

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kennelly, Matthew F.	2. Court or Organization Northern District of Illinois	3. Date of Report 4/20/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address United States District Court 219 South Dearborn Street Chicago, Illinois 60604	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Director	Lawyers Club of Chicago (bar organization)
2. Director	Richard Linn American Inn of Court (bar organization)
3. Director & Treasurer	Federal Bar Association, Chicago Chapter (bar organization)
4. Director	HFS Chicago Scholars (not for profit scholarship fund)
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2011

City of Evanston, Illinois

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED1. American Conference
Institute10/20/2011 -
10/21/2011

New York City, NY

speaker at seminar

transportation & lodging

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Union League Club of Chicago	Privilege holder (< dues than member; privilege of using facilities @ standard fees)	\$1,800
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Amer. Funds Growth Fund of America mut. fund	B	Dividend	M	T					
2. Amer. Funds Fundamental Investors mut. fund	C	Dividend	M	T					
3. Amer. Funds Investment Co. of America mut. fund	C	Dividend	M	T					
4. Amer. Funds Europacific Growth Fund mut. fund	C	Dividend	M	T					
5. Amer. Funds New Perspective mut. fund	B	Dividend	M	T					
6. Amer. Funds Smallcap World Fund mut. fund	A	Dividend	L	T					
7. MFS Municipal Bond Fund mut. fund	C	Dividend	L	T					
8. Amer. Funds Tax Exempt Bond Fund of Amer. mut. fund	D	Dividend	L	T					
9. Dreyfus Insured Deposit - money mkt. fund	A	Dividend	J	T					
10. Fidelity Cash Reserves - money mkt. fund	A	Dividend	M	T					
11. Fidelity Growth & Income mut. fund	A	Dividend	K	T					
12. JP Morgan Chase bank accounts	A	Interest	J	T					
13. JP Morgan Chase checking account		None	J	T					
14. U.S. Savings Bonds		None	J	T					
15. Amer. Funds AMCAP Fund mut. fund (IRA)	A	Dividend	L	T					
16. Amer. Funds Growth Fund of Amer. mut. fund (IRA)	A	Dividend	K	T					
17. Amer. Funds Investment Co. of Amer. mut. fund (IRA)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Amer. Funds Wash. Mut. Investors mut. fund (IRA)	B	Dividend	L	T	Buy (add'l)	12/08/11	K		
19. Amer. Funds Europacific Growth Fund mut. fund (IRA)	A	Dividend	K	T	Sold (part)	05/02/11	L	E	
20.					Sold (part)	12/08/11	L	C	
21. Amer. Funds Smallcap World Fund mut. fund (IRA)	A	Dividend	J	T	Sold (part)	05/02/11	M	E	
22. Amer. Funds Bond Fund of America mut. fund (IRA)	D	Dividend	N	T	Sold (part)	12/08/11	L	A	
23. Amer. Century Heritage Fund mut. fund (IRA)		None	M	T					
24. Frank Russell Real Estate Secs Fund mut. fund (IRA)	B	Dividend	L	T	Sold (part)	12/08/11	L	A	
25. Amer. Century Small Cap Grth mut. fund (IRA) (name change)	A	Dividend	L	T	Sold (part)	05/02/11	L	D	
26. Amer. Funds High Income Trust mut. fund (IRA)	C	Dividend	L	T					
27. Amer. Funds Capital World Fund bond fund (IRA)	C	Dividend	M	T					
28. Amer. Funds New World Fund (IRA)	A	Dividend	L	T	Buy	12/08/11	L		
29. Vanguard Index Funds REIT Fund (IRA)		None	L	T	Buy	12/08/11	L		
30. Credit Suisse Commod. Return Strategy Fund (IRA)		None	K	T	Buy	05/02/11	L		
31. Delaware Emerging Mkts mut. fund (IRA)		None	L	T	Buy	05/02/11	L		
32. Harbor Commod. Real Return Strategy Fund (IRA)	A	Dividend	K	T	Buy	05/02/11	L		
33. Oppenheimer Devel. Mkts. Fund (IRA)		None	L	T	Buy	05/02/11	L		
34. Dreyfus Insured Deposit money mkt fund (IRA)	A	Dividend	J	T					

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35. Northwestern Mutual Extra Ordinary Life ins. policy	D	Dividend	M	T					
36.									
37.									
38.									
39.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Gifts: Following my appointment in 1999, I was accorded "privilege holder" status at the Union League Club of Chicago. This is non-membership status that entitles the privilege holder to use club facilities at the standard rates for club members. The reported value consists of the approximate difference between my monthly dues and those charged to members.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Matthew F. Kennelly**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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