

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Howell, Beryl A.	2. Court or Organization US District Court for the District of Columbia	3. Date of Report 05/03/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Court Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address US Courthouse, Room 6600 333 Constitution Avenue, NW Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Commissioner	United States Sentencing Commission
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 9/17/2010	Stroz Freedberg Joinder Agreement to Securities Purchase Agreement
2. 4/20/2009	Separation agreement with Stroz Friedberg
3. 3/12/2007	Stroz Friedberg Equity Incentive Plan/Restricted Stock Unit Award; no control
4. 1/20/2008	Stroz Friedberg Equity Incentive Plan / Restricted Stock Unit Award Agreement; no control

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Howard Hughes Medical Institute, salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Columbia University School of Law	March 5, 2013	New York, NY	Speak to law students	Round trip transportation by train between Washington DC and New York
2. MIPTV	April 6 - 9, 2013 (spouse)	Cannes, France	Give keynote talk at documentary television conference	Hotel and ground transportation. Conference attendance fee waived.
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank Accounts (sygs, money market,) checking)	A	Interest	L	T					
2. Schwab Gov't Money Fund	A	Interest	M	T					
3. Stroz Friedberg LLC, stock and RSU's		None	P1	W	Sold (part)	03/06/13	J		Stroz Friedberg LLC
4. Vanguard Growth Index Fund (401K)		None			Closed	05/23/13	L		
5. Vanguard Inflation Protection Security 401K)		None			Buy	05/23/13	K		
6.		None			Closed	12/13/13	K		
7. Vanguard Emerging Markets Stock Index (401K)		None			Buy	05/23/13	K		
8.		None			Closed	12/13/13	K		
9. TIAA Cref Oakmark Int'l 401K		None	K	T	Buy	12/18/13	K		
10. TIAA Cref Vanguard Emerging Mkts 401K		None	K	T	Buy	12/18/13	K		
11. TIAA Cref Eagle Small Cap Growth 401K		None	K	T	Buy	12/18/13	K		
12. TIAA Cref Ivy Midcap Growth 401K		None	K	T	Buy	12/18/13	K		
13. TIAA Cref Dreyfus Research Growth Fd 401K		None	K	T	Buy	12/18/13	K		
14. TIAA Cref Black Rock Strategic Inc Opp 401K		None	K	T	Buy	12/18/13	K		
15. TIAA Cref Vanguard Target 2020		None	K	T	Buy	12/18/13	K		
16. American Funds VCPS/College America Money Market Fund 529F1		None	M	T	Distributed (part)	07/01/13	J		
17.					Distributed (part)	07/24/13	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Distributed (part)	12/13/13	K		
19.					Distributed (part)	12/23/13	M		
20. American Funds Fundamental Investors 529F1		None	K	T	Buy	12/23/13	K		
21. American Funds New World Fund 529F1		None	K	T	Buy	12/23/13	K		
22. American Funds SmallCap World Fund 529F1		None	K	T	Buy	12/23/13	K		
23. American Funds Growth Fund of America 529F1		None	K	T	Buy	12/23/13	K		
24. American Funds Income Fund of America 529F1		None	J	T	Buy	12/23/13	J		
25. American Funds New Economy Fund 529F1		None	K	T	Buy	12/23/13	K		
26. American Funds Washington Mutual Investors Fund		None	J	T	Buy	12/23/13	J		
27. MONY whole life insurance policy	A	Interest	J	T					
28. Fairfax County, VA Tax Exempt Bond	A	Interest			Sold	04/01/13	K		
29. US Treasury Note 1.0% due 8/31/16		None	L	T	Buy	11/26/13	L		
30. US Treasury Note 1% due 9/30/16		None	L	T	Buy	11/26/13	L		
31. US Treasury Note 1% due 10/31/16		None	L	T	Buy	12/03/13	L		
32. Baron Growth Fund		None	J	T	Buy	12/19/13	J		
33. Ishares DJ US Oil Equipment ETF	A	Dividend	M	T	Buy (add'l)	05/03/13	M		
34. Ishares Russell 1000 Growth	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Ishares Rusel Midcap Growth ETF	A	Dividend	J	T	Buy	12/18/13	J		
36. Turner Large Core Growth Institutional ETF Fund		None	J	T					
37. US Inflation Index Bond --Government bond	B	Interest	N	T					
38. Pimco Real Return Inst Fund	A	Dividend	J	T	Sold (part)	12/03/13	J		
39. SPDR S & P Biotech ETF	A	Dividend	M	T	Buy (add'l)	05/30/13	L		
40. Technology SPDR	C	Dividend	M	T					
41. Ishares Comex Gold Trust Fund		None	J	T					
42. Ishares DJ US Transportation Index Fd	B	Dividend	M	T					
43. Ishares Health Care Providers Index Fd	A	Dividend	L	T					
44. Ishares S & P N American Natural Resource Fd	A	Dividend	L	T					
45. Ishares TR Russel 2000 Growth Index	A	Dividend	M	T					
46. Sector SPDR Con Staples	A	Dividend	K	T	Buy	11/21/13	K		
47. Sector SPDR Materials	A	Dividend	K	T	Buy	11/21/13	K		
48. Sector SPDR Financial Select Shares	B	Dividend	M	T					
49. SPDR Consumer Discretionary ETF	B	Dividend	M	T					
50. SPDR Gold Shares		None	K	T					
51. SPDR Healthcare ETF	C	Dividend	M	T					

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52. SPDR Industrials ETF	C	Dividend	M	T					
53. Vanguard FTSE Emerging Markets	C	Dividend	M	T	Buy	04/10/13	M		
54.					Buy (add'l)	11/15/13	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII Investments and Trusts)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Beryl A. Howell**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544