

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lauck, M H.	2. Court or Organization United States District for the Eastern District of Virginia	3. Date of Report 05/15/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-time magistrate judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. District Court, EDVA 701 East Broad Street, Suite 5212 Richmond, VA 23219-3528		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Custodian	Wells Fargo Custodial Accounts
2.	Immediate Past President	John Marshall Inn of Court
3.	Board Member	Federal Bar Association
4.	Council Member	State-Federal Judicial Council of Virginia
5.	Member	Professionalism Advisory Committee

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	University of Richmond, John Marshall Professor of Judicial Studies	\$7,500.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	[REDACTED] - salary and benefits
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bar Association	2/26/13-3/2/13	Tucson, AZ	Insurance Coverage Committee CLE (teach)	Transportation, meals, and lodging
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	credit card	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo bank accounts	A	Interest	J	T					
2. Wells Fargo Custodial bank accounts	A	Interest	J	T					
3. Brokerage account #1									
4. - Exxon	A	Dividend	K	T					
5. - International Business Machines	A	Dividend	K	T	Donated (part)				
6. - Pfizer	A	Dividend	J	T					
7. - Under Armor, Inc.		None	K	T					
8. - Verizon	A	Dividend	J	T					
9. Brokerage account #2									
10. - AT&T	A	Dividend	J	T	Sold (part)	04/16/13	J	C	
11. - Celgene Corp		None	J	T	Sold (part)	04/15/13	J	B	
12. -					Sold (part)	11/14/13	J	B	
13. - Cisco Sys Inc	A	Dividend	J	T					
14. - Coach Inc	A	Dividend	J	T					
15. - ConocoPhillips	A	Dividend	J	T	Sold (part)	11/14/13	J	B	
16. - Devon Energy Corp New	A	Dividend	J	T					
17. - Dun & Bradstreet Corp Del New	A	Dividend	J	T	Buy	04/19/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. - Dunkin Brands Group Inc	A	Dividend	J	T	Buy	04/19/13	J		
19. - E M C Corp Mass		None	J	T					
20. - Eaton Corp	A	Dividend	J	T	Sold (part)	04/19/13	J	A	
21. -					Sold (part)	11/19/13	J	B	
22. - International Business Machines	A	Dividend	J	T					
23. - Life Technologies Corp Com		None			Sold	04/17/13	K	D	
24. - Lowes Companies	A	Dividend	J	T	Sold (part)	04/16/13	J	B	
25. -					Sold (part)	11/14/13	J	C	
26. - Nuance Communications Inc		None	J	T					
27. - Omnicon	A	Dividend	J	T	Sold (part)	04/16/13	J	B	
28. -					Sold (part)	11/14/13	J	A	
29. - Pitney Bowes Inc (Y)	A	Dividend							
30. - Target Corp	A	Dividend	J	T					
31. - Travelers Cos Inc Com	A	Dividend	J	T	Sold (part)	04/16/13	J	B	
32. - US Bankcorp Del Com New	A	Dividend	J	T	Buy	05/18/13	J		
33. - Wells Fargo & Co New	A	Dividend	J	T	Sold (part)	04/16/13	J	B	
34. - FPL Group Capital Inc Bond	A	Interest			Redeemed	06/17/13	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - H J Heinz Bond	A	Interest			Redeemed	07/08/13	J		
36. - Canadian Imperial Bank Bond	A	Interest	J	T					
37. - Home Depot Inc Bond	A	Interest	J	T					
38. - Int'l Paper Co Bond	B	Interest	K	T					
39. - SunTrust Bank Atlanta CD	A	Interest	J	T					
40. - Fidelity Tax Free Money Market	A	Interest	L	T					
41. Trust #1	E	Int./Div.	P1	T					
42. - AT&T									
43. - Baxter Int'l Inc.					Buy	12/05/13	L		
44. - Celgene Corp									
45. - Cisco Sys Inc					Sold	12/02/13	L	D	
46. - Coach Inc									
47. - ConocoPhillips									
48. - Consolidated Edison Holding									
49. - Dun & Bradstreet Corp Del New					Buy	03/06/13	K		
50. - Eaton Corp									
51. - EMC Corp MA									

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52. - GE									
53. - International Business Machines									
54. - Johnson & Johnson									
55. - Kinder Morgan Inc					Buy	05/07/13	K		
56. - Life Technologies Inc					Sold	04/17/13	L	E	
57. - Lowes Companies									
58. - Medtronic Inc									
59. - Microsoft Inc									
60. -Nuance Communications									
61. - Omnicom Group									
62. - PepsiCo Inc									
63. - Southern Co.									
64. - TEVA Pharmaceutical ADR					Sold	01/30/13	K	D	
65. - Travelers Cos Inc Com									
66. - Walgreen Company									
67. - Wells Fargo & Co New.									
68. - HSBC Fin Corp Bond									

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69. - American Express Credit Bond					Redeemed	05/02/13	K		
70. - Federal Home Loan Banks Bond									
71. - Hewlett Packard Co Bond									
72. - L-3 Communications Corp Bond									
73. - Ryder Systems Bond					Redeemed	03/01/13	K		
74. - Staples Inc Bond					Buy	07/30/13	L		
75. - Conagra Foods Inc Bond					Buy	08/28/13	K		
76. - Wellpoint Inc Bond					Buy	07/31/13	K		
77. - Discover Bank CD					Redeemed	06/25/13	L		
78. - Fidelity NJ Municipal Money Market									
79. GE SSP program (retirement)									
80. - GE Stock Fund	A	Dividend	J	T					
81. - GE US Eqty fund	A	Dividend	J	T					
82. - GE Income Fund	A	Dividend	J	T					
83. TIAA CREF (retirement)									
84. - TIAA Traditional		None	K	T					
85. - CREF Stock		None	M	T					

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86. - CREF Growth		None	K	T					
87. - CREF Equity Index		None	K	T					
88. - CREF Social Choice		None	K	T					
89. AT&T (Custodian)	A	Dividend	J	T					
90. Pepco (Custodian) (X)	A	Dividend	J	T					
91. BNY Mellon (Custodian) (X)	A	Dividend	J	T					
92. Dominion Resources (X)	A	Dividend	J	T					
93. VA529 College Savings Plan, prepaid, age-based, no control		None	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments and Trusts: Line 29 Pitney Bowes was disposed in full in 2012, but erroneously listed as Sold (Part) on the 2012 FDR.

Part VII. Investments and Trusts: Lines 83 through 88 TIAA-CREF retirement accounts were in previous years listed on a single line with an income type of Dividend. They are now listed separately by fund, and with income type None per page 47 of the Filing Instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ M H. Lauck

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544