

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kallon, Abdul K.	2. Court or Organization Northern District of Alabama	3. Date of Report 09/17/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge -- Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 419 Hugo Black United States Courthouse 1729 Fifth Avenue North Birmingham, AL 35203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Children's Village Board of Directors
2.	Director	Sister Cities of Birmingham
3.	Chair	Beta Kappa Boule Charitable Foundation
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1995	Bradley Arant 401K, former law firm, managed by Charles Schwab, no control
2. 2010	Bradley Arant partnership capital return, which, consistent with the partnership agreement, the firm returned to me in January, 2012.
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	Bradley Arant Boult Cummings -- interest on partnership capital contribution	\$4,571.52
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Sallie Mae	Co-signer of student loans	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Regions Bank Account 1	A	Interest	K	T					
2. Regions Bank Account 2	A	Interest	J	T					
3. BB and T Bank Account	A	Interest	K	T	Open	11/29/11	K		
4. Synergy Real Estate -- Purchased August 1, 2008 for \$56,000	C	Distribution	L	R					
5. Bradley Arant 401K									
6. --Dodge & Cox Stock		None			Closed	09/29/11	M	A	
7. --Putnam Equity Income		None	M	T	Buy	09/29/11	M		
8. --American Funds Growth Fund of America		None			Closed	09/29/11	M	A	
9. --MainStay Large Cap Growth I		None	M	T	Buy	09/29/11	L		
10. --Vanguard Institutional Index		None	M	T					
11. --Harbor Small Cap Growth Instl		None	L	T					
12. --JP Morgan Mid Cap Value Select		None			Closed	09/29/11	J	A	
13. --AllianceBern Small- Mid Cap Value I		None	J	T	Buy	09/29/11	J		
14. --American Funds EuroPacific Growth		None	J	T					
15. --Columbia International Value		None			Closed	09/29/11	J	A	
16. --Harbor International Adm		None	J	T	Buy	09/29/11	J		
17. --Oakmark Equity & Income		None	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Brokerage Account									
19. --Apple Common Stock		None	J	T					
20. --Berkshire Hathaway Class B		None	K	T					
21. --Boston Scientific		None			Sold	03/02/11	J	A	
22. --Chesapeake Energy Corp Common Stock	A	Dividend			Sold	11/21/11	J	A	
23. --Coca Cola Stock	A	Dividend	J	T	Buy	08/11/11	J		
24. --Conoco Phillips Common Stock	A	Dividend	J	T					
25. --Education Realty Trust Inc. Common Stock	A	Dividend			Sold	03/02/11	J	A	
26. --Energen Corp	A	Dividend	J	T	Buy	08/11/11	J		
27. --ExxonMobil	A	Dividend	J	T	Buy	08/11/11	J		
28. --Gilead Sciences		None	J	T	Buy	03/02/11	J		
29. --Google Common Stock		None	J	T					
30. --Great Plains Energy Common Stock	A	Dividend	J	T					
31. --Martin Marietta Materials Common Stock	A	Dividend	J	T					
32. --McDonald's Corp	A	Dividend	J	T	Buy	08/11/11	J		
33. --Montpelier Holdings Common Stock	A	Dividend	J	T					
34. --Mueller Water Products	A	Dividend	J	T	Buy	08/11/11	J		

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35. --Sirius XM Radio Common Stock		None			Sold	01/13/11	J	A	
36. --Teradata Common Stock		None	J	T					
37. --United Technologies Corp	A	Dividend	J	T	Buy	08/11/11	J		
38. --Vulcan Materials Common Stock	A	Dividend	J	T	Buy	08/11/11	J		
39. --Walter Energy	A	Dividend	J	T					
40. --Walter Investment Management Corp (X)		None			Sold	03/02/11	J	A	
41. --Goldman Sachs FS Money Market	A	Interest	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII -- Line S -- Synergy's CPA requested an extention and finally provided my K-1 for 2011 on September 13, 2012.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Abdul K. Kallon**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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