

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Azrack, Joan M.	2. Court or Organization U.S. District Court East Dist NY	3. Date of Report 08/05/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address United States Courthouse 100 Federal Plaza Central Islip, NY 11722		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

2015 Salary and bonus from Landman Corsi Ballaine & Ford PC

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank NA Personal Banking NY, NY (multiple accounts)	A	Interest	M	T					
2. National Life Ins. Co. whole life policy (see VIII)	A	Dividend	N	T					
3. Oppenheimer: Advantage Bank Deposits various banks (IRA)	A	Interest	J	T					
4. PMA:SSGA Prime Money Market (IRA)	A	Distribution	K	T					
5. Fidelity VIP Equity Incm Port - Nationwide annuity contract		None	L	T					
6. Fid VIP Growth Port - Nationwide annuity contract		None	K	T					
7. Fidelity Overseas Port - Nationwide annuity contract		None	K	T					
8. Fidelity VIP2 Asst Mgr Port - Nationwide annuity contract		None	L	T					
9. PMA: Pimco Low Duration Fund Intl (IRA) (See VIII)	B	Distribution	L	T	Buy (add'l)	05/28/15	J		
10. (Continuation of 9 above) (See VIII)					Buy (add'l)	08/10/15	J		
11. Oppenheimer: Catalyst Inside Buying Fund	A	Dividend	J	T	Buy	06/11/15	J		
12. Oppenheimer Global Value Fund - Nationwide annuity contract		None	L	T					
13. Fidelity Blue Chip Fund - Fidelity 401k		None	L	T					
14. Fidelity Diversified Intl - Fidelity 401k		None	L	T					
15. Fidelityt Freedom 2020 Fund - Fidelity 401k		None	K	T					
16. Fidelity Contrafund - Fidelity 401k		None	M	T					
17. Fidelity Growth & Inc - Fidelity 401k		None	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Fidelity Value Fund - Fidelity 401k		None	L	T					
19. Fidelity Retirement - Fidelity 401k		None	M	T					
20. JP Morgan Growth & Income "A" shares	A	Dividend	J	T					
21. JP Morgan Intl Opps Fund "A"	A	Dividend	J	T					
22. Walt Disney Co common stock	A	Dividend	J	T					
23. Oppenheimer: Alliance NY Muni Income Fund	B	Int./Div.			Sold	09/21/15	L	A	
24. NVIT Money Market I - Nationwide annuity contract		None	K	T					
25. Oppenheimer: Adv Mun Liq Fund	A	Dividend			Sold	02/01/15	J	A	
26. Am Century Equity Inc - Fidelity 401k		None	L	T					
27. Oppenheimer: Eaton Vance Insured NY Muni Bond Fund	C	Int./Div.	L	T	Buy (add'l)	09/24/15	K		
28. Oppenheimer: Kinetics Paradigm Adv C1 C	C	Dividend	L	T	Buy (add'l)	06/11/15	J		
29. Oppenheimer: Catalyst Inside Buying Fund (IRA)	A	Dividend	K	T					
30. Oppenheimer: Kinetics Paradigm Fund (IRA)	A	Dividend	K	T					
31. Oppenheimer: Voya Global Eqty Div & Prm Opp Fund (IRA)	B	Dividend	J	T					
32. Fidelity Investment Grade Bond Fund - Fidelity 401k		None	M	T					
33. NY 529 College Savings Program - Growth Portfolio		None			Sold	11/13/15	J	A	
34. NY 529 College Savings Program Inflation Prctn Securities Port.		None			Sold	11/13/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. NY 529 - Aggressive Growth Portfolio		None			Sold	11/13/15	J	A	
36. NY 529 - Growth Portfolio		None			Sold	11/13/15	J	A	
37. NY 529 - Small Cap Stock Index Portfolio		None			Sold	11/13/15	J	A	
38. NY 529 - Conservative Growth Portfolio		None			Sold	11/13/15	J	A	
39. PMA: Vanguard High Yield Adm (IRA)	B	Distribution	K	T					
40. Oppenheimer: Kinetics Paradigm Adv C (IRA)	A	Dividend	K	T					
41. PMA: Vanguard Short Term Investment Grade Fund (IRA)	C	Distribution	M	T					
42. PMA: MetWest Intermediate Bond Fund	A	Distribution	K	T					
43. Northwestern Mutual Life Ins. whole policy (see VIII)	B	Dividend	L	T					
44. Oppenheimer: First Trust Strategic High Income Fund (IRA)	A	Dividend	J	T					
45. PMA: Vanguard Intermed Term Investment Grade Fund (IRA)	C	Distribution	L	T	Buy (add'l)	08/10/15	J		
46. Oppenheimer: Advantage Offshore Liquidity Fund (IRA)	A	Dividend	J	T	Buy	02/01/15	J		
47. NVIT Intl Equity Fund - Nationwide annuity contract		None	J	T					
48. NVIT Multi Mngr Intl Gr I - Nationwide annuity contract		None	K	T					
49. JP Morgan Mid Cap Growth	A	Dividend	K	T					
50. NVIT Large Cap Gr I - Nationwide annuity contract		None	L	T					
51. Oppenheimer: Invesco Tr Inv Gr NY Muni	B	Int./Div.	L	T	Buy (add'l)	09/24/15	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Oppenheimer: Bllack Rock Flex Equity Div (IRA)	C	Dividend	K	T					
53. Oppenheimer: Black Rock Flex Equity Div (IRA)	A	Dividend	J	T					
54. Oppenheimer: Advantage Bank Deposits in various banks (IRA)	A	Interest	J	T					
55. PMA: Columbia Midcap Value Fund (IRA)	A	Distribution	K	T					
56. PMA: FMI Large Cap Fund (IRA)	A	Distribution	K	T					
57. PMA: Jensen Quality Grade (IRA)	C	Distribution	K	T					
58. PMA: Odyssey Growth Fund (IRA)	A	Distribution	K	T					
59. PMA: T Rowe Price Small Cap Stock Fund (IRA)	C	Distribution	K	T					
60. PMA: Vanguard Extended Money Market Index (IRA)	A	Distribution	K	T					
61. PMA: Vanguard 500 Index Fund (IRA)	B	Distribution	M	T					
62. PMA: Harbor Intl Fund (IRA)	B	Distribution	K	T					
63. (Intentionally left blank)									
64. PMA: T. Rose Price Intl (IRA)	C	Distribution	K	T					
65. PMA: Vanguard Intl Index Fund (IRA)	A	Distribution	K	T					
66. PMA: Harbor Intl Fund	B	Distribution	K	T					
67. PMA: Odyssey Growth Fund	B	Distribution	K	T					
68. PMA: Odyssey Aggressive Growth Fund	B	Distribution	K	T					

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69. PMA: Vanguard Intl Index Fund	A	Distribution	K	T					
70. PMA: Vanguard Extended Market Index Fund	A	Distribution	K	T					
71. PMA: Columbia Mid Cap Value Fund	B	Distribution	J	T					
72. PMA: FMI Large Cap Fund	B	Distribution	J	T					
73. PMA: Jensen Quality Growth	B	Distribution	J	T					
74. PMA: Vanguard 500 Index Fund	B	Distribution	L	T					
75. PMA: Pimco Low Duration Fund	A	Distribution	K	T	Buy (add'l)	08/10/15	J		
76. (Intentionally left blank)									
77. PMA: Vanguard High Yield Corporate Fund	A	Distribution	J	T					
78. PMA: Vanguard Intermed Term Tax Exempt Fund	A	Distribution	K	T					
79. PMA: Vanguard Limited Term Tax Exempt Fund	A	Distribution	K	T					
80. PMA: SSGA Prime Money Market	A	Distribution	J	T					
81. NY 529: Mid-cap Stock Index Fund Portfolio		None			Sold	11/13/15	J	A	
82. PMA: American Beacon Intl Fund (IRA)	A	Distribution	J	T					
83. PMA: Vanguard Growth Index Fund (IRA)	A	Distribution	K	T					
84. PMA: T. Rowe Price Equity Income Fund	B	Distribution	K	T					
85. PMA: Vanguard Growth Index Fund	A	Distribution	K	T					

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86. PMA: T. Rowe Price Equity Income Fund	B	Distribution	K	T					
87. PMA: T Rowe Price Intl (IRA)	A	Distribution	K	T					
88. Oppenheimer: Black Rock Muni Yield NY Qlty Fund Tax Free	C	Int./Div.	L	T	Buy (add'l)	09/24/15	K		
89. PMA: MetWest Intermediate Bond Fund (IRA)	B	Distribution	L	T	Buy (add'l)	08/10/15	J		
90. McDonald's common stock	A	Dividend	J	T					
91. Coca Cola common stock	A	Dividend	J	T					
92. Nike common stock class B	A	Dividend	J	T					
93.									
94.									
95.									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PMA as used in section VII refers to Prudential Management Associates, which maintains managed asset portfolios (individual and IRA) consisting of investments identified in that section.

Oppenheimer as used in section VII refers to Oppenheimer & Co., Inc., which maintains brokerage accounts (individual and IRA) consisting of investments identified in that section.

Fidelity 401k as used in section VII refers to a 401k account maintained by Fidelity Investments consisting of investments identified in that section.

Nationwide annuity contract, as used in section VII refers to a Nationwide variable annuity account containing investments identified in that section.

NY 529 as used in section VII refers to NY State 529 college savings program accounts containing investments identified in section VII.

Re VII, item 2: It appears this life insurance policy should have been reported in prior years.

Re VII, items 9-10: This is an investment in which two additional purchases were made during the year. Item 9, columns B(1)-(2) reflects dividends during the year on the entire investment, including additional purchases during the year. Item 9, columns C(1)-(2) reflects the value of the entire investment at year end, including additional purchases during the year. Columns D(1)-(3) in items 9 and 10 reflects each of the two sets of additional purchases made during the year, in May and in August.

Re VII, item 43: it appears this life insurance policy should have been reported in last year's report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Joan M. Azrack**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544