

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Cogan, Brian M.	2. Court or Organization U.S. District Court, ED of NY	3. Date of Report 05/15/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address US Courthouse 225 Cadman Plaza East Brooklyn, NY 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 15

Name of Person Reporting

Cogan, Brian M.

Date of Report

05/15/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2011	Hogan Lovells US LLP, Attorney
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. American Bar Association Business Law Section	August 5-6, 2011	Toronto, Canada	ABA Annual Meeting	Transportation, hotel and food
2. Federal Judicial Center	October 29, 2011	New York, New York	Preparation of 2010 FDR	Professional fees
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 15

Name of Person Reporting

Cogan, Brian M.

Date of Report

05/15/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Amex Platinum	Credit Card	L
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 15

Name of Person Reporting

Cogan, Brian M.

Date of Report

05/15/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. JPMorgan Chase Bank account	A	Interest	N	T					
2. Housing Programs Limited, Partners, partnership	A	Int./Div.	J	U					
3. Compark Owners Corp., stock, (preferred)	A	Dividend	J	U					
4. Brokerage Account #8									
5. - JP Morgan TR I NY Muni Money MKT Fund	A	Dividend	M	T					
6. - Franklin Templeton Funds NY Tax Free Income	A	Dividend	K	T					
7. Brokerage Account #10									
8. - JPMorgan TR I Prime Money Mkt FD	A	Dividend	J	T					
9. - Causeway International Value Fund	A	Dividend	J	T					
10. - Cullen High Dividend Equity Fund	A	Dividend	J	T					
11. - Davis New York Venture Fund Inc. CI A	A	Dividend	J	T					
12. - Harbor Small Cap Value Fund		None			Sold	04/27/11	K	C	
13. - Ishares Tr Barclays 1-3 Yr Treas.(ETFS)	A	Dividend	J	T					
14. - Ishares MSCI EAFE Index Fund (ETFS)	A	Dividend	J	T					
15. - Ishares Trust Russell Midcap Index Fund (ETFS)	A	Dividend	K	T					
16. - Ishares Russell 2000 Growth Index Fund (ETFS)	A	Dividend	J	T					
17. -Ishares Trust Barclays US Aggr Bond Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 15

Name of Person Reporting

Cogan, Brian M.

Date of Report

05/15/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Janus Adviser Ser Forty Fund	A	Dividend	J	T					
19. - PIMCO High Yield Fund Cl A	B	Dividend	K	T					
20. - RYDEX SGI Mid Cap Value Fund	A	Dividend	J	T	Buy	04/28/11	K		
21. - Thornburg Intl Value Fund	A	Dividend	J	T					
22. - SPDR Dow Jones REIT (formerl SPDR Ser Tr DJ Wilshire)	A	Dividend	J	T					
23. - Powershares DB Commodity Index		None	J	T					
24. Brokerage Account #16									
25. - Alpine International Real Estate Equity Fund		None			Sold	12/22/11	J	A	
26. - Alger Smidcap Growth Fund Cl A		None			Sold	12/22/11	K	C	
27. - Amana Mut FDS TR Growth Fd	A	Dividend	K	T	Buy	12/22/11	K		
28. - Aston Funds Montag & Caldwell Growth Fd	C	Dividend	K	T	Buy (add'l)	1/24/11	J		
29. - Baron Asset Fd I Opportunity Fund		None	K	T	Sold (part)	12/22/11	J	B	
30. - Blackrock New York Muni Fund Cl A	A	Dividend			Sold	01/24/11	L	B	
31. - Columbia FDS SER TR Small Cap Value II FD	A	Dividend	J	T					
32. - Columbia FDS SER TR II MASS Select Large Cap Value	B	Dividend	K	T	Buy	01/24/11	K		
33. -Causeway International Value Fund Investor Class	A	Dividend	J	T	Sold (part)	12/22/11	J	A	
34. - Cullen High Dividend Equity Fund	B	Dividend	L	T	Buy (add'l)	12/22/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 15

Name of Person Reporting

Cogan, Brian M.

Date of Report

05/15/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -Janus Invt FD Forty Fd CL S		None			Sold	12/22/11	K	A	
36. -JPMorgan TR I Intl Equity FD CL A	A	Dividend	J	T	Sold (part)	12/22/11	J	A	
37. -JPMorgan TR I Strategic Incm	D	Dividend	M	T	Buy (add'l)	1/24/11	L		
38. - JPMorgan TR I Tax Free Money Mkt Fd	A	Dividend	K	T					
39. -Lazard Emerging Markets Port Open SHS	B	Dividend	K	T					
40. - Legg Mason Western Asset Managed Muni Fund	B	Dividend	K	T	Sold (part)	01/24/11	K	A	
41. -Manning and Napier Fund World Opportunities	B	Dividend	J	T					
42. -Matthews Pacific Tiger Fund	A	Dividend	K	T					
43. - Oppenheimer Value FD CL A	A	Dividend			Sold	12/22/11	K	A	
44. -Oppenheimer International Growth Fund- CL A	A	Dividend	J	T	Sold (part)	12/22/11	J	A	
45. - Payden Invt Group High Income Fd	C	Dividend	L	T	Buy (add'l)	12/22/11	J		
46. - Perkins Mid Cap Value	B	Dividend	K	T					
47. - Prudential Jennison Mid Cap Growth Fd	A	Dividend	K	T					
48. - Prudential Jennison Nat Res Fd		None	K	T					
49. - Rydex SGI Mid Cap Value Fund	A	Dividend	K	T					
50. - Thornburg Intl Value Fund CL A	A	Dividend	J	T	Sold (part)	12/22/11	J	A	
51. - Wells Fargo Advtg Intermdt tax/amt-free Fund	B	Dividend	L	T	Buy (add'l)	01/24/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 15

Name of Person Reporting

Cogan, Brian M.

Date of Report

05/15/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. - Wells Fargo Advantage Fds		None			Sold	1/24/11	L	D	
53. -Wasatch Advisors Funds Inc Small Cap	A	Dividend	J	T					
54. - Yacktman Focused Fund	A	Dividend	K	T	Buy	12/22/11	K		
55. Brokerage Statement #17									
56. JPMorgan TR I Tax Free Money Mkt Fund	A	Dividend	K	T					
57. - Alpine International Real Estate Equity Fund-CL		None			Sold	12/22/11	J	A	
58. -Alger Smidcap Growth Fund CL A		None			Sold	01/25/11	K	B	
59. - Amana Mut FDS TR Growth Fd	A	Dividend	K	T	Buy	12/22/11	K		
60. -Aston Funds Montag & Caldwell Growth Fd	B	Dividend	K	T	Buy (add'l)	1/24/11	J		
61. - Blackrock New York Muni Fund CL A	A	Dividend			Sold	01/24/11	L	C	
62. -Baron Asset Fd I Opportunity fd		None	J	T	Sold (part)	12/22/11	J	B	
63. - Columbia FDS SER TR Small Cap Value II FD CL A	B	Dividend	J	T	Sold (part)	01/25/11	J	A	
64. - Columbia FDS SER TR II Mass Select Large Cap Value	B	Dividend	K	T	Buy	01/24/11	K		
65. -Cullen High Dividend Equity Fund	B	Dividend	L	T	Sold (part)	01/25/11	J	A	
66.					Buy (add'l)	12/22/11	K		
67. -Causeway International Value Fund Investor Class	A	Dividend	J	T	Sold (part)	12/22/11	J	A	
68. -JPMorgan TR I Intl Equity FD CL A	A	Dividend	J	T	Sold (part)	12/22/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 15

Name of Person Reporting

Cogan, Brian M.

Date of Report

05/15/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. -JPMorgan TR I Strategic Incm Opptys	D	Dividend	M	T	Buy (add'l)	1/24/11	L		
70. -Janus Invt Fd Forty Fd Class S	A	Dividend			Sold	12/22/11	K	A	
71. -Lazard Emerging Markets Port Open SHS	A	Dividend	K	T	Sold (part)	12/22/11	J	A	
72. - Legg Mason Western Asset Managed Muni Fund	C	Dividend	L	T	Sold (part)	01/24/11	J	A	
73. - Manning and Napier Fund World Opportunities Ser CL A	B	Dividend	J	T	Sold (part)	12/22/11	J	A	
74. - Matthews Pacific Tiger Fund	A	Dividend	K	T					
75. -Oppenheimer International Growth Fund- CL A	A	Dividend	J	T	Buy (add'l)	1/24/11	J		
76.					Sold (part)	12/22/11	J	A	
77. -Oppenheimer Value FD CL A	A	Dividend			Sold	12/22/11	K	A	
78. -Perkins Mid Cap Value Fund	B	Dividend	K	T	Sold (part)	01/25/11	J	A	
79. -Payden Invt Group High Income Fd	C	Dividend	L	T	Sold (part)	01/25/11	J	A	
80.					Buy (add'l)	12/22/11	K		
81. - Prudential Jennison Mid Cap Gr Fd	A	Dividend	J	T	Sold (part)	01/25/11	J	A	
82. - Prudential Jennison Nat Res Fd		None	J	T	Sold (part)	01/25/11	J	A	
83. -Rydex SGI Mid Cap Value Fund	A	Dividend	J	T	Sold (part)	01/25/11	J	A	
84. -Thornburg Intl Value Fund CL A	A	Dividend	J	T	Sold (part)	12/22/11	J	A	
85. - Wells Fargo Adv Fd Intrinsic		None			Sold	01/24/11	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$500,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 15

Name of Person Reporting

Cogan, Brian M.

Date of Report

05/15/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. -Wells Fargo Advtg Intermdt Tax/Amt - Free Fund	B	Dividend	L	T	Buy (add'l)	1/24/11	J		
87. -Wasatch Advisors Funds Inc Small Cap	A	Dividend	J	T	Sold (part)	01/25/11	J	A	
88. - Yacktman Focused Fund Dividend Reinvested	A	Dividend	K	T	Buy	12/22/11	K		
89. - Goldman Sachs Tollkeeper Fund		None	J	T					
90. IRA #1	E	Int./Div.	P1	T					
91. - Blackrock Provident Instl FDS Tempfund									
92. -Ishares Trust Barclays 1-3 YR Trea									
93. -Ishares Trust Barclays US Aggr									
94. -Ishares Trust Ishares Barclays Treas Inflation									
95. - Reserve Fund Primary Fund Treasury Class									
96. -Alger Smidcap Growth Fund CL A					Sold	12/22/11	K	C	
97. - Amana Mut FDS TR					Buy	12/22/11	K		
98. -Aston Funds Montag & Caldwell Growth FD CL					Buy (add'l)	1/24/11	J		
99. -Baron Asset Fd Iopportunity Fund									
100. -Causeway International Value Fund Investor Class									
101. -Cullen High Dividend Equity Fund					Buy (add'l)	12/22/11	K		
102. -Columbia FDS SER TR Small Cap Value II Fd CL									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 15

Name of Person Reporting

Cogan, Brian M.

Date of Report

05/15/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. - Columbia FDS SER TR II Mass Select Large Cap					Buy	1/24/11	L		
104. - Doubleline FDS TR Total Return BD FD CL N					Buy	12/22/11	L		
105. -ING Mutual Funds Global Real Estate Fund CL A									
106. - Janus Invt Fd Forty Fd Class S					Sold	12/22/11	K	A	
107. -JPMorgan TR I Intl Equity FD CL A									
108. -Lazard Emerging Markets Port Open SHS									
109. -Oppenheimer Value Fd CL A					Sold	12/22/11	K	A	
110. -Oppenheimer International Growth Fund-CL A					Sold (part)	12/22/11	J	A	
111. -Oppenheimer International Bond Fund-CL A					Sold	12/22/11	L	B	
112. -Pimco Total Return Fund-CL A									
113. -Pimco High Yield Fund-CL A									
114. -Perkins Mid Cap Value									
115. -Prudential Jennison Nat Res									
116. - Prudential Jennison Mid Cap									
117. -Manning and Napier Fund Wold Opportunities					Sold (part)	12/22/11	J	A	
118. -Matthews Pacific Tiger Fund Dividend Reinvested									
119. -Ridgeworth FDS Intermediate BD FD CL I									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 15

Name of Person Reporting

Cogan, Brian M.

Date of Report

05/15/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Rydex SGI Mid Cap Value Fund CL A									
121. -Thornburg Intl Value Fund					Sold (part)	12/22/11	J	A	
122. - Wells Fargo Advantages Fds					Sold	1/24/11	L	D	
123. - Yacktman Focused Fund Dividend Reinvested					Buy	12/22/11	L		
124. IRA #2	E	Int/Div.	P1	T					
125. -Alger Smidcap Growth Fund CL A					Sold	12/22/11	K	C	
126. - Amana Mut FDS TR Growth FD					Buy	12/22/11	K		
127. -Aston Funds Montag & Caldwell Growth FD CL N									
128. -Ishares Trust Ishares Barclays Treas Inflation Protected									
129. -Ishares Trust Barclays 1-3 YR Trea(Formerly Ishares Tr 1-3)									
130. -Ishares Trust Barclays US Aggr(Formerly Ishares Tr Lehman)									
131. -Reserve Fund Primary Fund Treasury Class									
132. -Baron Asset Fd I Opportunity Fund									
133. -Blackrock Provident Instl FDS Tempfund									
134. -Causeway Internal Value Fund Investor Class					Sold	12/22/11	J	A	
135. -Cullen High Dividend Equity Fund					Buy (add'l)	12/22/11	J		
136. -Columbia FDS SER TR Small Cap Value II FD CL A									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 15

Name of Person Reporting

Cogan, Brian M.

Date of Report

05/15/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Columbia FDS SER TR II Mass Select Large Cap Value FD CL A					Buy	01/24/11	L		
138. - Doubleline FDS TR Total Return BD FD CL					Buy	12/22/11	L		
139. -Perkins Mid Cap Value FD Class J SHS									
140. -Janus Invt Fd Forty Fd Class S					Sold	12/22/11	K	A	
141. -JPMorgan TR I Intl Equity FD CL A					Sold	12/22/11	J	A	
142. -Lazard Emerging Markets Port Open SHS									
143. -Manning And Napier Fund World Opportunities Ser CL A									
144. -Matthews Pacific Tiger Fund Dividend Reinvested									
145. -Oppenheimer Value Fd CL A					Sold	12/22/11	K	A	
146. -Oppenheimer International Growth Fund-CL A									
147. -Oppenheimer International Bond Fund- CL A					Sold	12/22/11	M	B	
148. -Pimco Total Return Fund CL A									
149. -Pimco High Yield Fund-CL A					Buy (add'l)	12/22/11	K		
150. -Prudential Jennison Nat Res									
151. - Prudential Jennison Mid Cap									
152. -Ridgeworth FDS Intermediate BD FD CL I									
153. -Rydex SGI Mid Cap Value Fund CL A									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 15

Name of Person Reporting

Cogan, Brian M.

Date of Report

05/15/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Thornburg Intl Value Fund CL A									
155. - Wells Fargo Adv Fd Intrinsic					Sold	1/24/11	L	D	
156. - Yackman Focused Fund Dividend Reinvested					Buy	12/22/11	L		
157. Retirement Plan for Partners #4	B	Int./Div.	M	T					
158. - FID Contrafund K					Buy	4/30/11	J		
159. - FID Growth Co K					Buy	4/30/11	K		
160. - TRP SM Cap Stock					Buy	4/30/11	J		
161. - FID Overseas K					Buy	4/30/11	J		
162. - FID Emerging Mkts K					Buy	4/30/11	J		
163. - PIM Total RT Inst					Buy	4/30/11	K		
164. - FID MGD Inc Port					Buy	4/30/11	K		
165. - NB Kaminsky II					Buy (add'l)	4/30/11	J		
166. - NB Kaminsky III					Buy (add'l)	4/30/11	J		
167. - FID Retire Money Market									
168. College Access 529 Plan #2		None	L	T					
169. -Portfolio 005									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 15

Name of Person Reporting

Cogan, Brian M.

Date of Report

05/15/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

Cogan, Brian M.

Date of Report

05/15/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Brian M. Cogan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544