

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Whitworth, Matt J.	2. Court or Organization United States District Court - Western Missouri	3. Date of Report 01/24/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge - Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Matt J. Whitworth, United States Magistrate Judge Charles Evans Whittaker United States Courthouse 400 East 9th Street, Chambers 6652 Kansas City, Missouri 64106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. _____
2. _____
3. _____
4. _____
5. _____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1. _____
2. _____
3. _____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	MOHELA	College Student Loans [REDACTED]	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. U.S. Bank Personal Savings Account	A	Interest	K	T					
2. U.S. Bank Personal Checking Account	A	Interest	J	T					
3. Adams Dairy Bank		None			Sold	12/31/16	J	A	
4. (H) Morgan Stanley Simple IRA									
5. Central Fund Canada LTD A		None			Sold	12/31/16	J	A	
6. Cisco Systems Inc..	A	Dividend			Sold	12/31/16	J	A	
7. Guggenheim S&P 500 EQU Weight	A	Dividend			Sold	12/31/16	J	A	
8. Guggenheim Timber EFT	A	Dividend			Sold	12/31/16	J	A	
9. IShares MSCI Japan Sm Cap	A	Dividend			Sold	12/31/16	J	A	
10. IShares MSCI China Large Cap	A	Dividend			Sold	12/31/16	J	A	
11. Duetsche GLB Infrastructure	A	Dividend			Sold	12/31/16	J	A	
12. Market Vectors Russia EFT Russ		None			Sold	12/31/16	J	A	
13. Doubleline Emerg Mkts FX Inc.		None			Sold	12/31/16	J	A	
14. Doubleline Total Return		None			Sold	12/31/16	J	A	
15. First Eagle Overseas I		None			Sold	12/31/16	J	A	
16. Janus Forty I		None			Sold	12/31/16	J	A	
17. Janus Overseas I		None			Sold	12/31/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$250,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Loomis Sayles Strategic Inc. Y	A	Dividend			Sold	12/31/16	J	A	
19. Victory Established Val A	A	Dividend			Sold	12/31/16	J	A	
20. Wasatch Emerging Mkts Small CP		None			Sold	12/31/16	J	A	
21. Legg Mason Opportunity TRST I (LMNOX)	B	Dividend			Sold	12/31/16	J	A	
22. (H) Morgan Stanley Traditional IRA									
23. Cons Discret Sel Sect SPDR FD	A	Dividend	J	W	Buy	02/10/16	J		
24. Morgan Stanley Traditional IRA - Cash	A	Interest	J	T	Buy	12/31/16	J		
25. Health Care Sel Sect SPDR FD	A	Dividend	J	W	Buy	06/30/16	J		
26. Industrial Sel Sec SPDR FD	A	Dividend	J	W	Buy	06/30/16	J		
27. SPDR DJ Wilshire REIT ETF	A	Dividend	J	W	Buy	02/10/16	J		
28. SPDR Morgan Stanley Technology	A	Dividend	J	W	Buy	02/10/16	J		
29. SPDR Aerospace & Def ETF	A	Dividend	J	W	Buy	02/10/16	J		
30. SPDR S & P EFT	A	Dividend	J	W	Buy	02/10/16	J		
31. SPDR S & P Biotech	A	Dividend	J	W	Buy	11/21/16	J		
32. SPDR S & P HLTH Care Equipme	A	Dividend	J	W	Buy	02/10/16	J		
33. SPDR S & P Insurance ETF	A	Dividend	J	W	Buy	02/10/16	J		
34. SPDR S & P Metals & Mining	A	Dividend	J	W	Buy	02/10/16	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. SPDR S & P Oil and Gas Equip SVC	A	Dividend	J	W	Buy	03/09/16	J		
36. SPDR S & P Oil & Gas EXP & PRO	A	Dividend	J	W	Buy	04/20/16	J		
37. SPDR S & P Regional Banking ETF	A	Dividend	J	W	Buy	11/21/16	J		
38. SPDR S & P Semiconductor	A	Dividend	J	W	Buy	02/10/16	J		
39. SPDR S & P Software & Serv ETF	A	Dividend	J	W	Buy	02/10/16	J		
40. SPDR S & P Transn ETF	A	Dividend	J	W	Buy	11/21/16	J		
41. The Financial SEL Sect SPDR FD	A	Dividend	J	W	Buy	02/10/16	J		
42. The Technology Sel SEC SPDR FD	A	Dividend	J	W	Buy	02/10/16	J		
43. Utilities Sel Sect SPDR Fund	A	Dividend	J	W	Buy	02/10/16	J		
44. (H) Morgan Stanley ROTH IRA									
45. Calamos Growth C	A	Dividend			Sold	06/01/16	J	A	
46. (H) Morgan Stanley ROTH IRA									
47. Legg Mason Cap Mgmt Opport C		None			Sold	06/01/16	J	A	
48.									
49.									
50.									
51.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Matt J. Whitworth**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544