

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Engelmayer, Paul A.	2. Court or Organization U.S. District Court, Southern District of New York	3. Date of Report 05/14/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. Courthouse 500 Pearl Street New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-executor	Estate #1
2.	Trustee	Trust #1
3.	Partner	Wilmer Cutler Pickering Hale & Dorr
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2009	WilmerHale partnership agreement re interest earned on retained capital of former partners
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 1/1/11	Partnership Income (1/1/11 - 8/31/11)	\$1,329,837.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1									
2. -ING Senior Income C1 A	A	Int./Div.	K	T	Buy (add'l)	04/18/11	J		
3. -MFS Emerging Markets Debt Fund Class I	A	Int./Div.	J	T					
4. -Ivy Global Natural Resources Fund Class I			J	T					
5. -Franklin Templeton Emerging Markets Bond Fund	D	Int./Div.	L	T	Buy	10/19/11	L		
6. IRA #2									
7. -MFS Emerging Markets Debt Fund Class I					Buy	4/25/11	J		
8. -Templeton Global Bond Fund C1 A					Sold	4/21/11	J	A	
9. IRA #3									
10. -ING Senior Income C1 A	A	Int./Div.	K	T	Buy (add'l)	04/21/11	J		
11. -MFS Emerging Markets Debt Fund Class I	A	Int./Div.	K	T					
12. -Ivy Global Natural Resources Fund C1 Y			J	T					
13. Trust #1									
14. -Acadian Emerging Markets	A	Dividend	J	T					
15. -Eaton Vance Structured Emerging Markets			J	T					
16. -GRT Value Fund Advisors Class			J	T					
17. -ING Senior Income C1 A	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -ING Senior Income Fund F1 Q									
19. -iShares Tr MSCI EAFE Index Fd			J	T					
20. -iShares Tr S&P 400 BARRA Midcap Growth			J	T					
21. -Ivy Asset Strategy Fund Class A	A	Dividend	K	T					
22. -Loomis Sayles Strategic Income A	A	Int./Div.	J	T					
23. -PIMCO Funds Total Return Fund Instl #35	A	Int./Div.	J	T					
24. -T. Rowe Price Emerging Market Bond Fund	A	Int./Div.	J	T					
25. -Wisdomtree Large Cap Dividend	A	Int./Div.	K	T					
26. -Wisdomtree MidCap Dividend			J	T					
27. -Berkshire Hathaway Inc Del B			K	T	Buy (add'l)	6/21/11	J		
28. -Ivy Global Natural Resources Fund C1 Y			J	T					
29. -Schwab NY Tax Ex Money Sweep Shares (Y)									
30. Trust #2									
31. -Acadian Emerging Markets	A	Dividend	J	T					
32. -Eaton Vance Structured Emerging Markets A			J	T					
33. -ING Senior Income C1 A	A	Int./Div.	J	T					
34. -iShares Tr MSCI EAFE Index Fd	A	Int./Div.	J	T					

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35. -iShares Tr S&P 400 BARRA Midcap Growth			J	T					
36. -Ivy Asset Strategy Fund Class A			K	T					
37. -Loomis Sayles Strategic Income A	A	Int./Div.	J	T					
38. -PIMCO Funds Total Return Fund Instl #35	A	Int./Div.	J	T					
39. -T. Rowe Price Emerging Markets Bond Fund	A	Int./Div.	J	T					
40. -Wisdomtree Large Cap Dividend	A		K	T					
41. -Wisdomtree MidCap Dividend			J	T					
42. -Berkshire Hathaway Inc Del B			K	T	Buy (add'l)	6/21/11	J		
43. -Ivy Global Natural Resources Fund Cl Y			J	T					
44. -Schwab Municipal Money Fund Sweep Shares (Y)									
45. Brokerage #1									
46. -Eaton Vance Structured Emerging Markets I	C	Int./Div.	N	T	Buy (add'l)	12/28/11	J		
47. -ING European Bank Loan	D	Int./Div.	M	T					
48. -ING Senior Income Cl A									
49. -ING Senior Income Fund Cl Q									
50. -iShares Tr S&P 600 BARRA Small Cap Growth					Sold	12/30/11	K	D	
51. -MFS Emerging Markets Debt Fund Class I	C	Int./Div.	K	T					

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52. -New York Port Authority									
53. -Prudential Jennison Natural Resources Cl A									
54. -Schwab NY Tax Ex Money Sweep Shares			N	T					
55. -Aria Consumer Fund			N	T					
56. -Berkshire Hathaway Inc Del B			M	T	Buy (add'l)	06/21/11	K		
57. -Deutsche Bank AG London 307 US CMS			J	T					
58. -Ivy Global Natural Resources Fund Cl Y			M	T					
59. -JLP Credit Opportunity Fund					Sold	03/31/11	M		
60. -Prudential Jennison Natural Resources Fund Cl Z			M	T	Buy (add'l)	09/29/11	K		
61. -First Eagle Global	D	Int./Div.	M	T	Buy	4/18/11	M		
62. -First Eagle Global					Buy (add'l)	12/30/11	J		
63. -Aberdeen Emerging Markets	B	Int./Div.	L	T	Buy	9/29/11	K		
64. Brokerage #2									
65. -Schwab NY Tax Ex Money Sweep Shares									
66. Brokerage #3									
67. -Consumer Stap SPDR	B	Int./Div.	M	T	Sold (part)	01/18/11	J	A	
68. -Consumer Stap SPDR					Buy (add'l)	02/7/11	J		

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69. -Consumer Stap SPDR					Sold (part)	2/14/11	J	A	
70. -Consumer Stap SPDR					Buy (add'l)	4/19/11	K		
71. -Consumer Stap SPDR					Buy (add'l)	8/8/11	J		
72. -Consumer Stap SPDR					Buy (add'l)	8/15/11	K		
73. -Consumer Stap SPDR					Buy (add'l)	9/30/11	K		
74. -Consumer Stap SPDR					Buy (add'l)	10/3/11	J		
75. -Consumer Stap SPDR					Sold (part)	10/24/11	J	A	
76. -Consumer Stap SPDR					Buy (add'l)	12/9/11	J		
77. -Consumer Stap SPDR					Sold (part)	12/12/11	J	A	
78. -Consumer Dis SS SPDR	A	Int./Div.	M	T	Sold (part)	1/18/11	J	A	
79. -Consumer Dis SS SPDR					Buy (add'l)	2/7/11	J		
80. -Consumer Dis SS SPDR					Sold (part)	2/14/11	J	A	
81. -Consumer Dis SS SPDR					Buy (add'l)	4/19/11	K		
82. -Consumer Dis SS SPDR					Buy (add'l)	8/8/11	J		
83. -Consumer Dis SS SPDR					Sold (part)	8/15/11	K	A	
84. -Consumer Dis SS SPDR					Buy (add'l)	12/12/11	M		
85. -Consumer Dis SS SPDR					Buy (add'l)	12/16/11	J		

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86. -Consumer Dis SS SPDR					Buy (add'l)	12/30/11	J		
87. -Energy Select Sector SPDR	A	Int./Div.		T	Sold (part)	1/18/11	J	A	
88. -Energy Select Sector SPDR					Buy (add'l)	2/7/11	J		
89. -Energy Select Sector SPDR					Sold (part)	2/14/11	J	A	
90. -Energy Select Sector SPDR					Buy (add'l)	4/19/11	K		
91. -Energy Select Sector SPDR					Buy (add'l)	8/8/11	J		
92. -Energy Select Sector SPDR					Buy (add'l)	8/15/11	K		
93. -Energy Select Sector SPDR					Sold	8/29/11	L		
94. -Healthcare SS SPDR	A	Int./Div.		T	Buy (add'l)	1/18/11	K		
95. -Healthcare SS SPDR					Sold (part)	2/7/11	K	A	
96. -Healthcare SS SPDR					Buy (add'l)	2/14/11	K		
97. -Healthcare SS SPDR					Buy (add'l)	4/19/11	K		
98. -Healthcare SS SPDR					Buy (add'l)	8/8/11	J		
99. -Healthcare SS SPDR					Sold (part)	8/15/11	K		
100. -Healthcare SS SPDR					Buy (add'l)	9/6/11	L		
101. -Healthcare SS SPDR					Buy (add'l)	9/30/11	K		
102. -Healthcare SS SPDR					Sold (part)	10/3/11	L		

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103. -Healthcare SS SPDR					Sold (part)	10/6/11	K		
104. -Healthcare SS SPDR					Buy (add'l)	10/24/11	M		
105. -Healthcare SS SPDR					Sold	12/5/11	M	B	
106. -Materials SS SPDR	A	Int./Div.		T	Sold (part)	1/18/11	J	A	
107. -Materials SS SPDR					Buy (add'l)	2/7/11	J		
108. -Materials SS SPDR					Sold (part)	2/14/11	J	A	
109. -Materials SS SPDR					Buy (add'l)	4/19/11	K		
110. -Materials SS SPDR					Buy (add'l)	8/8/11	J		
111. -Materials SS SPDR					Sold	8/15/11	K		
112. -Schwab NY Tax Ex Money Sweep Shares			J	T					
113. -Select Sector SPDR Amex Industrial	A	Int./Div.			Sold (part)	1/18/11	J	A	
114. -Select Sector SPDR Amex Industrial					Buy (add'l)	2/7/11	J		
115. -Select Sector SPDR Amex Industrial					Sold (part)	2/14/11	J	A	
116. -Select Sector SPDR Amex Industrial					Buy (add'l)	4/19/11	K		
117. -Select Sector SPDR Amex Industrial					Buy (add'l)	8/8/11	J		
118. -Select Sector SPDR Amex Industrial					Sold	8/15/11	K		
119. -Select Sector SPDR Financial					Sold (part)	1/18/11	J	A	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Select Sector SPDR Financial					Buy (add'l)	2/7/11	J		
121. -Select Sector SPDR Financial					Sold (part)	2/14/11	J	A	
122. -Select Sector SPDR Financial					Buy (add'l)	4/19/11	K		
123. -Select Sector SPDR Financial					Sold	8/8/11	K		
124. -Select Sector SPDR Technology	A	Int./Div.	M	T	Sold (part)	1/18/11	J	A	
125. -Select Sector SPDR Technology					Buy (add'l)	2/7/11	J		
126. -Select Sector SPDR Technology					Sold (part)	2/14/11	J	A	
127. -Select Sector SPDR Technology					Buy (add'l)	4/19/11	K		
128. -Select Sector SPDR Technology					Buy (add'l)	8/8/11	J		
129. -Select Sector SPDR Technology					Sold (part)	8/15/11	K		
130. -Select Sector SPDR Technology					Buy (add'l)	12/12/11	M		
131. -Select Sector SPDR Technology					Buy (add'l)	12/16/11	J		
132. -Select Sector SPDR Technology					Buy (add'l)	12/30/11	J		
133. -Utilities Sel SPDR	C	Int./Div.	M	T	Sold (part)	1/18/11	J	A	
134. -Utilities Sel SPDR					Buy (add'l)	2/7/11	J		
135. -Utilities Sel SPDR					Sold (part)	2/14/11	J	A	
136. -Utilities Sel SPDR					Buy (add'l)	4/19/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Utilities Sel SPDR					Buy (add'l)	8/8/11	J		
138. -Utilities Sel SPDR					Buy (add'l)	8/15/11	K		
139. -Utilities Sel SPDR					Sold (part)	8/29/11	J	A	
140. -Utilities Sel SPDR					Buy (add'l)	9/30/11	K		
141. -Utilities Sel SPDR					Sold (part)	10/3/11	J	A	
142. -Utilities Sel SPDR					Buy (add'l)	12/9/11	J		
143. -Utilities Sel SPDR					Sold (part)	12/12/11	J	A	
144. Brokerage #4									
145. -Acadian Emerging Markets	B	Int./Div.	L	T	Buy (add'l)	12/29/11	J		
146. -Causeway International Value Fund Invest	B	Int./Div.			Sold	12/30/11	K		
147. -Elmira County NY School (Y)									
148. -GRT Value Fund Advisor Class	C	Int./Div.			Buy (add'l)	4/18/11	L		
149. -GRT Value Fund Advisor Class					Sold	12/30/11	M		
150. -iShares Tr MSCI EAFE Index Fd	D	Int./Div.			Sold	12/30/11	M	E	
151. -iShares Tr S&P 400 BARRA Midcap Value	B				Sold	12/30/11	M	E	
152. -iShares Tr S&P 600 BARRA Small Cap Growth	A	Int./Div.			Sold	12/30/11	L	E	
153. -MFS Emerging Markets Debt Fund Class I	C	Int./Div.	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -PIMCO Funds Total Return Fund Instl #35	B	Int./Div.			Sold	8/11/11	L		
155. -Prudential Jennison Natural Resources Cl A									
156. -Schwab NY Tax Ex Money Sweep Shares			O	T					
157. -Wisdomtree Large Cap Dividend	E	Int./Div.	O	T					
158. -Berkshire Hathaway Inc Del B			M	T	Sold (part)	12/6/11	L	F	
159. -Berkshire Hathaway Inc Del Cl A			P1	T					
160. -Ivy Global Natural Resources Fund Cl Y			M	T					
161. -Microsoft Corp Com (Y)									
162. -Pfizer Inc (Y)									
163. -Landmark Value Strategies QP			J		Redeemed	12/31/10			
164. -Prudential Jennison Natural Resources Fund Cl Z			M	T					
165. -First Eagle Global Instl Cl I	D	Int./Div.	M	T	Buy	4/18/11	M		
166. -First Eagle Global Instl Cl I					Buy (add'l)	12/30/11	J		
167. -PIMCO Unconstrained Bond Instl Cl			M	T	Buy	12/30/11	M		
168. 401(k) #1									
169. -Acadian Emerging Markets					Buy (add'l)	1/31/11	J		
170. -Acadian Emerging Markets					Buy (add'l)	2/28/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -Acadian Emerging Markets					Buy (add'l)	3/29/11	J		
172. -Acadian Emerging Markets					Buy (add'l)	4/18/11	K		
173. -Acadian Emerging Markets					Buy (add'l)	4/27/11	J		
174. -Acadian Emerging Markets					Buy (add'l)	5/9/11	K		
175. -Acadian Emerging Markets					Buy (add'l)	5/31/11	J		
176. -Acadian Emerging Markets					Buy (add'l)	6/29/11	J		
177. -Acadian Emerging Markets					Buy (add'l)	7/26/11	J		
178. -Acadian Emerging Markets					Buy (add'l)	8/29/11	J		
179. -Acadian Emerging Markets					Sold	10/17/11	M	E	
180. -Brandes Inst Intl Equity Fund					Sold (part)	4/18/11	J	B	
181. -Brandes Inst Intl Equity Fund					Buy	5/9/11	J		
182. -Brandes Inst Intl Equity Fund					Sold	8/11/11	J		
183. -ING Senior Income Fund C1 W	D	Int./Div.			Sold (part)	5/13/11	M	D	
184. -ING Senior Income Fund C1 W					Sold (part)	8/12/11	M		
185. -ING Senior Income Fund C1 W					Sold	10/14/11	M	A	
186. -Franklin Templeton Emerging Markets Debt Opp					Buy	5/18/11	M		
187. -Franklin Templeton Emerging Markets Debt Opp					Sold (part)	8/11/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -Franklin Templeton Emerging Markets Debt Opp					Sold	10/17/11	L		
189. -Ivy Global Natural Resources					Buy	8/18/11	K		
190. -Ivy Global Natural Resources					Sold	10/17/11	K		
191. -Aberdeen Emerging Markets Instl					Buy	8/18/11	L		
192. -Aberdeen Emerging Markets Instl					Sold	10/17/11	K		
193. UTMA #1									
194. -Eaton Vance Structured Emerging Markets A			J	T					
195. -ING Senior Income Cl A			J	T					
196. -iShares Tr MSCI EAFE Index Fd	A	Int./Div.	K	T					
197. -Loomis Sayles Global Bond Instl	A	Int./Div.	J	T					
198. -Wisdomtree Large Cap Dividend			J	T					
199. -Wisdom Tree MidCap Dividend			J	T					
200. -Ivy Global Natural Resources Fund Cl Y			J	T					
201. UTMA #2									
202. -Eaton Vance Structured Emerging Markets A			J	T					
203. -ING Senior Income Fund Cl A	A	Int./Div.	J	T					
204. -iShares Tr MSCI EAFE Index Fd			J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -Loomis Sayles Global Bond Instl	A	Int./Div.	J	T					
206. -WisdomTree Large Cap Dividend			J	T					
207. -WisdomTree MidCap Dividend			J	T					
208. -Berkshire Hathaway Inc Del B			K	T					
209. -Ivy Global Natural Resources Fund CI Y			J	T					
210. UTMA #3									
211. -Eaton Vance Structured Emerging Markets A			J	T					
212. -ING Senior Income CI Q (Y)									
213. -ING Senior Income CI A			J	T					
214. -iShares Tr MSCI EAFE Index Fd	A	Int./Div.	K	T					
215. -Loomis Sayles Global Bond Instl	A	Int./Div.	J	T					
216. -WisdomTree Large Cap Dividend			J	T					
217. -WisdomTree MidCap Dividend			J	T					
218. -Ivy Global Natural Resources Fund CI Y			J	T					
219. -UTMA #4									
220. -Eaton Vance Structured Emerging Markets A			J	T					
221. -ING Senior Income CI A	A	Int./Div.	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. -iShares Tr MSCI EAFE Index Fd			J	T					
223. -Loomis Sayles Global Bond Instl	A	Int./Div.	J	T					
224. -WisdomTree Large Cap Dividend			J	T					
225. -WisdomTree MidCap Dividend			J	T					
226. -Berkshire Hathaway Inc Del B			K	T					
227. -Ivy Global Natural Resources Fund CI Y			J	T					
228. 529 Plan #1									
229. -New York Saves Bond Market Index Portfolio					Sold	12/20/11	K		
230. -New York Saves Bond Market Index Portfolio					Buy (add'l)	1/11/11	J		
231. -New York Saves Growth Stock Index Portfolio			K	T	Buy (add'l)	1/11/11	J		
232. -New York Saves Mid Cap Index Portfolio					Buy (add'l)	1/11/11	J		
233. -New York Saves Mid Cap Index Portfolio					Sold	12/20/11	J		
234. -New York Saves Small Cap Stock Index Portfolio					Buy (add'l)	1/11/11	J		
235. -New York Saves Small Cap Stock Index Portfolio					Sold	12/20/11	J		
236. -New York Saves Value Stock Index Portfolio			K	T	Buy (add'l)	1/11/11	J		
237. -New York Saves Interest Accumulation Index Portfolio			K	T	Buy	12/20/11	K		
238. 529 Plan #2									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. -New York Saves Developed Markets Income Portfolio			K	T					
240. -New York Saves Interest Accumulation Portfolio			J	T	Sold (part)	1/6/11	K		
241. -New York Saves Mid Cap Index Portfolio			K	T	Buy (add'l)	1/6/11	K		
242. -New York Saves Growth Stock Index Portfolio			J	T	Sold	1/6/11	K		
243. -New York Saves Growth Stock Index Portfolio			L	T	Buy	1/12/11	J		
244. -New York Saves Inflation Protected Portfolio			L	T	Buy (add'l)	1/12/11	J		
245. -New York Saves Value Stock Index Portfolio			K	T	Buy (add'l)	1/6/11	J		
246. -New York Saves Income Portfolio (X)					Sold	1/6/11	K		
247. -New York Saves Small Cap Stock Index Portfolio (X)					Sold	1/6/11	J		
248. 529 Plan #3									
249. -New York Saves Developed Markets Income Portfolio			K	T					
250. -New York Saves Mid Cap Stock Index Portfolio			J	T					
251. -New York Saves Inflation Protected Portfolio			L	T	Buy (add'l)	1/3/11	L		
252. -New York Saves Small Cap Stock Index Portfolio			J	T					
253. -New York Saves Income Portfolio (X)					Sold	1/3/11	L		
254. 529 Plan #4									
255. -New York Saves Bond Market Index Portfolio					Sold	12/21/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. -New York Saves Developed Markets Income Portfolio					Sold	12/21/11	J		
257. -New York Saves Growth Stock Index Portfolio			K	T	Sold (part)	12/21/11	J		
258. -New York Saves Mid Cap Stock Index Portfolio					Sold	12/21/11	K		
259. -New York Saves Inflation Protected Portfolio			J	T	Sold (part)	12/21/11	J		
260. -New York Saves Small Cap Stock Index Portfolio					Sold	12/21/11	K		
261. -New York Saves Value Stock Index Portfolio			K	T	Sold (part)	12/21/11	J		
262. -New York Saves Interest Accumulation Portfolio			L	T	Buy	12/21/11	L		
263. Formerly Brokerage #5 (since added to Brokerage #1)									
264. -BHP Billiton Limited (BHP)					Sold	5/16/11	J	C	
265. -Fidelity Select Energy Fund					Sold	4/18/11	J		
266. -Fidelity Select Energy Services					Sold	4/19/11	J		
267. -General Electric (GE)					Sold	5/16/11	J		
268. -Travelers Cos Inc Com (TRV)					Sold	5/16/11	J	A	
269. -United Parcel SVC Inc Cl B (UPS)					Sold	5/16/11	J	B	
270. IRA #4									
271. -Vanguard Value Index Fund Admiral Shares					Sold	4/18/11	K		
272. -Vanguard Extended Market Index Fund Admiral Shares					Sold	4/18/11	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. -Vanguard Wellington Fund Admiral	B	Int./Div.			Sold	4/18/11	M		
274. -Ivy Global Natural Resources			K	T	Buy	4/18/11	K		
275. -ING Senior Income C1 A	D	Int./Div.	M	T	Buy	4/19/11	M		
276. IRA #5									
277. -Fidelity Cash Reserves									
278. -Fidelity Contrafund					Sold	4/18/11	L		
279. -Fidelity Growth & Income					Sold	4/18/11	J		
280. -Fidelity Select Defense & Aerospace					Sold	4/18/11	J		
281. -Aberdeen Emerging Markets Instl	A	Int./Div.	K	T	Buy	4/18/11	K		
282. -ING Senior Income C1 A	B	Int./Div.	K	T	Buy	4/19/11	K		
283. IRA #6									
284. -Aberdeen Emerging Markets	B	Int./Div.	L	T	Buy	10/19/11	L		
285. -Acadian Emerging Markets	C	Int./Div.	M	T	Buy	10/19/11	M		
286. -Definitive Guardian Fund Ltd			O	W	Buy	12/1/11	O		
287. -Franklin Templeton EM Debt Op	C	Int./Div.	L	T	Buy	10/19/11	L		
288. -Ivy Global Natural Resources Fund C1 Y			L	T	Buy	10/19/11	K		
289. -Schwab Advanced Cash Reserves			K	T	Buy				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. WCPHD Defined Benefits Plan					Sold	10/25/11	N		Rolled over into IRA #6
291. Teachers Retirement System of NY 403(b) #1									
292. -Diversified Equity			M	T	Sold (part)	3/1/11	J		
293. -Diversified Equity					Distributed (part)	3/1/11	J		2010 RMD to Estate #1
294. -Stable Value			L	T	Sold (part)	3/1/11	J		
295. -Stable Value					Distributed (part)	3/1/11	J		2010 RMD to Estate #1
296. -International Equity			L	T	Sold (part)	3/1/11	J		
297. -International Equity					Distributed (part)	3/1/11	J		2010 RMD to Estate #1
298. -Inflation Protection			L	T	Sold (part)	3/1/11	J		
299. -Inflation Protection					Distributed (part)	3/1/11	J		2010 RMD to Estate #1
300. Citigroup Checking Account	A	Interest	N	T					
301. Chase Checking Account			K	T					
302. Westchester County Real Estate Parcel #1			L	W					
303. Westchester County Real Estate Parcel #2			L	W					
304. Estate #1 (X)									
305. HSBC Checking Account #1 (X)									
306. -Cash	A	Interest	P1	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. Brokerage #5 (X)									
308. -Vanguard REIT Index Fund	A	Int./Div.	J	T					
309. New York City Real Estate Parcel #1 (X)					Sold	12/15/11	P1		Proceeds to Estate #1

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544