

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Edgar, R. Allan	2. Court or Organization Western District of Michigan	3. Date of Report 04/25/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. Courthouse 202 W. Washington Street, Ste. 229 Marquette, MI 49855		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Trustee	TRUST #1
2.	Trustee	TRUST #2
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Edgar, R. Allan

Date of Report

04/25/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	Tennessee Consolidated Retirement System	\$3,870.48
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Edgar, R. Allan

Date of Report

04/25/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Edgar, R. Allan

Date of Report

04/25/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. FSG Bank-Chattanooga, TN, TRUSTEE SELF-DIRECTED IRA:									
2. - Vanguard Windsor II Fund	B	Dividend	L	T					
3. - Harbor International Fund	C	Dividend	M	T					
4. - T Row Price New Era Fund	B	Dividend	M	T					
5. - Harbor Capital App. Fund	A	Dividend	L	T					
6. - Vanguard Mid-Cap Index Fund	A	Dividend	M	T					
7. - CGM Realty Fund	A	Dividend	K	T					
8. - 1 Shares MSCI Pacific Ex Japan Fund	B	Dividend	K	T					
9. - Fidelity Value Fund	A	Dividend	K	T					
10. - Fidelity Contrafund	A	Dividend	K	T					
11. - Fidelity Fifty Fund	A	Dividend	K	T					
12. - Macquarie Infrastructure Co. Trust	A	Dividend	J	T					
13. - 1-shares DJ US Financial Services		None			Sold	6/15/11	K		
14. I-shares Brazil Index Fd	A	Dividend	J	T					
15. Merrill Lynch & Co. 6.15% Bond	A	Interest			Sold	6/15/11	K		
16. IBM Corp. 7.62% Bond	B	Interest	K	T					
17. AT & T Corp. 6.7% Bond	A	Interest			Sold	6/15/11	K	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Edgar, R. Allan

Date of Report

04/25/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Federated Prime Oblig Money Fund	A	Interest	K	T					
19. Cabot Corp. 5% Bond	B	Interest	K	T					
20. FedEx Corp. 8% Bond	B	Interest	K	T					
21. Roche Holding 7% Bond	B	Interest	K	T					
22. Conoco Phillips 5.75% Bond	B	Interest	K	T					
23. U.S. Treasury 3.375% note	B	Interest	L	T					
24. Canadian Govt 2% Bond	A	Interest	L	T					
25. Oakmark Equity Inc. Fund	A	Dividend	K	T					
26. Landus Intl. Fund		None			Sold	6/15/11	J		
27. BMW Bank 1.8% CD	A	Interest			Sold	11/7/11	K		
28. U.S. Treasury 3.125% Note	B	Interest	L	T	Buy	6/15/11	L		
29. U.S. Treasury 4.375% Note	B	Interest	L	T	Buy	6/15/11	L		
30. 1st Financial Bank S. Dakota CD	A	Interest	K	T	Buy	5/21/11	K		
31. IRA No. 2									
32. Fidelity Cash Reserves Fund	A	Interest	J	T					
33. Fidelity Contrafund	A	Dividend	J	T					
34. Spartan Total Market Index Fund	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Edgar, R. Allan

Date of Report

04/25/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Fidelity Div. Int'l Fund	A	Dividend	J	T					
36. Fidelity Balanced Fund	A	Dividend	J	T					
37. Fidelity Short Term Bond Fund	A	Dividend	J	T					
38. Fidelity Strategic Inc. Fd	A	Dividend	J	T					
39. Fidelity Dividend Growth Fd	A	Dividend	J	T					
40. Fidelity Strategic Dividend and Income	A	Dividend	J	T					
41. TIAA-CREF Retirement Fund	A	Dividend	L	T					
42. TRUST #1:									
43. - Fidelity Municipal Money Market Fund	A	Dividend	L	T					
44. - Gen Motors Acc 7.75%	A	Interest	J	T					
45. - Citigroup 6.5%	A	Interest			Redeemed	1/18/11	K		
46. - JP Morgan 6.75%	A	Interest			Redeemed	2/1/11	K		
47. - Cardinal Health 6.75%	A	Interest			Redeemed	2/15/11	J		
48. - Boeing Cap 6.1%	A	Interest			Redeemed	3/1/11	J		
49. - Am Home Prod 6.9%	A	Interest			Redeemed	3/15/11	J		
50. - Morgan Stanley 6.75%	A	Interest			Redeemed	4/15/11	J		
51. - Caterpillar Inc. 6.55%	A	Interest			Redeemed	5/2/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Edgar, R. Allan

Date of Report

04/25/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. - Hormel Foods 6.625%	A	Interest			Redeemed	6/1/11	J		
53. - Ford Motor Credit 7.25	A	Interest			Redeemed	10/25/11	K		
54. - Honeywell Int'l 6.125	A	Interest			Redeemed	11/1/11	J		
55. - Lehman Bros 6.625%		None	J	T					
56. - General Electric Cap 6%	A	Interest	J	T					
57. - Bank of America 4.875%	A	Interest	J	T					
58. - Boise Cascade 7.35%	A	Interest	J	T					
59. - U S Treasury 8.125%	A	Interest	J	T					
60. - WalMart 7.55%	A	Interest	K	T					
61. - Spartan Total market Index Fund	C	Dividend	M	T					
62. - Fidelity Div Int'l Fund	A	Dividend	K	T					
63. - Fidelity 500 Index Fund	A	Dividend	K	T					
64. - Fidelity Mega Cap Fd	A	Dividend	J	T					
65. Barclays Bk Del. 2.8% CD	C	Interest	L	T					
66. First Chicago Bk 2.0% CD	A	Interest			Redeemed	7/13/11	K		
67. I-Shares- Russell 2000 Index Fd	A	Dividend	K	T	Buy	4/19/11	K		
68. Fidelity High Income Fd	A	Dividend	K	T	Buy	10/27/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Edgar, R. Allan

Date of Report

04/25/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

69. TRUST #2									
70. - Vanguard Pacific Index Fund		None			Sold	10/26/11			
71. - Vanguard European Index Fund		None			Sold	1/12/11			
72. - Vanguard Total Stock Market Index Fund	A	Dividend	K	T					
73. - Vanguard Prime Money Market Fund	A	Dividend	J	T					
74. - Vanguard Short Term Bond Index Fund	A	Dividend	J	T	Sold (part)	1/11/11			
75. - T Row Price New Era Fund	A	Dividend	K	T					
76. - Vanguard Mid-Cap Index Fd	A	Dividend	J	T	Buy	1/11/11			
77. - Vanguard Divident Growth Fd	A	Dividend	J	T	Buy	10/27/11			
78. John Hancock Universal Life Insurance	B	Interest	K	T					
79. SunTrust Bank-Accounts	A	Interest	K	T					
80. Peninsula Bank-Account (X)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Edgar, R. Allan

Date of Report

04/25/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Parts I and VII --

I am Co-Trustee of TRUST #1, and Trustee of TRUST #2.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Edgar, R. Allan

Date of Report

04/25/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544