHancock3 Displin Val Mid Cap					Buy	4/23/13	J		
50.					Buy (add'l)	12/17/13	J		
51.					Sold (part)	12/19/13	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -- Morley Stable Value Fund					Buy (add'l)	1/3/13	J		
53.					Sold (part)	12/19/13	J	A	
54. -- Oppenheimer Developing Mkts Y					Buy	6/21/13	J		
55.					Sold (part)	12/19/13	J	A	
56. -- Pimco Real Return Adm					Buy	6/21/13	J		
57.					Sold (part)	12/19/13	J	A	
58. -- T Rowe Price New American Growth					Buy (add'l)	1/3/13	J		
59.					Sold (part)	12/19/13	J	A	
60. -- Vanguard 500 Index Signal					Buy (add'l)	1/3/13	J		
61.					Sold (part)	12/19/13	J	A	
62. -- Vanguard Inter Bond Index Signal					Buy (add'l)	1/3/13	J		
63.					Sold (part)	12/19/13	J	A	
64. -- Vanguard Mid Cap Index Signal					Buy (add'l)	1/3/13	J		
65.					Sold (part)	12/19/13	J	A	
66. -- Vanguard Small Cap Growth Admiral					Buy (add'l)	1/3/13	J		
67.					Sold (part)	12/19/13	J	A	
68. -- Vanguard Small Cap Index Signal					Buy (add'l)	1/3/13	J		

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69.					Sold (part)	12/19/13	J	A	
70. -- Virtus Real Estate Fund I					Buy (add'l)	1/3/13	J		
71.					Sold (part)	12/19/13	J	A	
72. -- Westcore Select					Buy (add'l)	1/3/13	J		
73.					Sold (part)	4/24/13	J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Marsha J. Pechman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544