

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Howell, Dennis L.	2. Court or Organization USDC, WDNC	3. Date of Report 10/13/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Magistrate Judge, full	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. Courthouse Building 100 Otis Street, Room 302 Asheville, NC 28801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2013	Dennis L. Howell, P.A., Attorney at Law	\$4,142.86
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. IRA #1	E	Dividend	P1	T					
2. -AQR Fds Diversified Arbitrage I									
3. -DFA Emerg. Mkt. Value Fund									
4. -DFA Emerg. Mkts Fund									
5. -DFA Emerg. Mkts. Sm Cap Fund									
6. -Dfa Int' Real Estate Fund									
7. -DFA Int'l Sm. Cap Value Fund									
8. -DFA Int'l Small Co. Fund									
9. -DFA Int'l Value Fund									
10. -DFA Large Cap Value Fund									
11. -DFA Real Estate Sec. Fund									
12. -DFA US Large Co. Instl.									
13. -DFA US Micro Cap Fund									
14. -DFA US Sm. Cap Value Fund									
15. -Loomis Sayles Bond Fund I									
16. -Natixis ASG Global Alt Fd CL Y									
17. -Vanguard Ltd Term T?E Fd (Adm)									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -VG S/T invest. Grade (Admiral)									
19. -Virtus Multi Sector S-T Bond I									
20. -TD Bank- Cash Accounts									
21. -SEP IRA #2	D	Dividend	N	T					
22. -AQR Fds Diversified Arbitrage I									
23. -DFA Core Emerg. Mkts. Fund									
24. -DFA Int'l Real Estate Fund									
25. -DFA Int'l Core Equity									
26. -DFA Real State Sec. Fund									
27. -DFA US Core Equity 2 Fund									
28. -Loomis Sayles Bond Fund I									
29. -Natixis ASG Global Alt Fd CL Y									
30. -VG S/T Invest. Grade (Admiral)									
31. -Virtus Multi Sector S-T Bond I									
32. -TD Bank-Cash accounts									
33. -IRA #3	C	Dividend	M	T					
34. -S&P 500 Depository Receipts									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -AQR Fds Diversified Arbitrage I									
36. -Baron Small Cap Fund									
37. -DFA Emerg. Mkt Value Fund									
38. -DFA Emerg. Mkts. Fund									
39. -DFA Emerg. Mkts. Sm. cap Fund									
40. -DFA Int'l Real Estate Fund									
41. -DFA Int'l Sm. Cap Value Fund									
42. -DFA Int'l Small Co. Fund									
43. -DFA Int'l Value Fund									
44. -DFA Large Cap Value Fund									
45. -DFA Real Estate Sec. Fund									
46. -DFA US Large Co. Instl									
47. -DFA US Micro Cap Fund									
48. -DFA US Sm. Cap Value Fund									
49. -Loomis Sayles Bond Fund I									
50. -Natixis ASG Global Alt Fd CL Y									
51. -Vanguard Pacific Index Fund									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -VG European Index Fund									
53. -VG S/T Invest Grade (Admiral)									
54. -Virtus Multi Sector S-T Bond I									
55. -TD Bank - cash accounts									
56. -Wells Fargo Bank	A	Interest	K	T					
57. Rental Property #1 Burnsville, NC	D	Rent	M	S					
58. Rental Property #2 Burnsville, NC		None	M	S					
59. Rental Property #3 Burnsville, NC		None	K	S					
60. Southern Farm Bureau life insurance	B	Interest	K	T					
61. Dennis L. Howell, PA		None	J	U					
62. TD Bank accounts	A	Interest	J	T					
63. First Citizen Investors Services				T					
64. -Buncombe Cnty NC CTFS PARTN	B	Interest	K						
65. -North Carolina ST Pub IMPT-SEVA	B	Interest	K	T					
66. -Orange Cnty, NC CTFS Partin Pub Impts	A	Int./Div.	K	T					
67. -University NC SYS Pod Rev RFD G-SERB	A	Interest	K	T					
68. -University NC Charlotte Rev	A	Interest	K	T					

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69. UBS Financial Services, Inc.									
70. -Anika Therapeutics, Inc. ANIK		None			Sold				
71. -First Horizon Nat'l Corp. FHN	A	Dividend	J	T					
72. -Jefferson Bank Shares, Inc. JBF1		None	J	T					
73. -Blackrock Muniholdings Quality Fund, Inc. MUS	B	Interest	L	T					
74. -Blackrock Muniyield Quality Fund III, Inc. MYI	A	Interest	L	T					
75. -Blackrock Muniyield Quality Fund, Inc. CLB, MQY	C	Interest	L	T					
76. -Blackrock Muniyield Quality Fund II, Inc. MQT	B	Interest	K	T					
77. -Eaton Vance Tax Advantage Global Divident Fund ETG	B	Interest	L	T	Sold	07/12/13	K	D	
78. UBS Cash Deposit Fund		None	L	T					
79. UBS common stock (X)	A	Interest	K	T					
80. -Nuveen Quality Muni Fund Inc. NQI	D	Interest	L	T	Buy	01/02/13	L		
81.					Buy	01/11/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. Investments and Trusts

(57) Rental #1 - In regard to this rental property, this property's value is based upon the tax valuation as assessed by the Yancey County Tax Collector. The last assessment was performed in the year 2008. The assessed value is at 100% of the fair market value which would make the total fair market value of this property \$180,360.

(58) Rental Property #2 - In regard to this property, this property's value is based upon tax valuation as assessed by the Yancey County Tax Collector. The last assessment was performed in the year 2008. Rent is not received concerning this property. The assessed value is at 100% of the fair market value which would make the total fair market value of this property \$160,000.

(59) Rental Property #3 - In regard to this property, this property's value is based upon tax valuation as assessed by the Yancey County Tax Collector. The last assessment was performed in the year 2008. This property is investment property. No rental income is received from this property. The assessed value is at 100% of the fair market value which would make the total fair market value of this property at \$20,136.

(61) Dennis L. Howell, P.A. - This investment is included at book value. This is professional association. Its assets consist of computers and business equipment. The filer is the sole stockholder and director and is president of this professional association.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Dennis L. Howell**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544