

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Caddell, Jack	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 03/25/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address P O Box 2004 Decatur AL 35602-2004		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Alabama State Bar	6/7/2012 - 6/10/2012	Destin FL	Bankruptcy at the Beach Seminar	Lodging, Mileage
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1. _____

2. _____

3. _____

4. _____

5. _____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1. _____

2. _____

3. _____

4. _____

5. _____

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Checking Acct. - Regions Bank		None	J	T					
2. Savings Acct. - Regions Bank	A	Int./Div.	K	T					
3. Schwab Bank Account	A	Int./Div.	J	T					
4. American Century Gov't	A	Int./Div.	K	T					
5. American Century Equity	A	Int./Div.	K	T					
6. American Century Tax Free Bond Fund	A	Int./Div.	K	T	Buy	08/29/12	J		
7. American Financial Group	A	Int./Div.	J	T					
8. Artisan Int'l Fund	A	Int./Div.	J	T	Buy	8/29/12	J		
9. Balero	A	Int./Div.	J	T	Buy	07/12/11	J		***SEE VIII
10. Baron Growth Fund	A	Int./Div.	J	T					
11. Freeport McMoran Cooper	A	Int./Div.			Sold	08/29/12	J	A	
12. Glenmede Small Cap Equity	A	Int./Div.	K	T					
13. Harbor International Fund	A	Int./Div.	K	T					
14. iShares Core U.S. Bond Market ETF	A	Int./Div.	J	T					***SEE VIII
15. Janus Overseas Fund	A	Int./Div.	K	T					
16. Nextera Energy (IRA)	A	Int./Div.			Sold	12/31/12	J	D	
17. OGE Energy Corp	A	Int./Div.			Sold	03/28/11	J	A	***SEE VIII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Pace Oil & Gas LTD	A	Int./Div.			Sold	08/21/12	J	A	
19. Parnassus Equity Income Fund	A	Int./Div.	L	T					
20. Pimco Total Return Fund	A	Int./Div.	K	T	Sold (part)	10/18/12	J	A	
21. Provident Energy Trust - name chg to Pembina Pipeline Trust	A	Int./Div.	J	T					
22. Schwab 1000 Index Fund	A	Int./Div.			Sold	01/19/12	K	C	
23. Schwab S&P 500 Index	A	Int./Div.	M	T					
24. SSGA INTL Stock	A	Int./Div.			Sold	03/28/11	J	A	***SEE VIII
25. Scout Internal Fund	A	Int./Div.	M	T					
26. SPDR Gold IRA	A	Int./Div.	J	T					
27. SPDR S&P 500 ETF	A	Int./Div.	M	T					***SEE VIII
28. Templeton Global Bond Fund	A	Int./Div.	J	T	Sold (part)	10/18/12	J	A	
29. TIAA CREF	A	Int./Div.	K	T					
30. Valero Energy Corp	A	Int./Div.	J	T					
31. Vanguard Morgan Growth Fund	A	Int./Div.	L	T					
32. Vanguard Small Cap	A	Int./Div.	M	T					
33. Vanguard Value ETF	A	Int./Div.	K	T	Sold (part)	01/19/12	J	A	
34. Vanguard Small Corp (IRA)	A	Int./Div.	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Cash in Schwab Brokerage Acct	A	Int./Div.	K	T					
36. Alabama Fed Aid Hwy	A	Int./Div.	J	T	Buy	12/07/12	J		
37. Arizona St. Transn. Brd. Hwy. Rev. 5%	A	Int./Div.	J	T					
38. Auburn University ALA GEN due 6/1/20	A	Int./Div.	J	T					
39. Auburn University ALA GEN due 6/25	A	Int./Div.	J	T	Buy	03/25/12	J		
40. California ST FOR 5% due 6/1/20	A	Int./Div.	J	T					
41. Chicago Ill Trans Authority	A	Int./Div.	J	T					
42. Chicago ILL WTR REV	A	Int./Div.	J	T					
43. Florida State BRD ED	A	Int./Div.	J	T					
44. FMI Large Cap Fund	D	Int./Div.	J	T	Buy	01/19/12	J		
45. Iowa State SPL OBLIG	A	Int./Div.	J	T					
46. Iowa Finance Authority REV	A	Int./Div.	J	T					
47. Kansas ST DEV FIN	A	Int./Div.	J	T					
48. Los Angeles California 5%	A	Int./Div.	J	T					
49. Maine Municipal Bond	A	Int./Div.	J	T					
50. Metropolitan Transn 5%	A	Int./Div.	J	T	Buy	09/21/12	J		
51. Michigan FIN AUTH	A	Int./Div.	J	T	Buy	06/15/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A -\$1,000 or less F -\$50,001 - \$100,000	B -\$1,001 - \$2,500 G -\$100,001 - \$1,000,000	C -\$2,501 - \$5,000 H1 -\$1,000,001 - \$5,000,000	D -\$5,001 - \$15,000 H2 -More than \$5,000,000	E -\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 -More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52. Minnesota PUB FACS	A	Int./Div.	J	T					
53. Minnesota ST MUN PWR	A	Int./Div.	J	T					
54. New Mexico Finance Authority	A	Int./Div.	J	T					
55. New York New York Municipal Bon for PR 5%	A	Int./Div.	J	T					
56. New York ST TWY	A	Int./Div.	J	T					
57. North Carolina Municipal Bond due 6/15/21	A	Int./Div.			Sold	05/31/12	J	A	
58. Oakmark Global Fund	A	Int./Div.	J	T	Buy	01/19/12	J		
59. Ohio ST TPK COMMN	A	Int./Div.	J	T					
60. Pennsylvania St. 5.75%	A	Int./Div.	J	T					
61. Phoenix Arizona Civia	A	Int./Div.	J	T					
62. Southern California Pub	A	Int./Div.	J	T					
63. TEXAS ST GO due 8/1/21	A	Int./Div.	J	T					***SEE VIII
64. Texas St. Pub. Fin. Auth. due 1/1/20	A	Int./Div.			Sold	10/17/12	J	A	
65. University ALA	A	Int./Div.			Sold	01/17/12	J	A	
66. University Carlifornia RE 5%	A	Int./Div.	J	T					
67. Virginia College BLDG AUTH	A	Int./Div.	J	T					
68. Wisconsin ST CLEAN WTR	A	Int./Div.	J	T					

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3. Value Method Codes (See Column C2)					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 9***Inadvertently left off of last year's report

Line 14***correcting name from IShares Barclays to IShares Core U.S. Bond Market ETF

Line 17*** Sold all on 3/28/11

Line 24***Sold all on 3/28/11

Line 27***more accurate name

Line 63 - Two listings on last year's report, Texas ST 5% GO and Texas ST PUB FIN AUTH - these are the same entity so combined on this year's report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Jack Caddell**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544