

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gorton, Nathaniel M.	2. Court or Organization District Court - Massachusetts	3. Date of Report 05/16/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Court - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address United States District Court 1 Courthouse Way, Suite 3110 Boston, MA 02210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Stockholder, Clerk/Secretary and Director	SG Seafood Holdings, Inc., Boston, MA (closely held [redacted] seafood business) a MA corporation.
2. Member of the Corporation	The New England Home for Little Wanderers, Boston, MA (non-profit, private child-welfare agency)
3. Power of Attorney/Trustee (see NOTE in Part VIII)	Fidelity money market accounts (for all stockholders of SG Seafood Holdings Inc., [redacted])
4.	[redacted] [redacted]
5. Trustee	Irrevocable Trust (created in connection with the estate plan [redacted])
6. Trustee	1989 Irrevocable Trust and Irrevocable Sub S Trust (both created in connection with the estate plan [redacted])

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. August 19	SG Seafood Holdings, Inc. (Closely held seafood business) corporate clerk/secretary, director, custodian, trustee and accounting duties	\$10,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. New York Intellectual Property Lawyers Association				reimbursement for lodging and travel by train w/spouse from Boston to NYC to attend annual N.Y.I.P.L.A. dinner 3/23/12 at Waldorf Astoria
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SG Seafood Holdings, Inc. (a MA corporation) (common stock)	A		P1	Q					See Section VIII
2. Fidelity Cash Reserves (individual)	A	Dividend	K	T					
3. Fidelity Money Market Accounts (POA, trustee)	A	Dividend	N	T					
4. Charitable Remainder Trust	E	Int./Div.							See Section VIII
5. Lowes Cos. Inc.					Sold	1/13/12	J		
6. Ross Stores Inc.					Sold	1/13/12	J	B	
7. McDonalds Corp.					Buy	1/13/12	J		
8. Nike Inc. CL B					Buy	1/13/12	J		
9. Abbott Labs					Sold	2/17/12	J	A	
10. American Express Co.					Buy	2/17/12	J		
11. Google Inc. CLA					Sold	2/23/12	J	A	
12. Oracle Corp.					Buy	2/23/12	J		
13. Western Union Co.					Buy	2/23/12	J		
14. Procter & Gamble Company					Sold	3/2/12	J	C	
15. Gilead Sciences					Buy	3/2/12	J		
16. UnitedHealth Group Inc.					Buy	3/2/12	J		
17. JPM CAP XXVIII VAR RATE 7.20% fixed 12/22/14					Sold	7/12/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Netapp Inc.					Sold	8/1/12	J		
19. Fresenius Medical Care AG ADR					Buy	8/1/12	J		
20. Novo-Nordisk AS ADR-B					Buy	8/1/12	J		
21. Wells Fargo * Co. New					Buy	9/7/12	J		
22. Western Union Co.					Sold (part)	10/31/12	J		
23. Western Union Co.					Sold (part)	11/6/12	J	B	
24. Boston Financial Management, Inc. IRA	F	Distribution	P1	T					See Section VIII
25. Dodge & Cox FDS INTL STK FD					Sold	1/13/12	J		
26. Lowes Cos. Inc.					Sold	1/13/12	J		
27. Diageo PLC ADR					Buy	1/13/12	J		
28. McDonalds Corp.					Buy	1/13/12	K		
29. HCP Inc.					Buy	2/17/12	J		
30. Hewlett Packard Co.					Sold	2/24/12	K		
31. Google Inc. CL A					Sold	2/23/12	K	C	
32. Oracle Corp.					Buy	2/28/12	J		
33. Western Union Co.					Buy	2/28/12	J		
34. Procter & Gamble Company					Sold	3/2/12	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Gilead Sciences					Buy	3/7/12	J		
36. UnitedHealth Group Inc.					Buy	3/7/12	J		
37. Walgreen Company					Sold	3/14/12	K		
38. American Express Co.					Buy	3/14/12	K		
39. Walmart Stores, Inc.					Sold	4/5/12	K		
40. DirecTV Holdings					Buy	4/5/12	K		
41. Nextera Energy Capital Ser					Buy	4/17/12	J		
42. Enbridge Corp.					Buy	4/18/12	J		
43. Anheuser-Busch INBEV					Buy	4/27/12	K		
44. Teva Pharmaceutical Inds.					Sold	5/10/12	J		
45. Gilead Sciences					Buy	5/10/12	J		
46. Total S A ADR					Buy	5/10/12	J		
47. UnitedHealth Group Inc.					Buy (add'l)	5/10/12	J		
48. JPM CAP XXVIII VAR RATE 7.20% FIXED 12/22/14					Sold	7/12/12	J		
49. Federal Home Loan Mortgage Corp.					Sold	7/16/12	K		
50. Netapp Inc.					Sold	8/6/12	K		
51. Fresenius Medical Care AG ADR					Buy	8/6/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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52. Novo-Nordisk AS ADR-B					Buy	8/6/12	J		
53. GECC PFD 6% C 4/24/12					Sold	8/16/12	J	A	
54. Wells Fargo & Co. New					Buy	9/12/12	J		
55. Oneok Partners LP					Buy	10/2/12	K		
56. IBM Intl Group CAP					Sold	10/22/12	K		
57. Western Union Co.					Sold	11/5/12	J	B	
58. Abbott Laboratories					Sold	11/30/12	K		
59. ADT Corp.					Buy	12/20/12	J		
60. Boston Financial Management, Inc. revocable trust	C	Int./Div.	M	T					See Section VIII
61. Lowes Cos. Inc.					Sold	1/19/12	J		
62. American Express Co.					Buy	2/23/12	J		
63. HSBC Holdings PLC ADR					Buy	2/23/12	J		
64. Westwood, MA-GO					Buy	3/8/12	K		
65. Peabody Energy Corp.					Sold	5/8/12	J		
66. Automatic Data Processing					Sold	7/5/12	J	A	
67. Sigma Aldrich Corp.					Sold	7/5/12	J	B	
68. Western Union Co.					Sold (part)	11/5/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. Western Union Co.					Sold (part)	11/9/12	J	B	
70. Boston Financial Mangement, Inc. revocable trust	B	Int./Div.	K	T					See Section VIII
71. Teva Pharmaceutical Inds.					Sold	5/10/12	J		
72. Peabody Energy Corp.					Sold	5/18/12	J		
73. Wells Fargo & Co. New					Buy	9/12/12	J		
74. Western Union Co.					Sold (part)	11/5/12	J	A	
75. Western Union Co.					Sold	11/9/12	J	A	
76. Bank of America	A	Interest	J	T					
77.									
78.									
79.									
80.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, Line 3 - NOTE: None of the beneficiaries of the following trusts and accounts of which the reporting party is a trustee (or holds a power of attorney) is a dependent of the reporting party and neither the reporting party nor his spouse has any beneficial interest in or control over the disposition of assets of such trusts and accounts.

Part VII, Line 1 - Income of the reporting party reported in Section VII is attributable to his pro rata share of the income of SG Seafood Holdings, Inc. ("Holdings") which is taxed as an S corporation whereby all corporate income is deemed passed through and taxable to the individual stockholders whether or not such income is, in fact, distributed. The value of the stock holdings is appraised biennially by a certified financial analyst.

Part VII, Line 4 - in 1997 [REDACTED] funded a charitable remainder trust of which they are the income beneficiaries for life but in which they retain no right to principal.

Part VII, Lines 4, 24, 60 and 70 - The assets listed on these lines (and the transactions noted in the lines that follow) are managed by Boston Financial Management, Inc., Boston, MA and consist of stocks and bonds in publicly held companies and equity holdings based on indices listed in Attachment #1.

Part VII, Line 24 - The reporting party is now required to withdraw a certain minimum amount from his IRA account each year.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Nathaniel M. Gorton**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

Financial Disclosure Report
Nathaniel M. Gorton
May 15, 2013
Attachment #1

All of the listed holdings as of 12/31/12 are of common stock unless otherwise noted.

ADT Corp.	Market Vectors Gold Miners ETF
Abbott Labs (stocks & bond)	Matthews Asia Small Cos.
Air Products & Chemicals, Inc.	McCormick & Co., Inc.
American Express Co. (stocks & bond)	McDonalds Corp.
Anadarko Pete Corp.	National Rural Utilities (bond)
Anheuser Busch Corp.	Nextera Energy Corp.
Automatic Data Processing, Inc.	Nike, Inc.
Avalonbay Communities, Inc.	Novo-Nordisk AS ADR-B
Avon Products, Inc. (bond)	Oneok Partners, LP (bond)
Chubb Corp.	Oracle Corp.
Citrix Systems, Inc.	Pepsico, Inc.
Coca Cola Co.	Pitney Bowes, Inc. (bond)
Costco Wholesale Corp	Procter & Gamble Co.
CVS Corp.	Public Storage, Inc. (stock & pfd)
Devon Energy Corp.	RPM. Inc. (Ohio)
Diageo PLC-Sponsored ADR	Ross Stores, Inc.
DirecTV Holdings (bond)	Royce Fund Penn Mut Inv.
Emerson Electric Co.	SBC Communications, Inc. (bond)
Enbridge Corp.	Sigma Aldrich Corp.
Exxon -Mobil Corp.	SPDR Gold Trust
Fresenius Medical Care AG ADR	Stryker Corp.
Georgia Power Co. (Bond)	Total SA ADR
Gilead Sciences Corp.	UnitedHealth Group, Inc.
Google Inc.	United Parcel Service, Inc.
Gulf Power Co. (pfd)	United Technologies, Inc.
HCP, Inc.	Vodafone Group, Inc.
Health Care REIT, Inc. (pfd)	Wells Fargo & Co.
HSBC Holdings (pfd-CL and stock)	Westwood, MA (Town of (municipal bond)
Intel Corp.	
Johnson & Johnson	

Equity holdings based on indices:

- ISHares, Inc. Morgan-Stanley Capital Int'l (MSCI) Pacific Rim ex-Japan Index
- ISHares, Inc. MSCI Emerging Market Index
- ISHares, Inc. Russell 2000 Index