

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gregg, John T.	2. Court or Organization U. S. Bankruptcy Court - Western District of Michigan	3. Date of Report 12/15/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 09/08/2014
7. Chambers or Office Address 1 Division Avenue, North Grand Rapids, Michigan 49503		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Partner	Barnes & Thornburg LLP
2.	Partner	BT Building Company, LLP
3.	Board Member	American Bankruptcy Institute - Central States Advisory Board
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2014	Profit Sharing Plan and Trust with former law firm (no control) maintained by PNC
2. 2013	Cash Balance Pension Plan with former law firm (no control) administered by Fifth Third Bank
3. 2012	Partnership Agreement with former law firm (no control)
4. 2012	Partnership Agreement with real estate holding company associated with former law firm (no control)

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Barnes & Thornburg LLP - compensation from former law firm	\$598,173.00
2. 2013	Barnes & Thornburg LLP - compensation from former law firm	\$653,223.00
3. 2014	Barnes & Thornburg LLP - compensation from former law firm	\$178,991.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013-2014	Reagan Marketing & Design, LLC - compensation from employer: \$33,105.00 (2013), \$25,974.00 (2014)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	JPMorgan Chase, N.A.	Guarantee of former law firm obligations (guarantee released)	None
2.	1st Source Bank	Guarantee of former law firm obligations (guarantee released)	None
3.	Fifth Third Bank	Guarantee of former law firm obligations (guarantee released)	None
4.	Patrick Connor	Landlord - contingent aggregate rent due each month (lease to terminate on 9/30/2014)	J
5.	Lake Michigan Credit Union	Credit card	J

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. JPMorgan Chase, N.A. - Accounts	A	Interest	M	T					
2. Mercantile Bank - Account	A	Interest	M	T					
3. Macatawa Bank - Account	A	Interest	M	T					
4. Independent Bank	A	Interest	M	T					
5. Citibank, N.A.	A	Interest	J	T					
6. Lake Michigan Credit Union - Account	A	Interest	J	T					
7. Barnes & Thornburg Cash Balance Pension Plan		None	J	T					
8. Charles Schwab Money Market	A	Interest	J	T					
9. Cenovos Energy Inc. - liquidated on 9/8/2014		None							
10. Encana Corp. - liquidated on 9/8/2014		None							
11. Independent Bank CP New - liquidated on 9/8/2014		None							
12. Universal Forest Products - liquidated on 9/8/2014		None							
13. Charles Schwab 401(k) - Simple IRA (Cash)	A	Interest	J	T					
14. Columbia Acorn Fund Z	A	Dividend	J	T					
15. Templeton Inst. Foreign Equity Fund	A	Dividend	J	T					
16. Vanguard Institute Index Fund	A	Dividend	K	T					
17. Vanguard Target Date 2010	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. PIMCO Total Return Institutional Class	A	Dividend	K	T					
19. KeyBank EB Magic 20 Fund	A	Dividend	K	T					
20. Fed Gov Ultrashort Dur SA 14	A	Dividend	J	T					
21. AmerFunds EuroPac Growth SA54	A	Dividend	J	T					
22. LVIP Clarion Global Real Estate SA55	A	Dividend	J	T					
23. LVIP Del Growth & Income SA11	A	Dividend	J	T					
24. LVIP Mon Int'l Value SA22	A	Dividend	J	T					
25. LVIP Vanguard Int'l Equity SA59	A	Dividend	J	T					
26. AmerFunds SMALLCAP World SA46	A	Dividend	J	T					
27. Janus Aspen Enterprise SA64	A	Dividend	J	T					
28. LVIP SSgA Small-Cap Index SA36	A	Dividend	J	T					
29. Oppenheimer Developing Markets SA94	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

On or around November 25, 2014, I received a letter regarding my initial financial disclosure report. This amended report is being submitted in response to that letter. The amended report (i) changes the reporting period, (ii) includes compensation [REDACTED] for all of 2013 and through the end of the reporting period in 2014, (iii) modifies Part VII, lines 10-13 to remove information under Column C, (iv) removes unnecessary transaction information, (v) removes identification of "Lincoln Financial 401(k)," as the holdings of that 401(k) are already included in the report, (vi) modifies "Charles Schwab 401(k)" to reflect its status as a simple IRA which consists solely of cash or cash equivalents, and (vii) removes references to the Barnes & Thornburg HR-10 retirement plan, which is part of the Profit Sharing Plan.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John T. Gregg**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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