

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Brown, Joe	2. Court or Organization Middle District TN	3. Date of Report 03/06/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (Recall Full)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Initial ☒ Annual ☒ Amended Report ☐ Date ☐ Final	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Room 783 U.S. Courthouse 801 Broadway Nashville, TN 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ **NONE** (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Co-Trustee . Assets listed Part VII line 154	Y P Trust. Trust for Family Cemetary Taylorsville KY
2. Custodian Assets listed Part VII line 95	Uniform Gift to Minors, [REDACTED]
3. Custodian Assets listed Part VII line 95	Education trust for [REDACTED]
4. Custodian Assets listed Part VII line 95	Education Trust for [REDACTED]
5. Custodian Assets listed Part VII line 95	Education trust [REDACTED]
6. Custodian Assets listed Part VII line 95	Education trust for [REDACTED]
7. Custodian Assets listed Part VII line 95	Education trust for [REDACTED]
8. Custodian Assets listed Part VII line 95	Education trust for [REDACTED]

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 5/5/17	Nashville Schoold of law IRA	\$260.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Duke Law Center for Judicial Studies	9/6/2017 to 9/8/2017	Washington DC	Discovery Seminar	Travel food lodging
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Abbvie Inc (ABBV)	A	Dividend	J	T					a
2. Adobe Systems (ADBE)									Sold Dec 2015. See Notes.
3. Aecom Com (ACM)	A	Dividend	J	T					a
4. Albemarle Corp (ALB)	A	Dividend	J	T	Buy	08/17/17	J		a
5. Allergan PLC comm (AGN)	A	Dividend	J	T					a
6. AllstateCorp (ALL)	A	Dividend	J	T					a
7. Alphabet Inc Class A (GOOGL)	A	Dividend	J	T					a
8. Altria Group inc (MO)		None	J	T	Buy	08/23/17	J		a
9. Amazon (AMZN)		None	J	T					a
10. American Beacon (ABEYX)	A	Dividend	K	T	Sold (part)	12/28/17	J	A	a
11. American Beacon INTL Equity (ABEYX)	A	Dividend	K	T	Buy	12/22/17	K		a
12.					Sold (part)	12/28/17	J	C	a
13. American Beacon MNCD Futures (AHLIX)	A	Dividend	K	T	Buy (add'l)	02/14/17	J		a
14. American Capitol World Grth (CWGIX)	A	Dividend	J	T					a
15. American Express Stock (AXP)	A	Dividend	K	T					a
16. Apple (AAPL)	A	Dividend	K	T					a
17. Applied Materials (AMAT)	A	Dividend			Sold	06/15/17	J	B	a All sold

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	L –\$50,001 - \$100,000 M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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18. AQR Small Cap (QSERX)	A	Dividend	K	T	Sold (part)	01/26/17	J		a
19. AQS Tryle Ptemia Style fd cl rs(QSPRX)	A	Dividend	J	T					a
20. AT&T Common USD1 (T)	A	Dividend	J	T					a
21. Baird Core Plus Bond institutional (BCOIX)	A	Interest	J	T	Buy (add'l)	02/14/17	J		a
22. Bank New York Mellon Corp (BK)	A	Dividend	J	T	Buy	04/27/17	J		a
23. Bank Of America (BAC)	A	Dividend	J	T					a
24. Bed Bath & Beyond (BBBY) (Y)									Value >1000 in 2017
25. British American Tobseco (BTI)	A	Dividend	J	T	Buy	10/22/17	J		a
26. Broadcom Limited (AVGO)	A	Dividend	J	T	Buy	06/07/17	J		a
27. Bunge Limited (BG)	A	Dividend	J	T					a
28. Cabot oil and Gas (COG)	A	Dividend	J	T	Buy	03/30/17	J		a
29. Canadian Picific Railray LTD (CP)	A	Dividend	J	T					a
30. Carlisle Cos Inc. (CSL)	A	Dividend	J	T					a
31. CBS Corp (CBS)	A	Dividend	J	T					a
32. Celgene Corp (CELG)	A	Distribution	J	T	Buy	07/05/17	J		a
33. Chevron Corp (CVX)	A	Dividend	J	T	Buy	11/22/17	J		a
34. Chubb Limited Common (CB)	A	Dividend	J	T					a

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Cisco Sys Inc (CSCO)	B	Dividend	J	T					a
36. CITIGROUP Inc Com New (C)	A	Dividend			Sold	09/17/17	J	B	a All sold 2107
37. CMS Energy (CMS)	A	Dividend			Sold	05/30/17	J	B	a All Sold 2017
38. Conocophillips (COP)	A	Dividend	J	T					a
39. Costco (COST)	A	Dividend	J	T					a
40. CYPRESS Semiconductr (CY)	A	Dividend	J	T	Buy	09/05/17	J		a
41. Delaware Value Instl (DDVIX)	A	Dividend			Sold (part)	02/14/17	J	A	a
42.					Sold	09/19/17	K	D	all sold
43. Deplhi Automotive (DLPH) Name changed to APTIV (APIV) 2107	A	Dividend			Sold	07/27/17	J	A	a
44. DOWDUPONT (DWDP)	A	Dividend	J	T	Buy	01/26/17	J		a
45. DXC Techonology Co (XC)	A	Dividend	J	T	Buy	06/08/17	J		a
46. Eaton Corp PLC (ETN)(Y)									Value < 1000 2017
47. EOG Resources ((EOG)	A	Dividend	J	T	Buy (add'l)	03/17/17	J		a
48. Exelon Corp (EXC)	A	Dividend	J	T	Buy	07/14/17	J		a
49. Facebook Inc Common (FB)	A	Dividend	J	T					a
50. Fedex Corp (FDX)	A	Dividend	J	T	Buy	12/18/17	J		a
51. General Electric (GE)(Y)					Sold (part)	06/29/17	J	A	value <1000 2017

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52. Halliburton (HAL)(Y)									Value < 1000 2017
53. Harding Loevner Intl Equity (HLMIX)	A	Dividend	K	T	Sold (part)	12/29/17	J	A	a
54. Hartford Shroders Emg Mkts (SENMIX)	A	Dividend	J	T					a
55. Health Stream Stock (HSTM)	A	Dividend	K	T					a
56. Home Depot (HD)	A	Dividend	J	T					a
57. HP Inc (HPQ)	A	Dividend	J	T	Buy	04/26/17	J		a
58. Intel Stock (INTC)	B	Dividend	L	T	Sold (part)	02/01/17	J	A	a
59. Intercontinental Exchange (ICE)	A	Dividend	J	T					a
60. Ishares Russell 1000 Value (IWB)	A	Dividend			Sold (part)	04/06/17	J	A	a
61.					Sold	08/03/17	J	A	a All sold 2017
62. Ishares Russell 2000 (IWM)	A	Dividend			Buy	01/09/17	J		a
63.					Sold	08/31/17	J	A	a All sold 2017
64. Ishares Inc Core MSCI Emerging Markets (IEMG)	A	Dividend	K	T	Buy	08/31/17	K		a
65. Ishares Trust Core MSCI (IEFA)	A	Dividend	K	T	Buy	04/11/17	J		a
66.					Buy (add'l)	07/11/17	J		a
67. Ishares TR IBOXX Hi YD EFT (HYG)	A	Dividend			Sold	08/30/17	J	A	a All Sold 2017
68. Johnson Controls (JCI)	A	Dividend	J	T					a

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69. Johnson & Johnson (JNJ)	A	Dividend	J	T					a
70. JPMORGAN (JPM)	A	Dividend	J	T					a
71. Keycorp new (KEY)	A	Dividend	J	T					a
72. Kraft Heintz co (KHC)	A	Dividend			Sold	06/24/17	J		a All sold loss
73. Kroger Co (KR)	A	Dividend			Sold	09/08/17	J		a all sold loss
74. Lam Research Corp (LRCX)(Y)(X)									a still have some
75. Lazard Emerging Markets (EMBIX)	A	Dividend	J	T	Sold (part)	12/29/17	J	A	a
76. Lazard Intl Strategic Equity Portfolio (LISIX)	A	Dividend	K	T	Sold (part)	12/28/17	J	A	a
77. Lyondellbasell Industries (LYB)	A	Dividend			Sold	03/29/17	J	A	a All sold 2017
78. Loockheed Maartin Corp (LMT)	A	Dividend	J	T	Buy	06/22/17	J		a
79. Magna Intl Inc (MGA)	A	Dividend	J	T	Buy	03/30/17	J		a
80. Maxim Integrated Products Inc (MXIM)(Y)									a value >1000
81. McDonalds Corp (MCD)	A	Dividend	J	T	Buy	05/16/17	J		a
82. Medtronics PLC (MDT)	A	Dividend	J	T					a
83. Merck & Company (MRK)	A	Dividend	J	T					a
84. MFS Growth Fund (MFEIX)	A	Dividend			Sold	09/19/17	K	D	All sold
85. MGM Resorts International (MGM)	A	Dividend	J	T	Buy	04/03/17	J		a

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86. Microsoft Stock (MSFT)	B	Dividend	L	T					a
87. Morgan Stanley (MS)	A	Dividend	J	T					a
88. Nashville School of Law IRA Unit Investment Trusts	A	Dividend	J	T					a
89. Monsanto Co New (MON)(Y)(X)									a Bought 2017 < 1000
90. Monster Beverage Co (MNST)(Y)(X)									a< 1000
91. Norwegian Cruise Lines (NCLH)	A	Dividend	J	T	Buy	06/09/17	J		a
92. Nuvasive Inc (NUVA)	A	Dividend	J	T	Buy	01/23/17	J		a
93. NXP Semiconductor (NXPI)					Sold	05/01/17	J	A	a all sold at loss
94. Occidental Petroleum Co (OXY)	A	Dividend	J	T					a
95. OHIO College Advantage 529 plan Black Rock Growth Portfolio (ALL GC)	A	Dividend	O	T					a
96. Omnicom Group (OMC)	A	Dividend	J	T					a
97. Oracle Corp Common (ORCL)	A	Dividend	J	T					a
98. Patterson UTI Energy (PTEN)	A	Dividend	J	T	Buy	04/27/17	J		a
99. PepsiCo Inc (PEP)	A	Dividend	J	T					a
100. Pfizer Inc (PFE)	A	Dividend			Sold	01/27/17	J	A	a All Sold
101. PPL Corp (PPL)	A	Dividend			Sold	07/24/17	J	A	a All Sold
102. Procter & Gamble Co (PG)	A	Dividend			Sold	07/14/17	J	A	a All sold

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103.					Sold (part)	03/31/17	J	A	a
104. Progressive Corp Ohio (PGR)	A	Dividend	J	T					a
105. Reynolds American Corp (RAL)	A	Dividend			Sold	02/28/17	J	A	a All sold
106. Royal Dutch Shell (RDSA)	A	Dividend	J	T	Buy	12/20/17	J		a
107. Royal Caribbean Cruises (RCL)	A	Dividend			Sold	07/11/17	J	B	a All sold
108. Schlumberger Limited (SLB)	A	Dividend			Sold	05/02/17	J		a All sold at loss
109. Schroder Emerging Markets (SEMNX)	A	Dividend	J	T					a Name added Hartford
110. Shire PLC Sponcered ADR (SHPG)(Y)									a value <1000 2017
111. Southwest Airlines (LUV)	A	Dividend	J	T					a
112. Spirit Aerosystems Hldgs Inc (SPR)(Y)									a under 1000/200
113. Synchrony Financial Com (SYF)	A	Dividend	J	T					a
114. Sysco Corp (SYF) (Y)(X)									a Value under 1000/200
115. Target Corp (TGT)	A	Dividend			Sold	08/24/17	J		a All Sold at loss
116. Texas Instruments Inc (TXN)	A	Dividend	J	T					a
117. Thermo Fisher Scientific (TMO)	A	Dividend	J	T					a
118. TJX COS Inc (TJX) (X)	A	Dividend	J	T					a value < 1000
119. Tractor Supply (TSCO)	A	Dividend	K	T					a

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Ultra Salon Cosmetics & Frag inc (ULTA) (Y)									a < 1000
121. United Continental Holding Inc (UAL)(X)	A	Dividend			Sold	07/19/17	J	A	a All Sold
122. United Health Group (UNH)	A	Dividend	J	T	Sold (part)	05/24/17	J	A	a
123. United Parcel Service (UPS)	A	Dividend			Sold	05/18/17	J	A	All sold
124. United Technologies Corp (UTX)	A	Dividend	J	T					a
125. USAA Emerging Markets (UIEMX)	A	Dividend	K	T	Sold (part)	12/28/17	J	A	a
126. USAA Income Fund Institutional Shares (UIINX)	A	Dividend	J	T	Buy (add'l)	02/14/17	J		a
127. USAA Managed Allocation (UMAFX)	A	Int./Div.	J	T					a
128. USAA Short Term Bond Fund (UISBX)	A	Int./Div.	J	T					a
129. USAA S&P Index (USPRX)(Y)									a Value under 1000 in 2017
130. USAA Tax Exempt Intermediate (USATX)	D	Int./Div.	M	T	Buy (add'l)	12/29/17	K		a
131. USAA Tax Exempt Short Term (USSTX)	A	Int./Div.	J	T	Buy (add'l)	12/29/17	J		a
132. USAA Tax-Exempt Market (USEXX)	A	Int./Div.	J	T	Sold (part)	01/09/17	J		a no gain
133. USAA Bank Checking Accounts	A	Int./Div.	K	T					a
134. Vanguard Growth and Income (VGIAX)	B	Int./Div.	L	T	Buy	09/19/17	L		a
135.					Buy (add'l)	12/18/17	J		a
136. Vanguard Intl Equity Index Fund (VWO)	A	Dividend			Sold	07/16/17	J	B	a All sold 2017

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Verizon Communicaations (VZ)	A	Dividend			Sold	04/03/17	J	A	a All sold in 2017
138. Victory Sycamore Established Values (VEVIX)	A	Dividend	K	T	Sold (part)	01/25/17	J	A	a
139. Virtus Quality Small Cap (PXQSX)(Y)(X)									a Value went < 1000
140. Visa (V)	A	Dividend	J	T					a
141. VOYA Intermediate Bonds Class W (IIBWX)	A	Interest	J	T					a
142. VWR Corp (VWR)(Y)(X)									a y value < 1000
143. Wal Mart Stock (WMT)	A	Dividend	M	T	Donated (part)				a
144.					Buy (add'l)	03/31/17	J		a
145. Wells Fargo Stock (WFC)	B	Dividend	K	T					a
146. Wells Fargo CMA, Checking	A	Interest	J	T					a
147. Wells Fargo Sweep (Y)									a less that 5.00 2017
148. Western Digital Corp (WDC)	A	Dividend	J	T	Buy	01/27/17	J		a
149. Westrock Co (WRK)(Y)(X)									a value <1000 2017
150. 1/2 Interest Knox Brown Farms, Taylorsville, KY	E	Rent	N	W					a Net loss for year
151. 1/2 Interest Knox Brown Farms, Laporte, IN	F	Rent	P1	W					a Net gain for year
152. Fidelity Mutual Life Ins Co.	A	Interest	J	T					a
153. Mass Mutual Life Insurance Policies	B	Dividend	M	T					a

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Peoples Bank Taylorsville Sav Act (Family Cemetary Trust)	A	Interest	J	T					a
155. USAA Bank Account	A	Interest	J	T					a
156. REGIONS Bank, Nashville Checking	A	Interest	K	T					a

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII

I use a in VII column 5 to show I checked it from last year. and make other remarks to try to keep up with changes.

Line 2 all sold in 2015 carried on by mistake

Line 154 Cemetery trust assets .

Line 95 This includes all funds for ██████████ (GC) as custodial Part I lines 4-10. All shares in Ohio 529 plan and managed by Black Rock.

Line 43 APTIV Name changed from Delphi and all sold 2017 gain

Line 109 Shroders Emerging now Hartford Shroders. Same stock symbol (SEMNX)

Lines 74, 89,90, 110,114, 118, 149,139, and 142 These stocks are part of a stock account managed by USAA. they buy and sell a great number of stocks in small amounts. individual sales fall under reporting requirements but at times total value may gove above 1000 dollars. I prefer to list them even if not required so I can more easily keep track of them.

Line 118 Same explanation as for lines74-142

Line 121 Had small sales in 2017 under reporting requirement all sold by end of year.

Line 13 2016 report Line 13 on 2016 report should have had an (Y)

Line 15 2016 report Had several small under reporting limits. at end of 2016 there was none left Could have shown a (Y) or made note all sold.

Response to Letter of March 6, 2018

In reviewing my records it appears that:

The Amerprise stock (AMP) was sold in 2016 in 3 sales of less than 200.00 each with the last sale on 3/2/2106, all 3 sales were at a loss.

The American Century Small Cap (ACVIX) was sold in 2012. This was when I transfered my stock accounts from Wells Fargo to USAA and there were a large number of sales. I missed this change and did not report the sale at that time and somehow continued to carry the stock forward by mistake. Sale was 4/26/2012, Value range of sale was K and all sold at a loss.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Joe Brown**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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