

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Collins, Daniel P.	2. Court or Organization Bankruptcy Court Arizona	3. Date of Report 06/19/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 230 N. 1st Avenue, Suite 601 Phoenix, Arizona 85003		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	University of Arizona Law School Board of Visitors
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Collins, Daniel P.

Date of Report

06/19/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	May, Potenza, Baran & Gillespie, P.C. -- receivables payout	\$32,720.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Mountain View Family Physicians, PLLC --- K-1 income from medical practice
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bankruptcy Institute	4/24/14 to 4/27/14	Washington, DC	Speaker at 32nd Annual Spring Meeting	Transportation, meals, hotel
2. American Bankruptcy Institute	9/4/14 to 9/6/14	Las Vegas, NV	Speaker at 22nd Annual SW Bankruptcy Conference	Transportation, meals, hotel
3. National Conference of Bankruptcy Judges	10/7/14 to 10/11/14	Chicago, IL	2014 Annual Conference	Hotel
4. American Bankruptcy Institute	12/4/14 to 12/6/14	La Quinta, CA	Speaker at 2014 Winter Leadership Conference	Transportation, meals, hotel
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Collins, Daniel P.

Date of Report

06/19/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Gonzaga University	College tuition	K
2.	University of Southern California	College tuition	K
3.	Loyola Marymount College	College tuition	K
4.	Xavier College Preparatory	High School tuition	K
5.	Brophy College Preparatory	High School tuition	K

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Collins, Daniel P.

Date of Report

06/19/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Mountain View Family Physicians, PLLC-- Medical practice		None	J	W					
2. 401K #1	E	Int./Div.	O	T					
3. -- Oppenheimer Funds-Cash Reserves									
4. -- Oppenheimer Funds -Value Fund									
5. -- Oppenheimer Funds-Rising Dividends Fund									
6. -- Oppenheimer Funds-Discovery Fund									
7. -- Oppenheimer Funds-Small and MidCap Value Fund									
8. -- Oppenheimer Funds-International Diversified Fund									
9. -- Oppenheimer Funds-Global Strategic Income Fund									
10. -- Oppenheimer Funds-Real Estate Fund (X)									
11. -- Oppenheimer Funds-Capital Income Fund									
12. -- Oppenheimer Funds-Equity Income Fund									
13. 401K #2	E	Int./Div.	N	T					
14. -- Schwab Investor Money Fund									
15. -- Income and Growth ETF Fund 35									
16. Allianz--Bonus Dex Annuity	A	Int./Div.	K	T					
17. Allianz--Accumulator Bonus Maxx Annuity	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Collins, Daniel P.

Date of Report

06/19/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. IRA #1	D	Int./Div.	L	T					
19. -- RBC Dain Rauscher Cash and Money Market Fund (Y)									
20. -- Templeton Fund Select Shares (X)									
21. -- AllianceBernstein Discovery									
22. -- Eaton Vance Dividend Builder									
23. -- Fidelity Advisors New Insights C Fund									
24. -- Franklin Income FDS									
25. -- Ivy Asset Strategy Fund									
26. -- Templeton Global Bond Fund									
27. IRA #2	B	Int./Div.	K	T					
28. -- Fidelity Advisors New Insights C Fund									
29. -- Templeton Select Shares Money Market Fund									
30. IRA #3 (SEP IRA)	C	Int./Div.	L	T					
31. -- Franklin Income Class C Fund									
32. -- Jennison Natural Resources Fund									
33. IRA # 4	D	Int./Div.	M	T					
34. -- Vanguard -WellingtonAdmiral Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Collins, Daniel P.

Date of Report

06/19/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -- Vanguard -Windsor II Admiral Fund									
36. IRA #5	D	Int./Div.	M	T					
37. -- Vanguard-WellingtonAdmiral Fund									
38. -- Vanguard -Windsor II Admiral Fund									
39. Athene--Retirement Annuity	A	Int./Div.	K	T					
40. JP Morgan Chase Bank--savings and checking accounts	A	Interest	L	T					
41. Janus Fund	D	Int./Div.	M	T					
42. Janus Fund	E	Distribution	K	T					
43. Fidelity-Unique 2012 Fund	C	Distribution			Sold	11/06/14	L	B	
44. Vanguard - 500 Index Fund	B	Int./Div.	J	T					
45. Vanguard 529 Plan	E	Int./Div.	N	T	Buy	01/03/14	N		
46. -- Mid-Cap Index									
47. -- Small-Cap Index									
48. --Total International Stock Index									
49. --Value Index									
50. American Funds	D	Int./Div.	M	T					
51. -- Growth Fund of America 529C									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Collins, Daniel P.

Date of Report

06/19/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. Merrill Lynch-MILM Equity Portfolio S Fund	B	Int./Div.	K	T					
53. Franklin Templeton-Aged Based Growth Asset Allocation 13-16	B	Int./Div.	K	T					
54. Arizona Bank & Trust	A	Interest	M	T					
55. Health Savings Account		None	J	T					
56. Fidelity -- AZ Aggressive Growth	C	Int./Div.	L	T	Buy	01/03/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting	Date of Report
Collins, Daniel P.	06/19/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Response to Gary A. Fenner correspondence dated June 1, 2015. The wrong report (Initial Report - Report Period 1/1/12 to 2/28/13) was used as a base in preparing the Annual Report for 2014. As a result, the information contained in the 2014 Annual Report was incorrect. The Amended Annual Report for 2014 has now been corrected and the information contained is accurate.

Paragraph 2: Parts IV and V have been corrected. The reimbursements for 2014 have been listed in Part IV. No gifts were received in 2014.

Paragraph 3: Part VII, UBS Financial-American Funds Inv Co and Fidelity Adv Gro Op was not owned in 2013 (see 2013 Annual Report dated 05/12/2014).
Part VII, JP Morgan Chase Bank - Savings and Checking Accounts has been reported at Line 40.

Paragraph 4: Part VII, UBS Financial-American Funds Inv Co and Fidelity Adv Gro Op was not owned in 2013 (see 2013 Annual Report dated 05/12/2014).

Paragraph 5: Part VII, UBS Financial-American Funds Inv Co and Fidelity Adv Gro Op was not owned in 2013 (see 2013 Annual Report dated 05/12/2014).
Part VII, Franklin-Templeton-Age Based Growth Asset Allocation 13-16 has been reported at Line 53.

Paragraph 6: Part VII, Arizona Bank & Trust has been reported at Line 54.
Part VII, Health Savings Account has been reported at line 55.

Part VII, Line 19, RBC Dain Rauscher Cash and Money Market Fund was closed and transferred to Templeton Fund Select Shares, Line 20.

Part VII, Line 39, Aviva Life and Annuity Company was purchased by Athene.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Collins, Daniel P.

Date of Report

06/19/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Daniel P. Collins**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544