

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Willett, Eileen S.	2. Court or Organization District Court- Arizona	3. Date of Report 01/05/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Magistrate Judge: full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2014
7. Chambers or Office Address US District Court, District of Arizona 401 West Washington Street, Ste. 321-SPC 13 Phoenix, AZ 85003-2120		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Judge	Maricopa County Superior Court, State of Arizona
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2014	Elected Officials Retirement Plan participant with the State of Arizona as a retired Superior Court Judge, no control
2. 2014	Arizona Department of Administration Dental plan participant through the Elected Officials Retirement Plan as a retired Superior Court Judge, no control
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 9/1/2014	Elected Officials' Retirement Plan--defined benefit pension plan annual income received as a retired Superior Court Judge, State of Arizona	\$93,484.22
2. 2014	Maricopa County, State of Arizona annual earned income as an active Superior Court Judge	\$145,000.00
3. 2013	Maricopa County, State of Arizona annual earned income as an active Superior Court Judge	\$145,000.00
4. 2012	Maricopa County, State of Arizona annual earned income as an active Superior Court Judge	\$145,000.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	salary as employee
2. 2013	salary as employee
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. EXEMPT				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	EXEMPT		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America accounts	A	Interest	L	T	Exempt				
2. AZ State Credit Union accounts	A	Interest	K	T					
3. Meriwest Credit Union accounts	A	Interest	M	T					
4. Maricopa County Deferred Compensation 457 (b) Plan (H)									
5. -Universal Life Insurance Plan 1: Nationwide Retirement Solutions	A	Interest	J	T					
6. -Nationwide Maricopa Fixed Option	D	Int./Div.	N	T					
7. ☐ Stock Options: The Coleman Group at Smith Barney		None	K	T					
8. GE Capital Bank CDs (mature 2019)	B	Interest	L	T					
9. Rental property #1, ☐ ☐ (2013 \$286,500)	E	Rent	N	R					
10. Charles Schwab IRA (☐ (H)	B	Int./Div.	K	T					
11. -Apple, Inc. (APPL) stock, common									
12. -Intel Corp (INTC) stock, common									
13. -Invensense Inc (INVN) stock, common									
14. -PIMCO INCM CL D (PONDIX)									
15. -Metropolitan West High Yield Bond M (MWHYX)									
16. -Doubleline Total Return BD FD CL N (DLTNX)									
17. -Ridgeworth SEIX Float Rate High Inc I (SAMBX)									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. -Blackrock Util & Infrs (BUI)									
19. -SPDR S&P Dividend ETF (SDY)									
20. -Schwab Fundamental US Large Co Index (SFLNX)									
21. -Sch US DIV Equity ETF (SCHD)									
22. -Vanguard Wellesley Income Fund Investor Share (VWINX)									
23. -Fidelity Contra Fund (FCNTX)									
24. -Gabelli Small Cap Growth Fund Cl AAA (GABSX)									
25. 401(K) Plus Plan (H)	C	Int./Div.	O	T					
26. -Tier 1 Life Cycle Funds: Target Date 2020									
27. -Tier 1 Life Cycle Funds: Income Plus Life Strategy									
28. -Tier 2 Core Funds: Total Stock Market Index									
29. -Tier 2 Core Funds: Reit Index									
30. -Tier 2 Core Funds: Infl Protected Bond									
31. -Tier 2 Core Funds: Interest Income Fund									
32. -Tier 3 Expanded Choice Funds: Large-Cap Value Indx									
33. -Tier 3 Expanded Choice Funds: Long-Term Corp Bond									
34. -Tier 4 Mutual Fund Window: DFA Glob Real Estate									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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35. -Tier 4 Mutual Fund Window: Vang Wellesley ADM									
36. -Tier 4 Mutual Fund Window: PIM ALL A ALL Auth I									
37. -Tier 4 Mutual Fund Window: Vang Star									
38. -Tier 4 Mutual Fund Window: PIM EM Mkts BD Inst									
39. -Tier 4 Mutual Fund Window: PIM TOT RT III Inst									
40. -Tier 4 Mutual Fund Window: Vang Intm INV GR ADM									
41. Charles Schwab One Brokerage Account (H)									
42. -Federated Short Term Income Inst Share (FSTIX)	A	Dividend							
43. -US Treasury Note ()	A	Interest	J	T					
44. -AT&T Inc (T) stock, common	A	Dividend							
45. -Getty Realty Corp New REIT (GTY)	A	Dividend	J	T					
46. -GE Capital Retail BA NKA Synchrony Bank CD ()	A	Interest	J	T					
47. -Schwab Monthly Income FD Enhanced Payout (SWKRX)	A	Dividend	J	T					
48. -Voya Prime Rate Trust (PPR)	A	Dividend	J	T					
49.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Eileen S. Willett

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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