

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Srinivasan, Srikanth	2. Court or Organization U.S. Court of Appeals, District of Columbia Circuit	3. Date of Report 05/15/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Court of Appeals Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address E. Barrett Prettyman U.S. Courthouse and William B. Bryant Annex 333 Constitution Avenue., NW Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. North American South Asian Bar Association (NASABA)	June 22, 2013	San Francisco, CA	Award Recipient	Transportation, meal
2. National Asian Pacific American Bar Association	November 8-10, 2013	Kansas City, MO	Keynote Speech	Transportation, hotel, meal
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Office of the Solicitor General (Dept. of Justice)	Judicial Robe (Investiture Gift)	\$460.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1									
2. - Spartan 500 Index (FUSVX)	A	Dividend	K	T	Sold (part)	10/14/13	J	A	
3. - Aston/River Road Independent Value (ARIVX)	A	Dividend	J	T					
4. - Aberdeen Emerging Markets (GEGAX)	A	Dividend	J	T					
5. - Avenue Credit Strategies Investor (ACSAX)	A	Dividend	K	T	Buy	06/10/13	J		
6.					Buy (add'l)	10/14/13	J		
7. - Clearbridge Small Cap Growth (SASMX)	A	Dividend	K	T	Buy	01/08/13	J		
8.					Buy (add'l)	02/12/13	J		
9.					Buy (add'l)	06/10/13	J		
10. - Columbia Dividend Opportunity (INUTX)	B	Dividend	K	T	Sold (part)	02/12/13	J	A	
11.					Sold (part)	10/14/13	J	A	
12. - Columbia Select Large Cap Growth (ELGAX)	C	Dividend	L	T	Sold (part)	10/14/13	J	A	
13. - Delaware Value (DDVAX)	A	Dividend	L	T	Buy (add'l)	02/12/13	J		
14. - Doubleline Total Return Bond (DLTNX)	A	Dividend	K	T					
15. - Dreyfus Bond Market Index (DBMIX)	A	Dividend			Sold (part)	02/12/13	J	A	
16.					Sold (part)	06/10/13	J	A	
17.					Sold	10/14/13	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. - Driehaus Active Income (LCMAX)	A	Dividend	K	T	Buy (add'l)	02/12/13	J		
19. - Federated Intermediate Corp Bond (INISX)	A	Dividend			Sold	06/10/13	J	A	
20. - Listed Private Equity (LPEFX)	A	Dividend	K	T	Buy (add'l)	06/10/13	J		
21.					Buy (add'l)	10/14/13	J		
22. - Merk Hard Currency (MERKX)		None			Sold	06/10/13	J	A	
23. - Forward EM Corporate Debt (FFXRX)	A	Dividend	K	T	Buy (add'l)	06/10/13	J		
24. - JP Morgan Strategic Income Opport (JSOAX)	A	Dividend	K	T	Buy (add'l)	06/10/13	J		
25.					Buy (add'l)	06/10/13	J		
26. - JP Morgan High Yield Bond (OHYAX)	A	Dividend			Sold	02/12/13	J	A	
27. - MFS International Value (MGIAX)	A	Dividend	K	T	Buy (add'l)	06/10/13	J		
28.					Sold (part)	10/14/13	J	A	
29. - Morgan Stanley Global Real Estate (MRLBX)		None			Sold (part)	02/12/13	J	A	
30.					Sold	06/10/13	J	A	
31. - Pimco Total Return (PTTDX)	A	Dividend	J	T	Buy (add'l)	02/12/13	J		
32.					Buy (add'l)	10/14/13	J		
33. - T.Rowe Price Growth Stock (TRSAX)	A	Dividend	L	T	Sold (part)	10/14/13	J	A	
34. - RS Global Natural Resources (RSNRX)	A	Dividend	J	T	Sold (part)	06/10/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - Ridgeworth Mid Cap Value Equity (SMVTX)	C	Dividend	K	T	Buy (add'l)	06/10/13	J		
36. - Rivernorth Core Opportunity (RNCOX)	B	Dividend	K	T	Buy (add'l)	10/14/13	J		
37. - Riverpark Short-Term HighYield (RPHYX)	A	Dividend			Buy (add'l)	02/12/13	J		
38.					Sold	11/18/13	J	A	
39. - Riverpark Strategic Income (RSIVX)	A	Dividend	J	T	Buy	11/18/13	J		
40. - T. Rowe Price International Stock (PAITX)	A	Dividend	K	T	Sold (part)	06/10/13	J	A	
41. - TCW Dividend Focused (TGIGX)	A	Dividend	L	T	Buy (add'l)	02/12/13	J		
42.					Buy (add'l)	06/10/13	J		
43. - TCW Small Cap Growth (TGSNX)		None			Sold	01/07/13	J	A	
44. - Touchstone Focused Equity (TFEAX)	A	Dividend			Buy (add'l)	02/12/13	J		
45. - Touchstone Focused (TFOAX)	A	Dividend	K	T	Buy	02/12/13	J		
46.					Buy (add'l)	10/14/13	J		
47. - Wasatch International Opportunities (WAIIX)	B	Dividend	K	T	Buy (add'l)	10/14/13	J		
48. - Wasatch Frontier Emerging Small Co's (WAFMX)	A	Dividend	K	T	Buy (add'l)	02/12/13	J		
49.					Buy (add'l)	06/10/13	J		
50. - Wasatch Emerging Markets Small Cap (WAEMX)	A	Dividend	J	T	Sold (part)	02/12/13	J	A	
51.					Sold (part)	06/10/13	J	A	

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(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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52. - Fidelity Cash Reserves (FDRXX)	A	Interest	J	T					
53. - Leuthold Asset Allocation (LAALX) (Y)									
54. - Merger Fund (MERFX) (Y)									
55. - Pimco Emerging Markets (PLDMX) (Y)									
56. - Westcore Select (WTSIX) (Y)									
57. Brokerage Account #1									
58. - Spartan 500 Index (FUSVX)	A	Dividend	K	T	Buy (add'l)	06/06/13	J		
59.					Sold (part)	10/10/13	J	A	
60. - Fidelity Intermediate Muni Income (FLTMX)	B	Dividend	K	T	Buy (add'l)	06/06/13	J		
61. - Aston/River Road Independent Value (ARIVX)	A	Dividend	J	T					
62. - Aberdeen Emerging Markets (GEGAX)	A	Dividend			Sold	10/10/13	J	A	
63. - Avenue Credit Strategies (ACSAX)	A	Dividend	J	T	Buy	06/06/13	J		
64.					Buy (add'l)	10/10/13	J		
65. - Clearbridge Small Cap Growth (SASMX)	A	Dividend	J	T	Buy	01/09/13	J		
66.					Buy (add'l)	02/15/13	J		
67.					Buy (add'l)	06/06/13	J		
68. - Columbia Dividend Opportunity (INUTX)	A	Dividend	K	T	Sold (part)	02/15/13	J	A	

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69.					Sold (part)	10/10/13	J	A	
70. - Columbia Select Large Cap Growth (ELGAX)	B	Dividend	K	T					
71. - Delaware Value (DDVAX)	A	Dividend	K	T					
72. - Doubleline Total Return Bond (DLTNX)	A	Dividend	K	T	Buy (add'l)	06/06/13	J		
73. - Doubleline Low Duration (DLSNX)	A	Dividend	J	T	Buy	10/10/13	J		
74. - Driehaus Active Income (LCMAX)	A	Dividend	J	T	Buy (add'l)	02/15/13	J		
75. - Listed Private Equity (LPEFX)	A	Dividend	J	T	Buy (add'l)	06/06/13	J		
76. - Merk Hard Currency		None			Sold	06/06/13	J	A	
77. - Forward EM Corporate Debt (FFXRX)	A	Dividend	J	T	Buy (add'l)	06/06/13	J		
78. - JP Morgan Strategic Income Opportunity (JSOAX)	A	Dividend	J	T	Buy	02/15/13	J		
79.					Buy (add'l)	06/06/13	J		
80. - JP Morgan Tax Aware (TXRSX)	A	Dividend			Sold	06/06/13	K	A	
81. - MFS International Value (MGIAX)	A	Dividend	K	T	Buy (add'l)	02/15/13	J		
82.					Buy (add'l)	06/06/13	J		
83. - Merger (MERFX)		None			Sold	02/15/13	J	A	
84. - Morgan Stanley Global Real Estate (MRLBX)		None			Sold (part)	02/15/13	J	A	
85.					Sold	06/06/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86. - Northern Intermed Tax Exempt (NOITX)	A	Dividend	K	T	Sold (part)	02/15/13	J	A	
87.					Sold (part)	10/10/13	J	A	
88. - T.Rowe Price Growth Stock Advisor (TRSAX)	A	Dividend	K	T					
89. - RS Global Natural Resources (RSNRX)	A	Dividend	J	T	Sold (part)	06/06/13	J	A	
90. - Ridgeworth Mid Cap Value Equity (SMVTX)	B	Dividend	K	T	Buy (add'l)	02/15/13	J		
91.					Buy (add'l)	06/06/13	J		
92. - Rivernorth Core Opportunity (RNCOX)	B	Dividend	K	T	Buy (add'l)	10/10/13	J		
93. - T.Rowe Price Intl Stock Advisor (PAITX)	A	Dividend	J	T	Sold (part)	06/06/13	J	A	
94. - TCW Dividend Focused (TGIGX)	A	Dividend	K	T	Buy (add'l)	02/15/13	J		
95.					Buy (add'l)	06/06/13	J		
96. - TCW Small Cap Growth (TGSNX)		None			Sold	01/08/13	J	A	
97. - Touchstone Focused Equity (TFEAX) (Y)									
98. - Touchstone Focused (TFOAX)	A	Dividend	J	T	Buy	02/15/13	J		
99.					Buy (add'l)	10/10/13	J		
100. - Wasatch International Opportunities (WAIQX)	A	Dividend	J	T	Buy (add'l)	10/10/13	J		
101. - Wasatch Frontier Emerging Small Cos (WAFMX)	A	Dividend	J	T	Buy (add'l)	02/15/13	J		
102.					Buy (add'l)	06/06/13	J		

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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103. - Wasatch Emerging Markets Small Cap (WAEMX)	A	Dividend	J	T	Sold (part)	02/15/13	J	A	
104.					Sold (part)	06/06/13	J	A	
105. - Wells Fargo Ultra Short Term Muni (SMAVX)	A	Dividend			Buy (add'l)	06/06/13	J		
106.					Sold	10/10/13	J	A	
107. - Fidelity Cash Reserves (FDRXX)	A	Interest	J	T					
108. - Leuthold Asset Allocation (LAALX) (Y)									
109. - Pimco Emerging Markets (PLDMX) (Y)									
110. - Westcore Select (WTSIX) (Y)									
111. Roth IRA #1									
112. - American Funds AMCAP A AMCPX	A	Dividend	J	T					
113. - American Funds New World A NEWFX	A	Dividend	J	T					
114. - American Funds Small Cap World A SMCWX	A	Dividend	J	T					
115. - American Funds Capital World Growth & Income A CWGIX	A	Dividend	J	T					
116. - American Funds Washington Mutual Investors A AWSHX	A	Dividend	J	T					
117. - American Funds Income Fund of America A AMECX	A	Int./Div.	J	T					
118. Citibank Checking Account	A	Interest	J	T					
119. Wells Fargo (various cash accounts) (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120. American Express (various cash accounts) (Y)									
121. College Savings Plan #1									
122. - American Funds AMCAP 529A	D	Dividend	L	T					
123. - American Funds Balanced Funds 529A	B	Dividend	L	T					
124. - American Funds Mutual Fund 529A	A	Dividend	J	T					
125. - American Funds EuroPacific Growth 529A	A	Dividend	J	T					
126. - American Funds New Perspective 529A	B	Dividend	K	T					
127. - American Funds Growth Fund of America 529A	C	Dividend	L	T					
128. - American Funds Washington Mutual Investors Fund 529A	A	Dividend	J	T					
129. College Savings Plan #2									
130. - American Funds AMCAP 529A	D	Dividend	L	T					
131. - American Funds Balanced Funds 529A	B	Dividend	L	T					
132. - American Funds Mutual Fund 529A	A	Dividend	J	T					
133. - American Funds EuroPacific Growth 529A	A	Dividend	J	T					
134. - American Funds New Perspective 529A	B	Dividend	K	T					
135. - American Funds Growth Fund of America 529A	C	Dividend	L	T					
136. - American Funds Washington Mutual Investors Fund 529A	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Brokerage Account #2									
138. - American Funds Tax Exempt Bond Fund of America A AFTEX	A	Dividend	J	T					
139. - American Funds Growth Fund of America A AGTHX	A	Dividend	J	T					
140. - American Funds New Economy Fund A ANEFX	A	Dividend	J	T					
141. - American Funds Tax Exempt Fund of VA A TFVAX (Y)									
142. - American Funds Fundamental Investors A ANCFX	A	Dividend	J	T					
143. - American Funds Bond Fund of America A ABNDX	A	Dividend	J	T					
144. Mass Mutual Variable Life Insurance Policy #1									
145. - Mass Mutual VUL Guard Guaranteed Account	A	Interest			Sold	07/29/13	K	A	
146. - Mass Mutual VUL Guard American Funds Growth-Income		None			Sold	07/29/13	J	A	
147. - Mass Mutual VUL Guard Fidelity VIP Contrafund		None			Sold	07/29/13	J	A	
148. Mass Mutual Variable Life Insurance Policy #2									
149. - Mass Mutual VUL Guard Guaranteed Account	A	Interest	K	T					
150. - Mass Mutual VUL Guard DWS Small Cap Index		None	K	T					
151. - Mass Mutual VUL Guard MML Small Cap Equity		None	J	T					
152. Keough #1									
153. - Fidelity Diversified International (FDIVX) (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - American Beacon Large Cap Val Inv (AAGPX) (Y)									
155. - American Funds Growth of America Class R5 (Y)									
156. - Rainier Sm/Md Cap (RIMSX) (Y)									
157. - Buffalo Sm Cap Stock (BUFSX) (Y)									
158. - PIMCO Total Return Instl (PTTRX) (Y)									
159.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

For Part VII (Investments and Trusts):

- 1) The assets listed under "College Savings Plan #1" and "College Savings Plan #2" (lines 121-136) were grouped together under "College Savings Plans #1" in the CY 2012 report. For clarity, in the current report, I have listed the assets and their values separately by account.
- 2) The assets listed under "Mass Mutual Variable Life Insurance Policy #1" and "Mass Mutual Variable Life Insurance Policy #2" (lines 144-151) were previously grouped together in lines 96-100 of the CY 2012 report. For clarity, in the current report, I have listed the assets, their values, and any reportable transactions separately by account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Srikanth Srinivasan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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