

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dembin, Mitchell D.	2. Court or Organization District Court - San Diego	3. Date of Report 04/17/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 333 W. Broadway Room 1180 San Diego, CA 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member - Judicial Advisory Board	Association of Bustiness Trial Lawyers - San Diego Chapter
2. Sole Member	Silver Lining Music, LLC
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Dembin, Mitchell D.

Date of Report

04/17/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Thomas Jefferson School of Law - Salary
2. 2017	Self-employed attorney
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Sandpiper Partners	2/15/2017	San Francisco, CA	eDiscovery Conference Panelist	Airfare
2. Association of Business Trial Lawyers	10/6/2017-10/8/2017	La Costa, CA	Annual Conference	Lodging Only
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Dembin, Mitchell D.

Date of Report

04/17/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Credit Card	K
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Dembin, Mitchell D.

Date of Report

04/17/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. NML Mutual Fund - jms ira									
2. - Bond Fund of America	A	Dividend	J	T					
3. - Capital World Growth Fund	A	Dividend	J	T					
4. - Growth Fund of America	A	Dividend	J	T					
5. - Income Fund of America - Class A	B	Dividend	K	T					
6. NML Mutual Fund - mdd - ira									
7. - Money Market Fund - Class B	A	Dividend	J	T					
8. - American Century Div. Bond Fund	A	Dividend	K	T					
9. - Brown Cap. Mgmt. Small	A	Dividend			Sold	03/13/17	J	B	
10. - Columbia Acorn Int'l Fund	A	Dividend			Sold	01/31/17	J	A	
11. - Deutsche Enhanced Commodity Strategy	A	Dividend	K	T					
12. - Dodge & Cox Income Fund	B	Dividend	L	T	Sold (part)	01/31/17	J	A	
13. - Euro Pacific Growth Fund	A	Dividend	K	T					
14. - Fidelity Advisor Mid-cap II Fund	A	Dividend	J	T					
15. - Fidelity Advisor New Insights Fund	A	Dividend	L	T	Sold (part)	03/13/17	K	C	
16. - Hartford World Bond Fund Class I	A	Dividend	K	T					
17. - Int'l Growth & Income	A	Dividend			Sold	03/13/17	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Dembin, Mitchell D.

Date of Report

04/17/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - John Hancock Disciplined Fund	A	Dividend	K	T	Sold (part)	01/31/17	J	A	
19. - Metropolitan West Total Return Bond	A	Dividend			Sold	03/13/17	K	A	
20. - New World Fund Class F2	A	Dividend	K	T					
21. -Northern Small Cap Value Fund	A	Dividend	J	T	Sold (part)	03/13/17	K	B	
22. - Oakmark International Fund	A	Dividend	K	T	Sold (part)	03/13/17	J	A	
23. Parnassus Core Equity Fund	A	Dividend			Sold	03/13/17	K	B	
24. - Pimco Income Fund Class P	B	Dividend	K	T	Sold (part)	03/13/17	J	A	
25. - MFS Value Fund - Class I	B	Dividend	L	T					
26. - T Rowe Price Real Estate Fund	A	Dividend	K	T	Sold (part)	01/31/17	J	A	
27. - Templeton Global Bond Fund - Class A	A	Dividend			Sold	03/13/17	J	A	
28. -Vanguard Short-Term Investment Grade A	A	Dividend	J	T	Sold (part)	03/13/17	J	A	
29. Cabrillo Federal Credit Union	A	Dividend	K	T					
30. Wells Fargo Bank - Silver Lining	A	Dividend	J	T					
31. NML Whole Life - mdd	C	Dividend	L	T					
32. NML Variable Life - mdd #1-63									
33. - International Equity Fund	A	Dividend	J	T					
34. - Index 500 Stock Fund	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Dembin, Mitchell D.

Date of Report

04/17/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - Midcap Growth Fund	A	Dividend	J	T					
36. - Growth Stock Fund	A	Dividend	J	T					
37. - Large Cap. Core Fund	A	Dividend	J	T					
38. NML Variable Life - mdd #2-27									
39. - International Equity Fund	A	Dividend	J	T					
40. - Index 500 Stock Fund	A	Dividend	J	T					
41. - Midcap Growth Fund	A	Dividend	J	T					
42. - Growth Stock Fund	A	Dividend	J	T					
43. - Large Cap. Core Fund	A	Dividend	J	T					
44. NML SEP jms									
45. - Bond Fund of America A	A	Dividend	J	T					
46. - Capital World Growth A	A	Dividend	J	T					
47. - Growth Fund of America A	A	Dividend	J	T					
48. - Income Fund of America A	B	Dividend	J	T					
49. Luminore Private Stock (X)	A	Dividend	J	U					
50. NML Brokerage - mdd									
51. -Money Market Fund Class B	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Dembin, Mitchell D.

Date of Report

04/17/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. - American Century Intermediate A	A	Dividend	K	T	Sold (part)	02/22/17	J	A	
53.					Buy (add'l)	03/13/17	J		
54. - Deutsche Enhanced Commodity Strategy	A	Dividend			Sold	03/13/17	J	A	
55. -Dodge & Cox Int'l Fund	B	Dividend	J	T	Sold (part)	03/13/17	J	A	
56. - Euro Pacific Growth Fund	B	Dividend	J	T	Sold (part)	03/13/17	J	A	
57. - Fidelity Advisory Intermediate Muni	A	Dividend	K	T	Sold (part)	02/22/17	J	A	
58.					Buy (add'l)	03/13/17	J		
59. - Fidelity Advisor Ltd. Term	A	Dividend	J	T	Sold (part)	03/13/17	J	A	
60. -Fidelity Advisor Midcap II Fund	A	Dividend	J	T	Sold (part)	03/13/17	J	A	
61. -Fidelity Advisor New Insights Fund	A	Dividend	J	T	Sold (part)	03/13/17	J	A	
62. -Franklin Federal Inter Term Tax-free	A	Dividend	K	T	Sold (part)	02/22/17	J	A	
63.					Buy (add'l)	03/13/17	J		
64. - John Hancock Disciplined Value	A	Dividend	J	T	Sold (part)	02/22/17	J	A	
65. -MFS Value Fund	A	Dividend	K	T	Sold (part)	03/13/17	J	B	
66. -New World Fund Class F2	A	Dividend	J	T	Buy	03/13/17	J		
67. -Northern Small Cap Value Fund	A	Dividend	J	T	Sold (part)	02/22/17	J	A	
68. -Silver Pepper Fund	A	Dividend	J	T	Buy	03/13/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Dembin, Mitchell D.

Date of Report

04/17/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. -T Rowe Price Tax-free High Yield	A	Dividend	K	T	Sold (part)	02/22/17	J	A	
70.					Buy (add'l)	03/13/17	J		
71. -T Rowe Price Real Estate	A	Dividend	J	T	Sold (part)	02/22/17	J	A	
72. NML Variable Annuity - jms									
73. - T Rowe Price Equity Fund	A	Dividend	J	T					
74. - Neuberger Berman Socially Responsive	A	Dividend	J	T					
75. - Fidelity VIP Contra Fund	A	Dividend	J	T					
76. - Janus Focused Appreciation	A	Dividend	J	T					
77. - American Sentry Midcap Value	A	Dividend	J	T					
78. - Fidelity VIP Midcap	A	Dividend	J	T					
79. - T Rowe Price Small Cap Value	A	Dividend	J	T					
80. - MFS Research Int'l	A	Dividend	J	T					
81. - Franklin Templeton Int'l Equity	A	Dividend	J	T					
82. - Janus Int'l Growth	A	Dividend	J	T					
83. - MFS Emerging Markets	A	Dividend	J	T					
84. - T Rowe Price Short-term Bond	A	Dividend	J	T					
85. - Wells Capital Mgmt Select Bond	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

Dembin, Mitchell D.

Date of Report

04/17/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. - Russell Core Bond (RIF Strategic)	A	Dividend	J	T					
87. - American Century Inflation Protection	A	Dividend	J	T					
88. - Pimco Multi-sector Bond	A	Dividend	J	T					
89. - Federated Investment High Yield Bond	A	Dividend	J	T					
90. - Russell (RIF) Global Real Estate Securities	A	Dividend	J	T					
91. - Credit Suisse Commodity Strategy	A	Dividend	J	T					
92. Attorney Appearance LLC		None	J	U					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Dembin, Mitchell D.

Date of Report

04/17/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Dembin, Mitchell D.

Date of Report

04/17/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mitchell D. Dembin**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544