

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dennis, James L.	2. Court or Organization U.S. 5th Cir. Court of Appeals	3. Date of Report 06/05/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address John Minor Wisdom Building 600 Camp Street, Room 219 New Orleans, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

- | | |
|---|---|
| 1. Member, Advisory Committee | Geo. W. & Jean H. Pugh Institute for Justice, a fund held by the L.S.U. Foundation for the benefit of L.S.U. Law School |
| 2. Member, LSU Law Center Board of Trustees | Louisiana State University Law Center Board of Trustees, a consultative body to the Chancellor |
| 3. | |
| 4. | |
| 5. | |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

- | | |
|-------------|--|
| 1. 10/02/95 | LA State Employees' Retirement System. No control; fully vested 10/31/94. See La.R.S. 11:551 |
| 2. | |
| 3. | |

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Dennis, James L.

Date of Report

06/05/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	LA State Employees' Retirement System - Pension	\$64,105.56
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Teachers' Retirement System of Louisiana - pension
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Dennis, James L.

Date of Report

06/05/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Dennis, James L.

Date of Report

06/05/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AllianceBernstein International Growth Fund (AWPAX)	A	Dividend	K	T					
2. Hospitality Properties	A	Dividend	J	T					
3. Municipal Mortgage & Equity (now MMA Capital Mgmt)	A	Dividend	J	T					
4. DCP Midstream	A	Dividend	J	T					
5. Bank Deposit Program (FKA Centennial Money Market) Wachovia	A	Interest	M	T					
6. EV Energy Partners	B	Dividend	J	T					
7. Rayonier, Inc.	A	Dividend			Sold	06/30/14	J	A	
8. Crestwood formerly Inergy LP	A	Dividend	J	T					
9. Anchor Nat'l Life Polaris Choice II Variable Annuity (FKA An	A	Dividend	L	T					
10. Crosstex Energy L.P. (now Enlink Midstream)	A	Dividend	J	T					
11. Energy Transfer Equity	C	Dividend	K	T					
12. Regency Energy Partners	A	Dividend	J	T					
13. Amgen	A	Dividend	J	T					
14. Appollo Inv. Corp	A	Dividend	J	T					
15. Energy Transfer Partners	B	Dividend	K	T					
16. Enterprise Prods partners	B	Dividend	K	T					
17. JP Morgan Short Term Bond Fund	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

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Dennis, James L.

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Kinder Morgan Energy	A	Dividend			Sold	12/02/14	J	D	
19. Penn West Petroleum	A	Dividend	J	T					
20. Plains All American Pipeline	B	Dividend	K	T					
21. Postrock Energy	A	Dividend	J	T					
22. Targa Resources Partners	A	Dividend	K	T					
23. Travel Centers of America	A	Dividend	J	T					
24. Vanguard Natural Resource	B	Dividend	J	T					
25. Teekay Tankers	A	Dividend	J	T					
26. Genesis Energy	A	Dividend	J	T					
27. MBIA	A	Dividend	J	T					
28. Centurylink	A	Dividend	K	T					
29. El Paso Pipeline	A	Dividend			Sold	12/02/14	J	D	
30. International Paper	A	Dividend	J	T					
31. Duff & Phelps Global	B	Dividend	K	T					
32. Enbridge Energy Partners	A	Dividend	J	T					
33. Chesapeake Granite	B	Dividend			Sold	12/31/14	J	A	
34. Hercules Technology	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 10

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35. Hewlett Packard	A	Dividend	J	T					
36. Nuveen Diversified	A	Dividend	J	T					
37. Sandridge Mississippian	A	Dividend	J	T					
38. Sandridge Permian	B	Dividend	J	T					
39. Symantec Corporation	A	Dividend	J	T					
40. Willbros Group	A	Dividend	J	T					
41. Delta Airlines	A	Dividend	J	T					
42. Apple Inc.	A	Dividend	J	T					
43. Applied Materials	A	Dividend	J	T					
44. Ares Commercial	A	Dividend	J	T					
45. Morgan Stanley Emerging Markets Domestic Debt Fund	A	Dividend			Sold	12/31/14	J	A	
46. First Trust IPOX	A	Dividend	J	T					
47. Hartford Financial Services	A	Dividend	J	T					
48. Huntsman Corp.	A	Dividend	J	T					
49. Intel Corp.	A	Dividend	J	T					
50. Markwest Energy	A	Dividend	J	T					
51. Prudential Short Duration High Yield Fund	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

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52. Suburban Propane	A	Dividend	J	T					
53. Tata Motors Ltd.	A	Dividend	J	T					
54. Capital Projects Financial Authority	A	Dividend	J	T					
55. Olin Corp	A	Dividend	J	T					
56. Suburban Propane	B	Dividend			Sold	12/31/14	J	A	
57. CVR Refining	A	Dividend			Sold	12/31/14	J	A	
58. KKR & Co. LP	A	Dividend	J	T					
59. Taylor Morrison Home Corp.	A	Dividend	J	T					
60. Susser Petroleum (now Sunoco 10/27/2014)	A	Dividend	J	T					
61. Trinity Industries	A	Dividend	J	T					
62. Cisco Systems	A	Dividend	J	T					
63. Freeport McMoRan	A	Dividend	J	T					
64. DR Horton Inc.	A	Dividend	J	T					
65. Tesoro Logistics	A	Dividend	J	T					
66. AllianceBernstein Holding L.P. (AB)	A	Dividend	J	T					
67. Seadrill Partners	A	Dividend			Sold	12/31/14	J	A	
68. Swift Transportation	A	Dividend	J	T	Buy	08/22/14	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

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Dennis, James L.

Date of Report

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69. First Trust	A	Dividend	K	T	Buy	09/16/14	K		
70. Coreenergy	A	Dividend	J	T	Buy	11/18/14	J		
71. Kinder Morgan Inc	A	Dividend	K	T	Buy	12/02/14	K		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Dennis, James L.

Date of Report

06/05/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The LSU Law Center Board of Trustees has no reportable assets.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Dennis, James L.

Date of Report

06/05/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James L. Dennis**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544