

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kaufman, Victoria S.	2. Court or Organization California - Bankruptcy Court	3. Date of Report 08/10/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address United States Bankruptcy Court San Fernando Valley Division 21041 Burbank Boulevard, Suite 354 Woodland Hills, CA 91367		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Tennis Channel, salary
2. 2011	ATP, Board member fees
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		School Tuition	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank accounts	A	Interest	J	T					
2. JPMorgan Chase (successor to Washington Mutual) accounts		None	J	T					
3. Trust # 1									
4. -- No reportable assets									
5. Kaufman Investments LLC	A	Interest	K	U					
6. Brokerage Acct. # 1									
7. -- Money Market Account (Mesirow Financial)	A	Dividend	K	T	Sold (part)	01/19/11	K		
8.					Sold (part)	03/03/11	K		
9.					Buy	06/07/11	K		
10. -- Connacher Oil & Gas Ltd.		None	K	T					
11. -- Kandi Technologies		None	L	T	Buy	01/13/11	K		
12.					Buy	02/28/11	K		
13.					Buy	03/03/11	J		
14. -- Vantage Drilling		None	K	T	Sold (part)	06/01/11	K	D	
15. Brokerage Acct. #2									
16. -- Money Market Account (Goldman Sachs)	B	Interest	M	T					
17. -- Banco Santander USA	A	Dividend			Buy	01/13/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold	01/18/11	J	B	
19.	-- Chindex International, Inc. CMN	None	K	T	Buy	09/28/11	J		
20.					Sold	10/17/11	J		
21.					Buy	11/29/11	J		
22.	-- Connacher Oil & Gas Ltd.	None	K	T	Buy	09/16/11	J		
23.					Buy	11/16/11	J		
24.	-- Equal Energy Ltd.	None	K	T	Buy	07/29/11	J		
25.					Buy	08/01/11	J		
26.					Buy	08/09/11	J		
27.					Buy	08/11/11	J		
28.					Buy	12/23/11	J		
29.					Buy	12/27/11	J		
30.	-- Kandi Technologies Corp.	None	L	T	Sold (part)	01/12/11	J		
31.					Buy	02/04/11	J		
32.					Buy	02/09/11	J		
33.					Buy	02/22/11	J		
34.					Buy	02/28/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy	03/03/11	J		
36.					Buy	03/17/11	J		
37.					Buy	04/01/11	J		
38.					Buy	04/05/11	J		
39.					Buy	04/11/11	J		
40.					Buy	05/26/11	J		
41.					Buy	06/07/11	J		
42. -- Net I UEPS Technologies, Inc. CMN		None	K	T					
43. -- Orexigen Therapeutics		None			Sold (part)	01/11/11	J	C	
44.					Sold (part)	01/14/11	J	C	
45.					Sold	01/26/11	J	C	
46. -- Premier Exhibitions Inc. CMN		None	J	T					
47. -- Pvt BK Corp. (Private Bancorp.)	A	Dividend			Buy	08/11/11	J		
48.					Sold	10/28/11	J	A	
49. -- Rand Acquisition Corp. CMN		None	J	T	Sold (part)	02/24/11	J		
50. -- Rmour Residential REIT INC	A	Dividend			Sold	01/12/11	K		
51.					Buy	01/26/11	K		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Sold (part)	04/07/11	K	A	
53.					Buy	04/13/11	J		
54.					Sold (part)	05/05/11	J	A	
55.					Sold	05/09/11	J	A	
56. --Sigma Designs - Common		None	K	T					
57. -- Stealthgas, Inc.		None	K	T	Buy	07/15/11	J		
58.					Buy	07/22/11	J		
59.					Buy	10/07/11	J		
60.					Buy	11/18/11	J		
61. -- Vantage Drilling		None	K	T					
62. -- Virmetx Holding Corp.		None			Buy	10/06/11	J		
63.					Sold	10/07/11	J	A	
64. -- Wonder Auto Techn.		None	J	T	Buy	03/29/11	J		
65. -- Yongye International		None	J	T					
66. Brokerage Acct. #3									
67. -- Money Market Account (Goldman Sachs)					Redeemed	09/22/09	J		
68. 529 Acct. # 1									

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69. -- CA Conservative (index)		None			Sold	11/04/11	J		
70. -- CA Portfolio 2015 (index)		None			Sold	11/04/11	J		
71. -- Passive Age Based Portfolio		None	J	T	Buy	11/04/11	J		
72. -- Passive Age Based Portfolio		None	J	T	Buy	11/04/11	J		
73. 529 Acct. # 2									
74. -- Short Term Yield Portfolio A		None	K	T					
75. IRA # 2	A	Dividend	J	T					
76. -- Van Eck Intl Invs Gold Fund									
77. IRA # 3		None	K	T					
78. -- Ishares Comex Gold Trust									
79. -- Ishares Silver									
80. Annuity # 1									
81. -- Fidelity VIP Money Market		None	K	T					
82. Tennis Channel 401(K)									
83. -- American Funds Money Market Fund		None	L	T					
84. IRA # 4									
85. -- Pershing Gvt. Money Market (Centaurus Financial)		None	J	T	Open	09/03/10	J		

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86. -- Van Eck Intl. Invs. Gold Fund	A	Dividend	J	T					
87. -- KBS Real Estate Inv. Trust II, Inc.	B	Dividend	L	T					
88. -- Ishares Silver		None	J	T					
89. IRA # 5	A	Dividend	J	T					
90. -- Van Eck Intl. Invs. Gold Fund									
91. IRA # 6									
92. -- RBS Citizens Insured Deposit		None	J	T	Buy	10/11/11	J		
93. -- Fidelity Asset Mgr.		None	J	T					
94. -- Janus Overseas Fund		None	J	T					
95. ING account # 1	A	Interest	L	T					
96. ING account # 2	A	Interest	J	T					
97. ING account #3	A	Interest	J	T	Buy	06/07/11	J		
98. Annuity # 2 - John Hancock		None	L	T					
99. UTMA Account #1									
100. -- Oppenheimer Gold & Special Minerals	A	Dividend	J	T	Buy	12/20/10	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Regarding Section VII, Investments and Trusts:

529 Acct. #3, holding American Funds Money Market Fund, was sold on 12/3/10. The value code is J. There was no gain. The funds were used to open UTMA Account #1, for which Oppenheimer Gold & Special Minerals was bought on 12/20/10. The value code for that purchase is J. The 2010 Financial Disclosure Report inaccurately indicates that Ishares Silver was bought and Pershing Gvt. Money Market was redeemed for IRA #5 on 12/2/10. Those transactions instead occurred for IRA #4. In addition, the 2010 Financial Disclosure Report mistakenly includes Brokerage Acct. #3. That account was closed, and the funds in the money market were redeemed, on 9/22/09. The value code for that redemption is J. There was no gain.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Victoria S. Kaufman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544