

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HART, JACOB P.	2. Court or Organization EASTERN DISTRICT OF PA.	3. Date of Report 05/15/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full Time recalled U.S. Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Room 3006 U.S. Courthouse 601 Market St. Philadelphia, PA 19106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

HART, JACOB P.

Date of Report

05/15/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

Self Employed Fiction Writer. Book Publisher. Sole owner of Springstreet Books.

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

HART, JACOB P.

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05/15/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

HART, JACOB P.

Date of Report

05/15/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. DAVIS OF NEW YORK MUTUAL FUND	D	Dividend	K	T					
2. BLACKROCK WORLD INVESTMENT TRUST	A	Dividend	J	T					
3. CITIBANK BANK DEPOSIT PROGRAM	A	Interest	J	T					
4. EATON VANCE DIV. EQUITY INCOME FUND	B	Dividend	J	T					
5. EATON VANCE TAX-MANAGED GLOBAL INCOME FUND	A	Dividend			Sold	12/20/16	K	A	
6. AB GLOBAL GOVERNMENT INCOME	A	Dividend	J	T					
7. IVY ASSET STRATEGY FUND	B	Dividend			Sold	12/20/16	K	A	
8. LOOMIS SAYLES STRATEGIC INCOME	B	Dividend	K	T					
9. DELAWARE TAX FREE PENNSYLVANIA FUND	C	Dividend	M	T					
10. BLACKROCK CREDIT ALL INC TR IV	A	Dividend	K	T					
11. ALPINE ULT SHT TX OPT INC INV	C	Dividend	O	T	Sold (part)	02/22/16	M	A	
12. MAINSTAY SHT TRM HI YLD	C	Dividend	L	T					
13. NEUBERGER BERMAN STR INC INST	B	Dividend	K	T					
14. NORTHSTAR REALTY FNC		None	K	T	Buy	11/17/16	K		
15. BLACKROCK LARGE CAP VALUE		None	L	T	Buy	12/23/16	L		
16. BLACKROCK LARGE CAP GROWTH	D	Dividend	M	T	Buy	02/24/16	L		
17. JP MORGAN GROWTH ADVANTAGE		None	M	T	Buy	02/24/16	L		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

HART, JACOB P.

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05/15/2017

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18. CITIGROUP IRA IRA (H) (assests below)									
19. DEUTSCHE CROCI EQ DIV A)	B	Dividend	L	T					
20. --AB GLOBAL GOVERNMENT INC.	C	Dividend	L	T					
21. --BLACKROCK GLOBAL ALLOCATION FUND	C	Dividend	K	T					
22. --COLUMBIA MARSICO 21ST CENTURY FUND		None	K	T					
23. --LOOMIS SAYLES STRATEGIC INCOME	D	Dividend	N	T					
24. --EATON VANCE GLOBAL MACRO	C	Dividend	L	T					
25. --ING GROEP NV	C	Dividend	K	T					
26. --NORTHSTAR REALTY FNC	C	Dividend	K	T					
27. --NEUBERGER BERMAN STR INC INST	B	Dividend	L	T					
28. --MS LIQUID ASSET FUND	A	Dividend	J	T					
29. CLEARBRIDGE SMALL CAP GROWTH FUND	A	Dividend	J	T					
30. --CG CAPITAL MARKETS CORE FIXED INCOME - TIU	C	Dividend	L	T	Sold (part)	03/16/16	K	A	
31. --CG CAPITAL MARKETS LARGE CAP VALUE - TLVU	C	Dividend			Sold	01/27/16	J	A	
32. --CG CAPITAL MARKETS SMALL CAP VALUE - TSVU	A	Dividend			Sold	01/27/16	K	A	
33. --CG CAPITAL MARKETS HIGH YIELD - THYU	B	Dividend	L	T	Buy (add'l)	04/27/16	J		
34. --CG CAPITAL MARKETS EMERGING MARKETS - TEMU	A	Dividend	L	T	Buy (add'l)	07/20/16	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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HART, JACOB P.

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35. --CG CAPITAL MARKETS INTERNATIONAL FIXED INCOME - TIFU	A	Dividend	K	T					
36. --CG CAPITAL MARKETS INTERNATIONAL EQUITY - TIEU	C	Dividend	M	T	Sold (part)	07/15/16	K	A	
37. --CG CAPITAL MARKETS LARGE CAP GROWTH - TLGU	D	Dividend	M	T					
38. --CG CAPITAL MARKETS SMALL CAP GROWTH - TSGU	B	Dividend	L	T	Buy (add'l)	07/20/16	J		
39. CG GR INFL LKD - TILU	A	Dividend	K	T	Buy	03/16/16	K		
40. CG ULTRA SHT TERM - TSDU	A	Dividend	J	T	Buy	03/16/16	J		
41. --PIMCO DYNAMIC INCOME FUND	E	Dividend	M	T					
42. CITIZENS BANK MONEY MARKET ACCOUNTS	A	Interest	L	T					
43. CITIGROUP SEP IRA assets listed below									
44. --GOLDMAN SACHS CAPITAL GROWTH	B	Dividend	K	T					
45. LEGG MASON WESTERN ASSETS	A	Dividend	J	T					
46. --PIONEER HIGH YIELD	A	Dividend	J	T					
47. --ISHARES MSCI EAFE FUND	A	Dividend	J	T					
48. --ISHARES RUSSELL 1000 INDEX FUND	A	Dividend	J	T					
49. --EATON VANCE DIV. EQ. INCOME FUND	A	Dividend	J	T					
50. --PIONEER FUND INC	B	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

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HART, JACOB P.

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51. --AB GLOBAL GOV INCOME TRUST	A	Dividend	J	T					
52. --LOOMIS SAYLES STRAT INC. FUND	C	Dividend	K	T					
53. --MS LIQUID ASSET FUND	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

HART, JACOB P.

Date of Report

05/15/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

HART, JACOB P.

Date of Report

05/15/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ JACOB P. HART

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544