

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) PHAM, TU M.	2. Court or Organization US DISTRICT COURT WD TENNESSEE	3. Date of Report 06/04/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) FULL TIME-MAGISTRATE JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 167 N MAIN STREET ROOM 338 MEMPHIS, TN 38103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. BOARD MEMBER	FEDERAL BAR ASSOCIATION-MEMPHIS MID-SOUTH CHAPTER
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1997	DEFINED CONTRIBUTION 401(K) PLAN WITH KIRKLAND & ELLIS (FORMER LAW FIRM)
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 12

Name of Person Reporting

PHAM, TU M.

Date of Report

06/04/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017

SHELBY COUNTY TENNESSEE SCHOOLS - SALARY

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 12

Name of Person Reporting

PHAM, TU M.

Date of Report

06/04/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

PHAM, TU M.

Date of Report

06/04/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. FED TRUST FEDERAL BUILDING FEDERAL CREDIT UNION	A	Interest	J	T					
2. 403(B) ACCOUNT #1 (H)									
3. T ROWE PRICE FD 2030 ADV (PARCX)	C	Dividend			Sold	06/08/17	M		
4. T ROWE RETIREMENT 2035 ADV (TRRJX)		None	M	T	Buy	06/08/17	M		
5.					Buy (add'l)	12/21/17	J		
6. 403(B) ACCOUNT #2 (H)									
7. DODGE & COX GLOBAL D FD (DODLX)	A	Dividend	J	T					
8. GQG PARTNERS EMRG MKTS EQTY FD INV (GQGPX)	A	Dividend	J	T	Buy	06/08/17	J		
9. FIDELITY TOTAL BOND FUND (FTBFX)	A	Dividend			Sold	06/07/17	J		
10. MAIRS & POWER GROWTH (MPGFX)	A	Dividend	J	T					
11. MAIRS & POWERS SM CAP (MSCFX)	A	Dividend	J	T	Buy (add'l)	06/08/17	J		
12. OAKMARK INTL FD CLASS (OAKIX)	A	Dividend	K	T	Sold (part)	07/05/17	J	B	
13. OPPENHEIMER DEV MKTS (ODMAX)		None			Sold	06/07/17	J	B	
14. PRIMECAP ODYSSEY AGGR GROWTH (POAGX)	B	Dividend	K	T	Sold (part)	07/05/17	J	A	
15. SCHWAB FUNDAMENTAL GLBL REAL ESTATE INDEX FD (SFREX)	A	Dividend	J	T	Buy	07/06/17	J		
16. T ROWE PRICE REAL ASSETS (PRAFX)		None			Sold	06/30/17	J		
17. VANGUARD HEALTH CARE FD INV (VGHCX)	A	Dividend	J	T	Buy	07/03/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 12

Name of Person Reporting

PHAM, TU M.

Date of Report

06/04/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. VANGUARD HIGH DIV YIELD INDEX (VHDYX)	A	Dividend	J	T					
19. 401K ACCOUNT (H)									
20. DODGE & COX STOCK FUND (DODGX)	A	Dividend			Buy	07/04/17	J		
21.					Sold (part)	07/06/17	J		
22.					Sold	08/14/17	J		
23. TEMPLETON FOREIGN FUND CLASS R6 (FTFGX)	A	Dividend	J	T	Buy	07/04/17	J		
24.					Sold (part)	07/06/17	J		
25.					Sold (part)	08/14/17	J		
26. VANGUARD MID CAP INDEX FD INST (VMCIX)	A	Dividend			Buy	07/06/17	J		
27.					Buy (add'l)	08/14/17	J		
28.					Sold	08/23/17	J		
29. VANGUARD CAPITAL OPPORTUNITY FD ADM (VHCAX)	A	Dividend	J	T	Buy	07/04/17	K		
30.					Sold (part)	07/06/17	J		
31.					Sold (part)	08/14/17	J		
32. VANGUARD HEALTH CARE FUND (VGHCX)	A	Dividend	J	T	Sold (part)	07/04/17	J		
33.					Sold (part)	07/06/17	J		
34.					Sold (part)	08/14/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 12

Name of Person Reporting

PHAM, TU M.

Date of Report

06/04/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. VANGUARD REIT IDX FD (VGSIX)	A	Dividend	K	T	Sold (part)	07/04/17	J		
36.					Buy (add'l)	08/23/17	J		
37. VANGUARD ENERGY FD ADMIRAL (VGELX)	A	Dividend			Sold	07/04/17	K		
38. VANGUARD EMERGING MKTS STK IX (VEIEEX)	A	Dividend	J	T	Sold (part)	07/04/17	J		
39.					Sold (part)	08/14/17	J		
40. VANGUARD SMALL CAP INDEX FD INST (VSCIX)	A	Dividend	K	T	Buy	07/06/17	J		
41.					Buy (add'l)	08/14/17	J		
42.					Buy (add'l)	08/23/17	J		
43. IRA #1 (H)									
44. SCHWAB US DIVIDEND EQUITY ETF (SCHD)	A	Dividend	K	T	Buy	06/22/17	J		
45.					Buy (add'l)	06/26/17	J		
46. MAIRS AND POWER SM CAP FD (MSCFX)	A	Dividend	J	T	Buy	06/22/17	J		
47. T ROWE PRICE REAL ASSETS FD INV (PRAFX)	A	Dividend	J	T	Buy	06/22/17	J		
48. BRIDGEWAY AGGRESSIVE INV PORT I (BRAGX) (X)		None			Sold	06/22/17	J		
49. COLUMBIA DIV INCOME FUND (LBSAX)	A	Dividend			Sold (part)	06/22/17	J		
50.					Sold	06/23/17	J		
51. FIRST EAGLE GLOBAL FUND (SGENX)	A	Dividend	K	T	Sold (part)	06/26/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 12

Name of Person Reporting

PHAM, TU M.

Date of Report

06/04/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. VANGUARD HEALTH CARE FUND (VGHGX)	B	Dividend	K	T	Sold (part)	06/22/17	J		
53. BROKERAGE #1 (H)									
54. Schwab Bank Deposit Account	A	Interest	J	T					
55. EOG COMMON STOCK	A	Dividend	J	T					
56. EBAY COMMON STOCK		None	J	T					
57. TRN COMMON STOCK	A	Dividend			Sold	02/14/17	J		
58. PYPL COMMON STOCK		None	K	T					
59. EGLIX	B	Dividend	K	T					
60. VFSTX	A	Dividend	K	T					
61. SPY (X)	A	Dividend			Sold	02/14/17	J		
62. EFA	A	Dividend	J	T	Buy	02/14/17	J		
63. JXI	A	Dividend	J	T	Buy	02/14/17	J		
64. SWSSX	A	Dividend	J	T					
65. MDYV	A	Dividend	K	T	Buy (add'l)	02/14/17	J		
66. MSCFX	A	Dividend	J	T					
67. ETW	B	Dividend	J	T					
68. BROKERAGE #2 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 12

Name of Person Reporting

PHAM, TU M.

Date of Report

06/04/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. SCHWAB BANK SWEEP ACCOUNT	A	Interest	K	T	Open	05/18/17	K		
70. IRA #2 (H)									
71. JPMORGAN MID CAP VALUE (FLMVX)	A	Dividend	K	T	Buy (add'l)	06/22/17	J		
72. MAIRS & POWER GROWTH FUND (MPGFX)	B	Dividend	J	T	Sold (part)	02/14/17	J		
73.					Sold (part)	06/22/17	J		
74. OAKMARK INTL FD INV (OAKIX)	A	Dividend	K	T	Buy	06/22/17	K		
75. OPPENHEIMER DEVELOPING MARKETS (ODMAX)	A	Dividend	J	T	Buy (add'l)	02/14/17	J		
76. PRIMECAP ODYSSEY AGGRESSIVE (POAGX)	A	Dividend	K	T	Sold (part)	06/22/17	J		
77. EDUCATIONAL ACCOUNT #1 (H)									
78. MAIRS & POWER GROWTH FUND (MPGFX)	A	Dividend	J	T					
79. PRIMECAP ODYSSEY AGGRESSIVE GROWTH FUND (POAGX)	A	Dividend	J	T					
80. EDUCATIONAL ACCOUNT #2 (H)									
81. MAIRS & POWER GROWTH FUND (MPGFX)	A	Dividend	J	T					
82. PRIMECAP ODYSSEY AGGRESSIVE GROWTH FUND (POAGX)	A	Dividend	J	T					
83. 529 COLLEGE SAVINGS #1(H)									
84. TN DFA LARGE CAP INTERNATIONAL (DFALX)	A	Int./Div.	J	T					
85. TN PRIMECAP ODYSSEY AGGR GROWTH (POAGX)	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 12

Name of Person Reporting

PHAM, TU M.

Date of Report

06/04/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. TN VANGUARD INTERMEDIATE TERM INVES (VFICX)	A	Int./Div.			Sold	05/19/17	J		
87. TN VANGUARD INT TERM TREASURY (VFIUX)	A	Int./Div.			Sold	05/19/17	J		
88. TN VANGUARD WELLINGTON ADM (VWENX)	A	Int./Div.	J	T					
89. TN DFA ENHANCED US LARGE COMPANY (DFELX)	A	Dividend			Sold	09/22/17	J		
90. TN VANGUARD TOTAL BOND MARKET INST (VBTIX)	A	Int./Div.	K	T	Buy (add'l)	05/19/17	J		
91.					Buy (add'l)	09/22/17	J		
92.					Buy (add'l)	12/05/17	J		
93. 529 COLLEGE SAVINGS #2 (H)									
94. TN DFA LARGE CAP INTERNATIONAL (DFALX)	A	Int./Div.	J	T					
95. TN PRIMECAP ODYSSEY AGGR GROWTH (POAGX)	A	Int./Div.	J	T					
96. TN VANGUARD INTERMEDIATE TERM INVES (VFICX)	A	Int./Div.			Sold	05/19/17	J		
97. TN VANGUARD INT TERM TREASURY (VFIUX)	A	Int./Div.			Sold	05/19/17	J		
98. TN VANGUARD WELLINGTON ADM (VWENX)	A	Int./Div.	J	T					
99. TN DFA ENHANCED US LARGE COMPANY (DFELX)	A	Int./Div.			Sold	09/22/17	J		
100. TN VANGUARD TOTAL BOND MARKET INST (VBTIX)	A	Int./Div.	K	T	Buy (add'l)	05/19/17	J		
101.					Buy (add'l)	09/22/17	J		
102.					Buy (add'l)	12/05/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 12

Name of Person Reporting

PHAM, TU M.

Date of Report

06/04/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. 529 COLLEGE SAVINGS #3 (H)									
104. PRIMECAP ODYSSEY AGGRESSIVE GROWTH (POAGX) (X)	A	Dividend	J	T					
105. DFA LARGE CAP INTERNATIONAL (DFALX) (X)	A	Dividend	J	T					
106. VANGUARD WELLINGTON ADM (VWENX) (X)	A	Dividend	J	T					
107. TN VANGUARD TOTAL BOND MARKET INST (VBTIX)	A	Dividend	J	T	Buy (add'l)	05/19/17	J		
108.					Buy (add'l)	09/22/17	J		
109. TN DFA US LARGE CAP VALUE (DFLVX) (X) (Y)									
110. OTHER HOLDINGS (H)									
111. VASCULAR TECHNOLOGIES INC		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting

PHAM, TU M.

Date of Report

06/04/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

PHAM, TU M.

Date of Report

06/04/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ TU M. PHAM**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544