

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ROSS, ALLYNE R.	2. Court or Organization U.S.D.C., EDNY	3. Date of Report 05/08/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. DISTRICT COURT, EDNY 225 CADMAN PLAZA EAST ROOM 915 SOUTH BROOKLYN, NY 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 1/1-12/31

Partner in Strook & Strook & Lavan LLP

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Trust #1									
2. -Life Insurance Policy Issued by Northwestern Mutual		None	J	W					
3. IRA #1									
4. -Adobe Systems					Sold	07/06/12	J	B	
5. -BP PLC									
6. -Cisco Systems									
7. -Google									
8. -Dreyfus Liquid Assets (money market fund)					Sold	04/26/12	J		
9. -Morgan Stanley Liquid Assets					Buy	04/26/12	J		
10. -Ericsson					Sold	11/02/12	J		
11. -General Electric									
12. -Annaly Capital Mgt.									
13. -American Express									
14. -Eli Lilly & Co.									
15. -Citigroup									
16. -Penn West					Sold	11/02/12			
17. -Intel									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Microsoft									
19. -Windstream					Buy	01/18/12	K	D	
20. -Viacom									
21. -ishares Short Treas. Bond Fund									
22. -ishares FTSE NAREIT MTGE Fund					Buy (add'l)	07/03/12	J		
23. -Powershares ETF Final PFD Portfolio									
24. -Enterprise Prods Partners									
25. -Putnam Premier Income Trust									
26. -Enerplus					Sold	05/23/12	K		
27. -BlackRock Global Energy & Resources Trust									
28. -Boardwalk Pipeline Partners									
29. -Eaton Vance Limited Duration Fund									
30. -Hospitality PPTYS TR					Sold	05/17/12	K	B	
31. -Pengrowth Energy					Sold	11/09/12	J		
32. -Dow Chemical									
33. -Conoco Phillips									
34. -Cohen & Steers Infrastructure Fund									

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35. -Invesco Capital Mortgage									
36. -Kayne Anderson Energy Dev. Co									
37. -Bristol Myers Squibb									
38. -Capstead Mtg					Sold	10/19/12	J	A	
39. --CenturyLink									
40. -Bank of America									
41. -Freeport McMoran Copper & Gold									
42. -General Mills					Buy				See VII
43. -AT & T					Buy	11/12/12	K		
44. -Clorox					Buy	01/12/12	K		
45. -Frontier Communications					Sold	01/13/12	K		
46. -HJ Heinz Co.					Sold	01/10/12	J	A	
47. -MFA Financial					Sold	08/13/12	J		
48. -Merck					Sold	11/19/12	J	B	
49. -Prudential Financial					Sold	11/09/12	J	A	
50. -Skyworks Solutions					Sold	05/17/12	K	D	
51. -Telstra									

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52. -Wachovia Cap Tr.					Sold	05/07/12	J	A	
53. -Medical Properties Tr.					Buy	10/11/12	J		
54. -Pepsico					Buy	10/26/12	K		
55. -TC Pipelines LP					Buy	02/28/12	K		
56. -Vale SA					Buy	08/09/12	K		
57. -Vale SA					Buy (add'l)	08/29/12	J		
58. -Vanguard European HSCI ETF					Buy	12/18/12	J		
59. -Weyerhaeuser					Buy	11/27/12	K		
60. -NY CMNTY CAPITAL Trust					Buy	03/01/12	K		
61. -Pioneer MLTF AST ULT SHT FUND					Buy	09/05/12	K		
62. IRA #2									
63. -Dominion Resources									
64. -Johnson & Johnson									
65. -Citibank Account									
66. -Keycorp Preferred									
67. -Morgan Stanley Capital Tr.									
68. -Provident Energy					Sold	01/13/12	J	B	

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69. -John Hancock Bank Fund									
70. -Annaly Capital Mgt.									
71. -Kayne Anderson Energy									
72. -Cohen & Steers Infrastructure Fund									
73. -Power Shares									
74. -Bristol Myers Squibb									
75. -Caterpillar					Buy	10/26/12	J		
76. -Pace Oil & Gas									
77. -Freeport McMoran Copper & Gold									
78. -Merck					Buy	10/26/12	J		
79. -Teva Pharmaceuticals					Buy	10/26/12	J		
80. Citibank Accounts	A	Interest	M	T					
81. S&P Variable Participation Note		None	M	T					
82. Citigroup FDG MTN SR Index Note		None	M	T					
83. Vanguard Short-Term Investment Grade Fund	E	Dividend	O	U	Redeemed (part)	04/10/12	M	A	
84. Vanugard Short-Term Investment Grade Fund					Redeemed (part)	06/11/12	L		
85. Vanguard Short-Term Investment Grade Fund					Redeemed (part)	09/20/12	L	A	

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86. Vanguard Short-Term Investment Grade Fund					Buy (add'l)	09/24/12	J		
87. Vanguard Short-Term Investment Grade Fund					Redeemed (part)	10/01/12	M	B	
88. Vanguard Emerging Markets Index Fund	D	Dividend	N	U	Buy (add'l)	03/09/12	L		
89. Vanguard Emerging Markets Index Fund					Buy (add'l)	06/20/12	L		
90. Vanguard Mid-Cap Index Fund	D	Dividend	O	U	Sold (part)	01/05/12	L	B	
91. Vanguard Mid-Cap Index Fund					Buy (add'l)	03/09/12	L		
92. Vanguard High-Yield Corporate Fund	E	Dividend	N	U					
93. General American Investors	D	Dividend	M	T	Buy (add'l)	03/15/12	J		
94. General American Investors					Buy (add'l)	03/16/12	J		
95. General American Investors					Buy (add'l)	06/26/12	J		
96. General American Investors					Buy (add'l)	08/06/12	J		
97. WFG-WPX Partners LLC		None	N	W					
98. WisdomTree Commodity Country ETF	A	Dividend	L	T	Buy	10/10/12	L		
99. Wisdom Tree Managed Futures ETF		None	L	T	Buy	10/10/12	L		
100. Columbia Acorn Fund	E	Dividend	O	U					
101. JP Morgan Midcap Value Fund	B	Dividend	M	U					
102. CenturyLink	A	Dividend			Sold	02/16/12	J		

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103. Comerica	A	Dividend	J	T	Sold (part)	02/12/12	J		
104. Comerica			J	T	Sold	08/31/12	J	A	
105. Cameron International		None	J	T	Buy	12/18/12	J		
106. Cerner		None			Buy		J		See Part VIII
107. Cerner					Sold (part)	02/16/12	J	A	
108. Cerner					Buy (add'l)	04/30/12	J		
109. Cerner					Buy (add'l)	05/09/12	J		
110. Cerner					Buy (add'l)	11/21/12	J		
111. Cerner					Sold (part)	11/27/12	J	A	
112. Kimberly Clark	A	Dividend	J	T	Sold (part)	01/06/12	J	A	
113. Kimberly Clark					Sold (part)	02/16/12	J	A	
114. Kimberly Clark					Sold (part)	03/14/12	J	A	
115. Kroger		None	J	T	Sold (part)	01/20/12	J		
116. Kroger					Sold (part)	02/09/12	J		
117. Kroger					Sold	02/10/12	J		
118. Marathon Oil	B	Dividend	J	T					
119. Raytheon	A	Dividend	J	T	Sold (part)	02/16/12	J		

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120. Allergan	A	Dividend	J	T	Buy (add'l)	10/19/12	J		
121. Allergan					Buy (add'l)	11/21/12	J		
122. Citrix									See Part VIII
123. Dow Chemical	A	Dividend	J	T			J		
124. Express Scripts Inc.		None	J	T	Sold (part)	02/16/12			
125. Express Scripts Inc.					Buy (add'l)	11/12/12	J		
126. Express Scripts Inc.					Buy (add'l)	11/21/12	J		
127. Flowserve									See Part VIII
128. Juniper Networks		None			Sold (part)	02/16/12	J		
129. Juniper Networks					Sold	04/25/12	J		
130. Potash Corp of Saskatchewan									See Part VIII
131. Occidental Petroleum	A	Dividend	J	T	Buy (add'l)	02/12/12	J		
132. Occidental Petroleum					Sold (part)	02/16/12	J	A	
133. Occidental Petroleum					Sold (part)	06/11/12	J	A	
134. Starbucks	A	Dividend	K	T	Sold (part)	02/16/12	J	A	
135. Starbucks					Sold (part)	02/22/12	J	A	
136. Starbucks					Buy (add'l)	11/21/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Starbucks					Buy (add'l)	12/06/12	J		
138. Intel	B	Dividend	J	T	Sold (part)	02/16/12	J	A	
139. Intel					Sold (part)	07/12/12	J	A	
140. Intel					Sold (part)	07/13/12	J	A	
141. Microsoft	C	Dividend	K	T	Sold (part)	02/16/12	J	A	
142. Microsoft					Buy (add'l)	03/02/12	J		
143. Microsoft					Buy (add'l)	04/20/12	J		
144. Microsoft					Buy (add'l)	05/17/12	J		
145. Microsoft					Buy (add'l)	07/12/12	J		
146. Microsoft					Buy (add'l)	08/01/12	J		
147. Proctor & Gamble	A	Dividend	K	T	Sold (part)	06/20/12	J		
148. Proctor & Gamble					Buy (add'l)	10/24/12	J		
149. Proctor & Gamble					Buy (add'l)	11/21/12	J		
150. Hitachi	A	Dividend	J	T	Buy	03/21/12	J		
151. Hitachi					Buy (add'l)	03/22/12	J		
152. Hitachi					Buy (add'l)	03/30/12	J		
153. Hitachi					Buy (add'l)	04/18/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Hitachi					Buy (add'l)	05/11/12	J		
155. Fed Ex	A	Dividend	J	T	Buy	01/12/12	J		
156. Fed Ex					Buy (add'l)	01/13/12	J		
157. Pepsico	A	Dividend	J	T	Sold (part)	10/12/12	J	A	
158. Pepsico					Sold	10/16/12	J	A	
159. Chevron	A	Dividend	J	T	Sold (part)	03/11/12	J	A	
160. Home Depot		None	J	T	Sold (part)	03/11/12	J	A	
161. J.P. Morgan Chase	A	Dividend	K	T	Buy (add'l)	03/02/12	J		
162. J.P. Morgan Chase					Sold (part)	02/16/12	J	A	
163. J.P. Morgan Chase					Sold (part)	05/07/12	J	A	
164. J.P. Morgan Chase					Sold (part)	06/11/12	J		
165. Joy Global	A	Dividend	J	T	Buy	01/24/12	J		
166. Joy Global					Buy (add'l)	02/03/12	J		
167. Joy Global					Buy (add'l)	03/01/12	J		
168. Joy Global					Buy (add'l)	05/18/12	J		
169. Joy Global					Buy (add'l)	11/21/12	J		
170. Johnson Controls	A	Dividend			Sold (part)	02/16/12	J		See Part VIII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Google		None			Sold (part)	01/11/12	J	A	
172. Google					Sold (part)	02/16/12	J	A	
173. Google					Sold (part)	04/30/12	J	B	
174. Google					Buy (add'l)	11/21/12	J		
175. Teva Pharmaceutical	A	Dividend	J	T	Sold (part)	12/18/12	J		
176. Comcast		None	J	T	Sold (part)	02/16/12	J	A	
177. Crown Castle Intl		None	J	T	Buy	04/26/12	J		
178. Crown Castle Intl					Buy (add'l)	06/08/12	J		
179. Crown Castle Intl					Buy (add'l)	11/21/12	J		
180. Crown Castle Intl					Sold (part)	11/26/12	J	A	
181. Discovery Communications		None	J	T	Buy	06/28/12	J		
182. Discovery Communications					Buy (add'l)	11/21/12	J		
183. ENI SPA	A	Dividend	K	T	Buy	01/20/12	J		
184. ENI SPA					Buy (add'l)	02/06/12	J		
185. ENI SPA					Buy (add'l)	02/14/12	J		
186. ENI SPA					Buy (add'l)	03/06/12	J		
187. ENI SPA					Buy (add'l)	06/01/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000 J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000 K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000 L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000 M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. ENI SPA					Buy (add'l)	11/26/12	J		
189. Credit Suisse	A	Dividend	J	T	Sold (part)	06/29/12	J		
190. Credit Suisse					Buy (add'l)	09/17/12	J		
191. Credit Suisse					Buy (add'l)	09/26/12	J		
192. Credit Suisse					Buy (add'l)	11/26/12	J		
193. Credit Suisse					Buy (add'l)	12/14/12	J		
194. JPM Highbridge Dynamic Commodities Strategy Fund		None			Buy	02/22/12	M		
195. JPM Highbridge Dynamic Commodities Strategy Fund					Sold (part)	10/25/12	J		
196. JPM Highbridge Dynamic Commodities Strategy Fund					Sold	11/19/12	L		
197. Apple Computer	A	Dividend	K	T	Sold (part)	05/11/12	K	E	
198. Apple Computer					Buy (add'l)	11/12/12	J		
199. Danaher Corp.	A	Dividend	J	T	Sold (part)	02/16/12	J		See Part VIII
200. EMC Corp.		None	J	T	Sold (part)	04/22/12	J	A	
201. EMC Corp.					Buy (add'l)	08/02/12	J		
202. EMC Corp.					Buy (add'l)	08/09/12	J		
203. EMC Corp.					Buy (add'l)	11/21/12	J		
204. Gilead Sciences		None	J	T	Sold (part)	02/16/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Gilead Sciences					Sold (part)	05/09/12	J		
206. Gilead Sciences					Buy (add'l)	11/12/12	J		
207. Gilead Sciences					Buy (add'l)	11/13/12	J		
208. Gilead Sciences					Buy (add'l)	11/21/12	J		
209. Limited Brands	A	Dividend	J	T	Sold (part)	02/16/12	J	A	
210. Schlumberger		None	K	T	Sold (part)	02/16/12	J	A	
211. Schlumberger					Sold (part)	03/02/12	J	A	
212. Schlumberger					Sold (part)	06/14/12	J	A	
213. Schlumberger					Buy (add'l)	11/21/12	J		
214. Time Warner	A	Dividend	J	T			J		
215. Under Armour		None	J	T	Buy	04/20/12	J		
216. Under Armour					Sold (part)	05/24/12	J		
217. Under Armour					Sold (part)	06/07/12	J		
218. Under Armour					Sold (part)	08/27/12	J	A	
219. Under Armour					Sold	10/25/12	J	A	
220. General Electric	B	Dividend	K	T	Sold (part)	02/16/12	J	A	
221. General Electric					Buy (add'l)	11/05/12	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Travelers Cos.	A	Dividend	J	T	Sold (part)	02/16/12	J	A	
223. AT & T	B	Dividend	J	T	Sold (part)	02/16/12	J	A	
224. Deutsche Bank	A	Dividend			Buy	03/14/12	J		
225. Deutsche Bank					Buy (add'l)	03/19/12	J		
226. Deutsche Bank					Buy (add'l)	06/06/12	J		
227. Deutsche Bank					Sold (part)	06/29/12	J		
228. Deutsche Bank					Sold	07/09/12	J		
229. Amazon.com		None	K	T	Buy (add'l)	02/14/12	J		
230. Amazon.com					Buy	03/26/12	J		
231. Amazon.com					Buy (add'l)	05/08/12	J		
232. Amazon.com					Buy (add'l)	06/06/12	J		
233. Amazon.com					Buy (add'l)	11/21/12	J		
234. Northrup Grumman	A	Dividend	J	T	Sold (part)	02/16/12	J		
235. Pfizer	B	Dividend	J	T	Sold (part)	02/16/12	J	A	
236. Pfizer					Buy (add'l)	06/12/12	J		
237. Pfizer					Buy (add'l)	11/21/12	J		
238. Kohls	A	Dividend			Sold (part)	02/16/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. Kohls					Sold (part)	05/16/12	J		
240. Monsanto	A	Dividend	K	T	Buy (add'l)	01/06/12	J		
241. Monsanto					Sold (part)	02/16/12	J	A	
242. Monsanto					Buy (add'l)	04/12/12	J		
243. Monsanto					Buy (add'l)	11/21/12	J		
244. Monsanto					Sold (part)	11/26/12	J	A	
245. Nvidia									See Part VIII
246. Oracle	B	Dividend			Sold (part)	02/16/12	J	A	
247. Oracle					Sold (part)	03/14/12	J	A	
248. Oracle					Sold (part)	03/23/12	J		
249. Oracle					Sold (part)	06/21/12	J		
250. Oracle					Sold	10/18/12	J	A	
251. Tyco		None			Sold	02/14/12	J	A	
252. ADT Corp.		None	J	T	Buy	11/28/12	J		
253. Vodafone	A	Dividend	K	T	Sold (part)	02/16/12	J		
254. Vodafone					Buy (add'l)	03/21/12	J		
255. Vodafone					Buy (add'l)	11/19/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. Vodafone					Buy (add'l)	11/26/12	J		
257. Vodafone					Sold (part)	12/18/12	J	A	
258. Deere	A	Dividend	J	T	Sold (part)	02/16/12	J	A	
259. EOG Res.	A	Dividend	J	T	Sold (part)	02/16/12	J	A	
260. EOG Res.					Sold (part)	02/02/12	J	A	
261. EOG Res.					Sold (part)	04/24/12	J	A	
262. EOG Res.					Sold (part)	06/18/12	J	A	
263. EOG Res.					Sold (part)	06/21/12	J		
264. EOG Res.					Buy (add'l)	11/16/12	J		
265. EOG Res.					Buy (add'l)	11/21/12	J		
266. Wells Fargo	B	Dividend	J	T	Sold (part)	02/16/12	J	A	
267. Honeywell International	A	Dividend	J	T	Sold (part)	02/16/12	J	A	
268. Kraft Foods	A	Dividend	J	T	Buy	04/27/12	J		
269. Kraft Foods					Buy (add'l)	05/01/12	J		
270. Kraft Foods					Buy (add'l)	06/20/12	J		
271. Kraft Foods					Sold (part)	10/02/12	J	A	
272. Kraft Foods					Buy (add'l)	10/09/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. Kraft Foods					Buy (add'l)	11/21/12	J		
274. Kinder Morgan		None	J	T	Buy	08/07/12	J		
275. Kinder Morgan					Buy (add'l)	11/21/12	J		
276. Mitsubishi UFJ Financial		None	J	T	Buy	12/18/12	J		
277. Mondelez Intl		None	J	T	Buy	04/27/12	J		
278. Mondelez Intl					Buy (add'l)	05/01/12	J		
279. Mondelez Intl					Buy (add'l)	06/20/12	J		
280. Mondelez Intl					Buy (add'l)	11/21/12	J		
281. General Mills	A	Dividend	J	T	Sold (part)	02/16/12	J	A	
282. Fifth Third Bancorp	A	Dividend	J	T	Buy	03/14/12	J		
283. Fifth Third Bancorp					Sold	06/01/12	J		
284. Fifth Third Bancorp					Buy	12/12/12	J		
285. Johnson & Johnson	A	Dividend	J	T	Sold (part)	02/16/12	J		
286. Johnson & Johnson					Sold (part)	03/19/12	J		
287. Johnson & Johnson					Sold (part)	04/18/12	J		
288. Johnson & Johnson					Buy (add'l)	08/29/12	J		
289. Johnson & Johnson					Buy (add'l)	10/18/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

290. Johnson & Johnson					Buy (add'l)	11/21/12	J		
291. Trishield Distressed Securities Fund		None	P1	U					
292. Abbott Labs	A	Dividend	J	T	Sold (part)	08/29/12	J	A	
293. Abercrombie & Fitch		None			Sold (part)	02/16/12	J		
294. Abercrombie & Fitch					Sold	02/28/12	J		
295. Ace Ltd.	A	Dividend	J	T	Sold (part)	02/16/12	J	A	
296. American International Group		None	J	T	Buy	08/17/12	J		
297. American International Group					Buy (add'l)	10/09/12	J		
298. American International Group					Buy (add'l)	11/21/12	J		
299. Allianz		None	K	T	Buy (add'l)	11/19/12	J		
300. Allianz					Buy (add'l)	11/26/12	J		
301. Anheuser Busch		None	J	T	Buy	08/28/12	J		
302. Anheuser Busch					Buy (add'l)	10/16/12	J		
303. Anheuser Busch					Buy (add'l)	11/21/12	J		
304. Arcelormittal	B	Dividend	J	T	Buy	01/13/12	J		
305. Arcelormittal					Buy (add'l)	01/20/12	J		
306. Arcelormittal					Buy (add'l)	02/15/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. AXA		None	J	T	Buy	08/08/12	J		
308. AXA					Buy (add'l)	08/22/12	J		
309. AXA					Sold (part)	09/28/12	J	A	
310. American Electric Power		None			Sold	01/20/12	J		
311. American Express	A	Dividend	J	T	Sold (part)	02/16/12	J	A	
312. American Express					Sold (part)	04/30/12	J	B	
313. ASML Holding		None	K	T	Sold (part)	02/06/12	J	A	
314. ASML Holding					Buy (add'l)	04/30/12	J		
315. ASML Holding					Buy (add'l)	06/14/12	J		
316. ASML Holding					Buy (add'l)	10/23/12	J		
317. ASML Holding					Buy (add'l)	11/21/12	J		
318. ASML Holding					Sold (part)	11/29/12	J	A	
319. ASML Holding					Buy (add'l)	12/06/12	J		
320. Australia & New Zealand Banking Group		None	J	T	Buy (add'l)	01/04/12	J		
321. Australia & New Zealand Banking Group					Buy (add'l)	03/05/12	J		
322. Australia & New Zealand Banking Group					Sold (part)	09/17/12	J	A	
323. Australia & New Zealand Banking Group					Buy	11/26/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. Australia & New Zealand Bankng Group					Sold (part)	12/14/12	J	A	
325. Australia & New Zealand Banking Group					Sold (part)	12/18/12	J	A	
326. Banco Bilbao Vizcaya Argentaria		None			Buy (add'l)	02/03/12	J		
327. Banco Bilbao Vizcaya Argentaria					Buy (add'l)	07/30/12	J		
328. Banco Bilbao Vizcaya Argentaria					Sold (part)	03/14/12	J		
329. Banco Bilbao Vizcaya Argentaria					Sold (part)	03/28/12	J		
330. Banco Bilbao Vizcaya Argentaria					Sold (part)	09/17/12	J		
331. Banco Bilbao Vizcaya Argentaria					Sold (part)	11/07/12	J		
332. Banco Bilbao Vizcaya Argentaria					Sold	12/07/12	J	A	
333. Acco Brands		None			Buy		J		See Part VIII
334. Acco Brands					Sold (part)	05/01/12	J	A	
335. Acco Brands					Sold	07/30/12	J		
336. Bank of Nova Scotia		None	J	T	Sold (part)	02/16/12	J		
337. Barclays PLC	B	Dividend	J	T	Buy (add'l)	01/31/12	J		
338. Barclays PLC					Sold (part)	02/16/12	J		
339. Barclays PLC					Buy (add'l)	03/14/12	J		
340. Barclays PLC					Buy (add'l)	11/26/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. BASF	A	Dividend	J	T	Sold (part)	02/15/12	J		
342. BASF					Sold (part)	03/22/12	J		
343. BASF					Buy (add'l)	06/06/12	J		
344. BASF					Sold (part)	10/11/12	J		
345. BASF					Buy (add'l)	11/27/12	J		
346. Bayer	A	Dividend	J	T	Sold (part)	02/16/12	J	A	
347. Bayer					Sold (part)	03/19/12	J		
348. Bayer					Sold (part)	10/19/12	J	A	
349. Bayer					Sold (part)	10/23/12	J	A	
350. Bayer					Buy (add'l)	11/26/12	J		
351. Bayer					Sold (part)	11/27/12	J	A	
352. Bayer					Sold (part)	11/29/12	J	A	
353. BG Group		None			Sold (part)	02/16/12	J		
354. BG Group					Sold	05/17/12	J		
355. BHP Billiton	A	Dividend	J	T	Sold (part)	02/16/12	J		
356. BHP Billiton					Sold (part)	03/14/12	J		
357. Biogen Idec		None	J	T	Sold (part)	02/16/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. Biogen Idec					Buy (add'l)	02/01/12	J		
359. Biogen Idec					Sold (part)	04/20/12	J	A	
360. Biogen Idec					Buy (add'l)	11/21/12	J		
361. Biogen Idec					Sold (part)	11/29/12	J	A	
362. BNP Paribas	A	Dividend	J	T	Sold (part)	01/31/12	J		
363. BNP Paribas					Buy (add'l)	03/02/12	J		
364. BNP Paribas					Buy (add'l)	06/29/12	J		
365. BNP Paribas					Buy (add'l)	08/22/12	J		
366. BNP Paribas					Buy (add'l)	08/23/12	J		
367. BNP Paribas					Buy (add'l)	11/26/12	J		
368. Boeing	A	Dividend	J	T	Sold (part)	02/16/12	J	A	
369. Boeing					Buy (add'l)	11/21/12	J		
370. Borg Warner		None	J	T	Sold (part)	02/16/12	J	A	
371. Borg Warner					Sold (part)	05/15/12	J	A	
372. Borg Warner					Buy (add'l)	11/21/12	J		
373. BP	A	Dividend			Sold (part)	06/06/12	J		
374. BP					Sold (part)	06/27/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. BP					Sold	07/05/12	J		
376. Bristol Myers Squibb	B	Dividend	K	T	Sold (part)	02/16/12	J	A	
377. Bristol Myers Squibb					Buy (add'l)	08/28/12	J		
378. Bristol Myers Squibb					Buy (add'l)	09/24/12	J		
379. Bristol Myers Squibb					Buy (add'l)	11/21/12	J		
380. British American Tobacco		None	J	T	Sold (part)	06/06/12	J	A	
381. British American Tobacco					Sold (part)	08/22/12	J	A	
382. British American Tobacco					Sold (part)	09/05/12	J	A	
383. British American Tobacco					Sold (part)	12/07/12	J	A	
384. British American Tobacco					Sold (part)	12/20/12	J	A	
385. BT Group	A	Dividend			Sold	03/12/12	J	A	
386. Cameco	A	Dividend			Sold (part)	02/16/12	J		
387. Cameco					Sold	09/18/12	J		
388. Canon		None	J	T	Buy (add'l)	08/22/12	J		
389. Canon					Buy (add'l)	12/18/12	J		
390. Caterpillar	A	Dividend	J	T	Sold (part)	02/16/12	J	A	
391. CDN National Railway	A	Dividend	J	T	Sold (part)	02/16/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. Centrica		None	J	T	Buy (add'l)	04/03/12	J		
393. Centrica					Sold (part)	09/20/12	J	A	
394. Centrica					Sold (part)	10/23/12	J		
395. Centrica					Sold (part)	10/24/12	J		
396. China Construction BK	A	Dividend	J	T					
397. China Construction BK					Buy (add'l)	11/02/12	J		
398. Chubb	A	Dividend	J	T	Sold (part)	02/16/12	J	A	
399. CNOOC	A	Dividend	J	T	Buy (add'l)	06/12/12	J		
400. Coach	A	Dividend			Sold (part)	02/22/12	J	A	
401. Coach					Sold	06/07/12	J	A	
402. Coca Cola	B	Dividend	K	T	Sold (part)	02/09/12	J	A	
403. Coca Cola					Sold (part)	02/16/12	J	A	
404. Coca Cola					Buy (add'l)	06/26/12	J		
405. Coca Cola					Buy (add'l)	11/21/12	J		
406. Conoco Phillips	A	Dividend	J	T	Sold (part)	02/16/12	J	A	
407. Phillips 66	A	Dividend	J	T		05/01/12	J		See Part VIII
408. Nike	A	Dividend			Buy	03/20/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

05/08/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. Nike					Buy (add'l)	04/30/12	J		
410. Nike					Sold	09/18/12	J		
411. Cons Energy	A	Dividend	J	T	Sold (part)	02/16/12	J		
412. Sherwin Williams	A	Dividend	J	T	Buy	08/13/12	J		
413. Sherwin Williams					Buy (add'l)	08/14/12	J		
414. Sherwin Williams					Buy (add'l)	11/21/12	J		
415. Covidien	A	Dividend			Sold (part)	02/16/12	J	A	
416. Covidien					Sold	11/09/12	J	A	
417. Deutsche Telekom		None			Sold	01/19/12	J		
418. NetApp		None			Buy	02/22/12	J		
419. NetApp					Buy (add'l)	03/22/12	J		
420. NetApp					Buy (add'l)	03/27/12	J		
421. NetApp					Sold (part)	05/18/12	J		
422. NetApp					Sold	06/12/12	J		
423. Diageo PLC	A	Dividend	J	T	Sold (part)	02/16/12	J	A	
424. Dominion Resources	A	Dividend	J	T	Sold (part)	02/16/12	J	A	
425. Dupont	A	Dividend	J	T	Sold (part)	02/16/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

05/08/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. EON AG	A	Dividend			Sold (part)	03/22/12	J	A	
427. EON AG					Sold	11/14/12	J		
428. Edwards Lifesciences		None			Sold (part)	02/16/12	J	A	
429. Edwards Lifesciences					Sold (part)	06/14/12	J	A	
430. Edwards Lifesciences					Sold (part)	06/19/12	J	A	
431. Edwards Lifesciences					Sold (part)	06/20/12	J	A	
432. Edwards Lifesciences					Sold (part)	06/26/12	J	A	
433. Edwards Lifesciences					Sold (part)	08/09/12	J	B	
434. Edwards Lifesciences					Sold	08/20/12	J	B	
435. Enbridge	A	Dividend	J	T	Sold (part)	02/16/12	J	A	
436. EQT Corp.	A	Dividend	J	T	Sold (part)	02/16/12	J	A	
437. Experian	A	Dividend	J	T	Buy (add'l)	11/26/12	J		
438. Exxon Mobil	A	Dividend	K	T	Sold (part)	02/16/12	J	A	
439. Family Dollar Stores	A	Dividend	J	T	Sold (part)	02/16/12	J		
440. Family Dollar Stores					Buy (add'l)	05/09/12	J		
441. Family Dollar Stores					Sold (part)	06/14/12	J	A	
442. Family Dollar Stores					Buy (add'l)	11/21/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. Firstenergy Corp.	A	Dividend	J	T	Sold (part)	02/16/12	J	A	
444. GDF Suez	A	Dividend	J	T	Sold (part)	04/03/12	J		
445. GDF Suez					Sold (part)	04/24/12	J		
446. GFD Suez					Sold (part)	05/02/12	J		
447. GDF Suez					Buy (add'l)	09/19/12	J		
448. General Dynamics	A	Dividend	J	T	Sold (part)	02/16/12	J		
449. Glaxo SmithKline	A	Dividend	J	T	Sold (part)	01/24/12	J	A	
450. Glaxo SmithKline					Sold (part)	05/02/12	J	A	
451. Glaxo SmithKline					Sold (part)	06/19/12	J	A	
452. Glaxo SmithKline					Sold (part)	11/02/12	J	A	
453. Glaxo SmithKline					Sold (part)	11/30/12	J	A	
454. Glaxo SmithKline					Sold (part)	12/20/12	J	A	
455. Honda Motor		None	J	T	Buy (add'l)	03/21/12	J		
456. Honda Motor					Buy (add'l)	03/29/12	J		
457. Honda Motor					Buy (add'l)	06/21/12	J		
458. HSBC Holding		None	K	T	Buy (add'l)	03/28/12	J		
459. HBSC Holding					Buy (add'l)	06/11/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. HSBC Holding					Buy (add'l)	06/19/12	J		
461. HSBC Holding					Buy (add'l)	07/09/12	J		
462. HSBC Holding					Buy (add'l)	11/26/12	J		
463. Hutchison Whampoa		None	J	T	Sold (part)	02/16/12	J	A	
464. Hutchison Whampoa					Buy (add'l)	08/08/12	J		
465. Hutchison Whampoa					Buy (add'l)	11/26/12	J		
466. Illumina Inc.		None	J	T	Sold (part)	01/26/12	J		
467. Illumina Inc.					Sold (part)	02/16/12	J		
468. Illumina Inc.					Buy (add'l)	04/28/12	J		
469. Illumina Inc.					Sold (part)	08/22/12	J		
470. Illumina Inc.					Sold (part)	08/23/12	J		
471. Illumina Inc.					Buy (add'l)	11/21/12	J		
472. ING		None	J	T	Sold (part)	02/16/12	J		
473. ING					Buy (add'l)	08/03/12	J		
474. ING					Buy (add'l)	08/09/12	J		
475. ING					Sold (part)	09/18/12	J		
476. ING					Sold (part)	09/26/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. Intercontinental Hotels		None	J	T	Sold (part)	10/09/12	J	A	
478. Pearson PLC	A	Dividend	J	T	Buy	05/03/12	J		
479. Pearson PLC					Buy (add'l)	08/22/12	J		
480. Pearson PLC					Buy (add'l)	11/26/12	J		
481. IBM	A	Dividend	K	T	Sold (part)	02/16/12	J	A	
482. IBM					Buy (add'l)	09/05/12	J		
483. IBM					Buy (add'l)	09/21/12	J		
484. IBM					Buy (add'l)	11/21/12	J		
485. KT Corp	A	Dividend			Sold	08/07/12	J		
486. Swedbank	A	Dividend	J	T	Buy	01/13/12	J		
487. Teradata		None	J	T	Buy	07/05/12	J		
488. Teradata					Buy (add'l)	07/17/12	J		
489. Teradata					Buy (add'l)	11/21/12	J		
490. LM Ericsson Telefon		None			Sold (part)	10/17/12	J		
491. LM Ericsson Telefon					Sold (part)	11/06/12	J		
492. LM Ericsson Telefon					Buy (add'l)	12/07/12	J		
493. LM Ericsson Telefon					Buy (add'l)	12/20/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494. Las Vegas Sands Corp.	A	Dividend			Sold (part)	01/24/12	J	A	
495. Las Vegas Sands Corp.					Sold (part)	02/16/12	J	A	
496. Las Vegas Sands Corp.					Sold (part)	03/02/12	J	A	
497. Las Vegas Sands Corp.					Sold (part)	06/26/12	J	A	
498. Las Vegas Sands Corp.					Sold	09/25/12	J		
499. Estee Lauder		None			Sold (part)	02/16/12	J	A	
500. Estee Lauder					Sold (part)	07/12/12	J	A	
501. Estee Lauder					Sold	07/17/12	J	A	
502. Lloyds Banking Corp.		None			Sold	03/08/12	J		
503. Lorillard	A	Dividend	J	T	Sold (part)	01/30/12	J	B	
504. Lorillard					Sold (part)	02/16/12	J	A	
505. Marathon Petroleum	A	Dividend	J	T	Sold (part)	02/16/12	J	A	
506. McDonalds	A	Dividend	J	T	Sold (part)	02/16/12	J	A	
507. McDonalds					Sold (part)	04/19/12	J	A	
508. McDonalds					Sold (part)	05/08/12	J	A	
509. Mead Westvaco Corp.	A	Dividend	J	T	Sold (part)	02/16/12	J	A	See Part VIII
510. Mead Westvaco Corp.					Sold (part)	07/11/12	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. Mead Westvaco Corp.					Sold (part)	07/16/12	J	A	
512. Merck	A	Dividend	J	T	Sold (part)	02/16/12	J	A	
513. Natl-Oilwellvarco	A	Dividend			Sold (part)	02/16/12	J	A	
514. Natl-Oilwellvarco					Sold (part)	03/02/12	J	A	
515. Natl-Oilwellvarco					Sold (part)	04/23/12	J		
516. Natl-Oilwellvarco					Sold	05/08/12	J		
517. Netflix-Com		None			Sold (part)	01/26/12	J	A	
518. Netflix-com					Sold (part)	02/16/12	J	A	
519. Netflix-com					Sold	05/31/12	J		
520. Nextera Energy	A	Dividend	K	T	Sold (part)	02/16/12	J	A	
521. Nextera Energy					Buy (add'l)	10/16/12	J		
522. Nextera Energy					Buy (add'l)	11/21/12	J		
523. Nippon Tel&tel	A	Dividend	J	T	Sold (part)	03/21/12	J	A	
524. Nippon Tel&tel					Buy (add'l)	11/26/12	J		
525. Nissan Motor	A	Dividend	J	T	Sold (part)	02/01/12	J	A	
526. Nissan Motor					Sold (part)	06/21/12	J	A	
527. Nissan Motor					Sold (part)	08/29/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528. Nissan Motor					Buy (add'l)	11/06/12	J		
529. Nissan Motor					Buy (add'l)	11/16/12	J		
530. Northeast Utilities	A	Dividend	J	T	Sold (part)	02/16/12	J	A	
531. O'Reilly Automotive		None	J	T	Buy	07/20/12	J		
532. O'Reilly Automotive					Buy (add'l)	11/21/12	J		
533. Peabody Energy	A	Dividend	J	T	Sold (part)	02/16/12	J		
534. Orix			J	T	Buy	04/20/12	J		
535. Orix					Buy (add'l)	08/22/12	J		
536. Orix					Buy (add'l)	12/13/12	J		
537. Philip Morris	B	Dividend	K	T	Buy (add'l)	02/10/12	J		
538. Philip Morris					Sold (part)	02/16/12	J	A	
539. Philip Morris					Buy (add'l)	06/12/12	J		
540. Philip Morris					Buy (add'l)	06/20/12	J		
541. Philip Morris					Sold (part)	11/05/12	J	A	
542. Philip Morris					Buy (add'l)	11/21/12	J		
543. Philip Morris					Sold (part)	12/05/12	J	A	
544. Philip Morris					Sold (part)	12/06/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545. Praxair	A	Dividend	J	T	Sold (part)	02/16/12	J	A	
546. Praxair					Sold (part)	03/14/12	J	A	
547. Praxair					Sold (part)	08/28/12	J	A	
548. Praxair					Buy (add'l)	08/31/12	J		
549. Precision Castparts	A	Dividend	K	T	Buy (add'l)	06/12/12	J		
550. Precision Castparts					Buy (add'l)	11/21/12	J		
551. Prudential Financial	A	Dividend	J	T	Sold (part)	02/16/12	J		
552. Prudential Financial					Buy (add'l)	08/29/12	J	A	
553. Prudential PLC	A	Dividend	J	T	Sold (part)	04/24/12	J		
554. Prudential PLC					Sold (part)	05/03/12	J	A	
555. Prudential PLC					Buy (add'l)	08/29/12	J		
556. Prudential PLC					Sold (part)	09/28/12	J	A	
557. Publis Service Enterprise Group	A	Dividend	J	T	Sold (part)	02/16/12	J	A	
558. Roche Holding		None	J	T	Buy	09/26/12	J		
559. Roche Holding					Buy (add'l)	12/14/12	J		
560. Qualcomm	A	Dividend	J	T	Sold (part)	02/16/12	J		
561. Qualcomm					Buy (add'l)	03/26/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

562. Qualcomm					Buy (add'l)	04/17/12	J		
563. Qualcomm					Buy (add'l)	11/21/12	J		
564. Range Resources	A	Dividend	J	T	Sold (part)	02/16/12	J	A	
565. Range Resources					Buy (add'l)	04/19/12	J		
566. Range Resources					Buy (add'l)	04/23/12	J		
567. Range Resources					Sold (part)	06/14/12	J	A	
568. Range Resources					Buy (add'l)	06/18/12	J		
569. Range Resources					Buy (add'l)	11/21/12	J		
570. Repsol	A	Dividend	J	T	Sold (part)	02/06/12	J		
571. Repsol					Sold (part)	02/15/12	J		
572. Repsol					Sold (part)	02/29/12	J		
573. Repsol					Buy (add'l)	03/21/12	J		
574. Repsol					Buy (add'l)	04/19/12	J		
575. Repsol					Buy (add'l)	04/20/12	J		
576. Repsol					Sold (part)	08/22/12	J		
577. Rio Tinto	A	Dividend	K	T	Sold (part)	02/16/12	J		
578. Rio Tinto					Buy (add'l)	06/07/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579. Rio Tinto					Buy (add'l)	07/09/12	J		
580. Rio Tinto					Buy (add'l)	08/31/12	J		
581. Rio Tinto					Buy (add'l)	09/12/12	J		
582. Rio Tinto					Buy (add'l)	11/26/12	J		
583. Rockwell Automation	A	Dividend			Sold (part)	02/16/12	J	A	
584. Rockwell Automation					Sold (part)	04/25/12	J		
585. Rockwell Automation					Sold (part)	06/26/12	J		
586. Rockwell Automation					Sold	07/18/12	J		
587. Royal Dutch Shell	A	Dividend	K	T	Sold (part)	01/13/12	J	A	
588. Royal Dutch Shell					Sold (part)	01/20/12	J	A	
589. Royal Dutch Shell					Buy (add'l)	06/06/12	J		
590. Royal Dutch Shell					Buy (add'l)	11/19/12	J		
591. Royal Dutch Shell					Buy (add'l)	11/26/12	J		
592. Royal KPN	A	Dividend			Sold (part)	01/03/12	J		
593. Royal KPN					Sold (part)	04/17/12	J		
594. Salesforce.com		None	J	T	Sold (part)	04/19/12	J	A	
595. Salesforce.com					Sold	07/30/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596. Sandisk		None	J	T	Buy	01/26/12	J		
597. Sandisk					Sold (part)	02/16/12	J		
598. Sandisk					Buy (add'l)	03/02/12	J		
599. Sandisk					Sold (part)	04/30/12	J		
600. Sandisk					Sold (part)	05/17/12	J		
601. Sandisk					Sold (part)	06/26/12	J		
602. Sandisk					Buy (add'l)	11/21/12	J		
603. Sanofi	A	Dividend	K	T	Sold (part)	02/03/12	J	A	
604. Sanofi					Buy (add'l)	06/19/12	J		
605. Sanofi					Buy (add'l)	06/27/12	J		
606. Sanofi					Buy (add'l)	07/06/12	J		
607. Sanofi					Buy (add'l)	11/26/12	J		
608. Schneider Electric	A	Dividend	J	T	Sold (part)	09/18/12	J		
609. Schneider Electric					Sold (part)	09/20/12	J		
610. Schneider Electric					Buy (add'l)	11/23/12	J		
611. Schneider Electric					Buy (add'l)	11/23/12	J		
612. Sempra Energy	A	Dividend	J	T	Sold (part)	02/16/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613. Sigma Aldrich	A	Dividend	J	T	Sold (part)	02/16/12	J	A	
614. Sigma Aldrich					Sold (part)	04/13/12	J	A	
615. Sigma Aldrich					Sold	04/16/12	J		
616. Southern Co.	A	Dividend			Sold	02/16/12	J	A	
617. Starwood Hotels & Resorts		None			Sold (part)	04/25/12	J		
618. Starwood Hotels & Resorts					Sold (part)	05/18/12	J		
619. Starwood Hotels & Resorts					Sold (part)	06/26/12	J		
620. Starwood Hotels & Resorts					Sold	10/24/12	J	A	
621. Stericycle		None			Sold	03/21/12	J	A	
622. Sumitomo	B	Dividend	J	T	Sold (part)	08/23/12	J	A	
623. Sumitomo					Sold (part)	09/26/12	J	A	
624. Sumitomo					Buy (add'l)	11/26/12	J		
625. Sumitomo					Sold (part)	11/29/12	J		
626. Sumitomo					Sold (part)	12/07/12	J		
627. Sumitomo Mitsui Financial		None	K	T					
628. Vertex Pharmaceutical		None	J	T	Buy	05/31/12	J		
629. Vertex Pharmaceutical					Buy (add'l)	06/01/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630. Vertex Pharmaceutical					Buy (add'l)	06/29/12	J		
631. Vertex Pharmaceutical					Buy (add'l)	11/05/12	J		
632. Vertex Pharmaceutical					Buy (add'l)	11/21/12	J		
633. Telecom Corp. New Zealand	A	Dividend			Sold (part)	06/11/12	J	A	
634. Telecom Corp. New Zealand					Sold	06/18/12	J	A	
635. Toronto Dominion Bank	A	Dividend	J	T	Sold (part)	02/16/12	J		
636. Toronto Dominion Bank					Buy (add'l)	08/29/12	J		
637. Total S.A. France	B	Dividend	J	T	Sold (part)	02/16/12	J		
638. Tullow Oil		None			Sold	01/24/12	J		
639. Tyson Foods	B	Dividend			Sold (part)	02/16/12	J	A	
640. Tyson Foods					Sold (part)	07/17/12	J		
641. Tyson Foods					Sold	09/04/12	J		
642. Unilever	A	Dividend	K	T	Sold (part)	02/16/12	J	A	
643. Unilever					Buy (add'l)	10/16/12	J		
644. Unilever					Buy (add'l)	11/21/12	J		
645. Union Pacific	A	Dividend	J	T	Sold (part)	02/16/12	J	A	
646. Union Pacific					Sold (part)	03/20/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647. United Health Group	A	Dividend	J	T	Sold (part)	02/03/12	J	A	
648. United Health Group					Sold (part)	02/03/12	J	A	
649. United Health Group					Sold (part)	02/16/12	J	A	
650. United Technologies	A	Dividend	J	T	Sold (part)	02/03/12	J	A	
651. United Technologies					Sold (part)	02/16/12	J	A	
652. United Technologies					Sold (part)	04/23/12	J		
653. US Bancorp	A	Dividend	J	T	Sold (part)	02/16/12	J	A	
654. Verifone Systems		None			Sold (part)	02/16/12	J	A	
655. Verifone Systems					Sold (part)	04/20/12	J	A	
656. Verifone Systems					Sold	04/27/12	J	A	
657. Verison Communications	A	Dividend	K	T	Sold (part)	02/16/12	J	A	
658. Verison Communications					Sold (part)	03/14/12	J	A	
659. Verizon Communications					Buy (add'l)	06/11/12	J		
660. Verizon Communications					Buy (add'l)	07/27/12	J		
661. Verizon Communications					Buy (add'l)	11/21/12	J		
662. VF Corp.	A	Dividend	J	T	Sold (part)	02/16/12	J	A	
663. VF Corp.					Sold (part)	02/22/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
664. VF Corp.					Buy (add'l)	11/21/12	J		
665. VF Corp.					Sold (part)	12/06/12	J	A	
666. Wal Mart	A	Dividend	J	T	Sold (part)	01/05/12	J	A	
667. Wal Mart					Sold (part)	02/16/12	J	A	
668. LinkedIn Corp.		None	J	T	Buy	05/16/12	J		
669. LinkedIn Corp.					Buy (add'l)	05/24/12	J		
670. LinkedIn Corp.					Buy (add'l)	07/16/12	J		
671. LinkedIn Corp.					Sold (part)	10/11/12	J	A	
672. LinkedIn Corp.					Buy (add'l)	11/21/12	J		
673. Waters Corp.		None			Sold	01/10/12	J		
674. Weyerhaeuser Co.	B	Dividend	J	T	Sold (part)	02/16/12	J		
675. Zurich Ins. Group		None	J	T	Buy	09/28/12	J		
676. Zurich Ins. Group					Sold (part)	12/18/12	J	A	
677. YUM! Brands		None			Sold (part)	02/03/12	J	A	
678. YUM! Brands					Sold (part)	02/16/12	J	A	
679. YUM! Brands					Sold (part)	07/05/12	J	A	
680. YUM! Brands					Sold	07/13/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

681. 3M Co.	A	Dividend	J	T	Sold (part)	02/16/12	J		
682. iShares S & P Mid Cap 400 Index Fund	B	Dividend	M	T	Sold (part)	02/16/12	K	A	
683. iShares S & P Mid Cap 400 Index Fund					Buy (add'l)	06/26/12	J		
684. iShares S & P Mid Cap 400 Index Fund					Buy (add'l)	11/21/12	K		
685. iShares S & P Small Cap 600 Index Fund	A	Dividend	M	T	Sold (part)	02/16/12	J	A	
686. iShares S & P Small Cap 600 Index Fund					Buy (add'l)	06/26/12	J		
687. iShares S & P Small Cap 600 Index Fund					Buy (add'l)	11/21/12	J		
688. JP Morgan International Value SMA Fund	B	Dividend	M	U	Sold (part)	03/15/12	J		
689. JP Morgan International Value SMA Fund					Sold (part)	03/27/12	J		
690. JP Morgan International Value SMA Fund					Sold (part)	06/11/12	J		
691. JP Morgan International Value SMA Fund					Buy (add'l)	06/13/12	J		
692. JP Morgan International Value SMA Fund					Buy (add'l)	09/19/12	J		
693. JP Morgan International Value SMA Fund					Buy (add'l)	10/19/12	J		
694. JP Morgan International Value SMA Fund					Buy (add'l)	11/19/12	J		
695. JP Morgan International Value SMA Fund					Buy (add'l)	11/26/12	J		
696. Oppenheimer Developing Markets Fund	A	Dividend	M	U	Sold (part)	01/31/12	J		
697. Oppenheimer Developing Markets Fund					Buy (add'l)	02/22/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

698. Oppenheimer Developing Markets Fund					Sold (part)	09/17/12	J		
699. Oppenheimer Developing Markets Fund					Sold (part)	11/20/12	J		
700. New York State Urban Dev. Corp. Bond mat. 3/12	B	Interest			Matured	03/15/12	L		
701. New York Thruway Revenue Bond mat. 4/1/12	B	Interest			Matured	04/01/12	L		
702. New York Thruway Bond mat. 1/22	C	Interest	L	T					
703. NY State Dormitory Authority Bond mat. 7/13	C	Interest	L	T					
704. NY State Dormitory Authority Bond mat. 7/19	C	Interest	L	T					
705. NY State Dormitory Bond mat. 2/20	C	Interest	L	T					
706. Roslyn NY Union Free School District Bond	C	Interest	L	T					
707. Arlington NY Cent School Bond	C	Interest	L	T					
708. Onondaga County NY Bond	B	Interest	L	T					
709. Buffalo NY Bond	C	Interest	L	T					
710. NYC Muni WTR Fin Authority Bond	C	Interest	L	T					
711. Massachusetts Bond	C	Interest	L	T					
712. Saratoga CTY NY Bond	B	Interest	L	T					
713. Town of Babylon NY Bond	C	Interest	L	T					
714. Metropolitan Transity Authority Bond	C	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
715. NY Municipal Water Authority Bond	C	Interest	L	T					
716. Dormitory Auth of NY Bond	C	Interest	K	T					
717. South Huntington NY Bond	B	Interest	K	T	Sold (part)	08/10/12	K	A	
718. Garden City NY Bond	B	Interest	L	T	Buy	03/21/12	L		
719. Wallkill NY Gent Sch	B	Interest	L	T	Buy	04/26/12	L		
720. Triborough Bridge&Tunnel Authority Bond	C	Interest	L	T					
721. 34th Street Partnership Bond	B	Interest	L	T					
722. Tampa Florida Bond	C	Interest	L	T					
723. Indiana Bond		None	L	T	Buy	09/20/12	L		
724.									
725.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, p. 6, l. 42: Purchase of General Mills inadvertently omitted from las year's report.

Part VII, p. 10, l. 106: Purchase on 11/22/11 not reported last year due to inadvertence.

Part VII, p. 11, l. 122: Erroneously reported as part sold on 10/27/11. In fact entire position was sold on that date.

Part VII, p 11, l. 127: Erroneously reported as part sold on 6/14/11. In fact entire position was sold on that date.

Part VII, p. 11, l. 130: Erroneously reported as part sold on 8/11/11. In fact entire position was sold on that date.

Part VII, p. 13, l. 170: Purchased 3/15/11; not reported last year due to inadvertence.

Part VII, p. 15, l. 199: Erroneously reported as sold on 3/10/11; should have been reported as part sold.

Part VII, p. 18, l. 245: Erroneously reported as part sold on 3/11/11; should have been reported as sold.

Part VII, p. 23, l. 333: Purchase on 3/11/11 not reported last year due to inadvertence.

Part VII, p. 27, l. 407: Acquired in spinoff.

Part VII, p. 33, l. 510: Formerly Mead Johnson Nutrition.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ ALLYNE R. ROSS**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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