

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McKeown, Mary M.	2. Court or Organization Ninth Circuit Court of Appeals	3. Date of Report 07/18/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U. S. Court of Appeals 401 West A Street Suite 2000 San Diego CA 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	International Association of Women Judges
2.	Board of Visitors	Georgetown University Law Center
3.	Board of Directors	Federal Judges Association
4.	Board of Directors	Murie Center
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 19

Name of Person Reporting

McKeown, Mary M.

Date of Report

07/18/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Spg/Sum 13	Univ of San Diego Law School - Teaching	\$15,000.00
2. 4/2013	Florida State University College of Law	\$2,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	University of California San Diego - Salary
2. 2013	Self-employed Consultant
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Continuing Legal Education in Colorado, Inc.	January 2-4, 2013	Snowmass, Colorado	CLE IP Speaker	Transportation and Lodging
2. American Bar Association	January 21-23, 2013	Panama City, Panama	ROLI Training	Transportation, Lodging and Meals
3. Federal Judges Association	February 21-23, 2013	Dallas, TX	Meeting	Transportation, Lodging
4. Florida State University	April 3-5, 2013	Tallahassee, FL	Jurist in Residence	Transportation, Lodging and Meal
5. Association of Business Trial Lawyers	April 12, 2013	Del Mar, CA	Meeting	Lodging and Meals
6. Georgetown Board of Visitors	April 17-20, 2014	London, England	Board Meeting	Transportation, Lodging and Meals

FINANCIAL DISCLOSURE REPORT

Page 3 of 19

Name of Person Reporting

McKeown, Mary M.

Date of Report

07/18/2014

7.	Federal Judges Association	April 24-25, 2013	Washington, DC	Board Meeting	Transportation, Lodging and Meals
8.	American Bar Association	May 4-8, 2013	Bogota, Colombia	ROLI Field Visit	Transportation, Lodging and Meals
9.	The American Law Institute	May 19-21, 2013	Washington, DC	Council Meeting	Travel
10.	University of San Diego	July 15 - August 1, 2013	Paris, France	Teaching	Transportation, Lodging and Meals
11.	Federal Judges Association	September 17-18, 2013	Washington, DC	Meeting	Transportation, Lodging and Meals
12.	Georgetown University Law Center	September 25-27, 2013	Washington, DC	Board Meeting	Transportation
13.	Federal Judges Association	October 5-10, 2014	Yalta, Ukraine	International Meeting	Transportation, Lodging and Meals
14.	University of Washington	October 22, 2013	Seattle, WA	Lecture	Transportation
15.	Washington State Bar Association	November 8, 2013	Seattle, WA	Speaker, Antitrust Seminar	Transportation, Lodging and Meals
16.	University of Chicago	November 11-12, 2013	Chicago, IL	Visiting Jurist	Transportation, Lodging and Meals
17.	American Bar Association	December 2-3, 2013	Washington, DC	ROLI Training	Transportation, Lodging and Meals
18.	American Society of International Law	December 17-18, 2013	Washington, DC	Judicial Advisory Meeting	Transportation, Lodging and Meals
19.					
20.					
21.					
22.					

FINANCIAL DISCLOSURE REPORT

Page 4 of 19

Name of Person Reporting

McKeown, Mary M.

Date of Report

07/18/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	SUNTRUST MORTGAGE, INC./ CHASE HOME	RESIDENTIAL/RENTAL PROPERTY - SAN FRANCISCO CA	N
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 19

Name of Person Reporting

McKeown, Mary M.

Date of Report

07/18/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. BANK OF AMERICA #2	A	Interest	L	T					
2. BOEING	A	Dividend	J	T					
3. SCHWAB ACCT #4									
4. ---AIM VI TECH FUND		None	J	T					
5. ---ALGER LARGE CAP GROWTH		None	J	T					
6. ---AMER CENTURY VP INTL		None	J	T					
7. ---BARON GROWTH OPPORT FND		None	K	T					
8. ---SCHWAB S&P 500 INDEX FUND		None	K	T					
9. TULLY'S COFFEE		None	J	T					
10. TRUST #1									
11. ---FIDELITY BLUE CHIP	A	Dividend	L	T					
12. ---FIDELITY DISCOVER FUND	A	Dividend	K	T					
13. ---FIDELITY GROWTH & INC	A	Dividend	L	T					
14. 403b #1- FIDELITY INVESTMENTS									
15. ---UC SAVINGS FUNDS	A	Dividend	L	T					
16. ---FIDELITY CONTRA	A	Dividend	N	T					
17. ---FIDELITY EURO CAP APPREC	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 19

Name of Person Reporting

McKeown, Mary M.

Date of Report

07/18/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. ---FIDELITY SELECT TECH/PURITAN	A	Dividend	K	T					
19. --FIDELITY SELECT INDUS EQUIP		None	J	T					
20. --FIDELITY SELECT INDUSTRIALS		None	K	T					
21. --FIDELITY SMALL CAP DISCOVERY		None	K	T					
22. FIDELITY ANNUITY #2									
23. ---FIDELITY VIP CONTRA		None			Sold	03/01/13	J	A	
24. ---FIDELITY VIP EQUITY INCOME		None			Sold	03/01/13	J	A	
25. NORTHERN TRUST #1									
26. ---NORTHERN TRUST CHK	A	Interest	K	T					
27. NORTHERN TRUST #2									
28. ---NORTHERN TRUST MMF	A	Interest	K	T					
29. NORTHERN TRUST #3									
30. ---NORTHERN TRUST CHK	A	Interest	J	T					
31. NORTHERN TRUST #4									
32. ---NORTHERN TRUST CHK	A	Interest	K	T					
33. NORTHERN TRUST #5									
34. ---ABBOTT LAB (ABT)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 19

Name of Person Reporting

McKeown, Mary M.

Date of Report

07/18/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. ---ABBVIE (ABBY)	A	Dividend	J	T	Spinoff (from line 34)	01/07/13	J		
36. ---AMER EX (AXP)	A	Dividend	J	T					
37. ---AMER WTR WKS (AWK)	A	Dividend	J	T					
38. ---AMGEN INC (AMGN)	A	Dividend	J	T					
39. ---APPLE (APPL)	A	Dividend	J	T					
40. ---AUTODESK INC (ADSK)		None	J	T					
41. ---AUTOMATIC DATA PROC (ADP)	A	Dividend	J	T					
42. ---BHP BILLITON (BHP)	A	Dividend	J	T					
43. ---BRISTOL MEYERS SQUIBB CO COM (BMY)	A	Dividend	J	T					
44. ---CITRIX SYS (CTXS)			J	T					
45. ---CONOCOPHIL (COP)	A	Dividend	J	T					
46. ---COSTCO (COST)	A	Dividend	J	T					
47. ---COVIDIEN (COV)	A	Dividend	J	T					
48. ---CVS CAREMARK (CVS)	A	Dividend	J	T					
49. ---DANAHER CORP (DHR)	A	Dividend	J	T					
50. ---DOMINION (D)	A	Dividend	J	T					
51. ---DUPONT (DD)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 19

Name of Person Reporting

McKeown, Mary M.

Date of Report

07/18/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. ---EATON CORP COM (ETN)	A	Dividend	J	T					
53. ---EMC CORP (EMC)	A	Dividend	J	T					
54. ---EXPRESS SCRIPTS HLDG (ESRX)		None	J	T					
55. ---FRKLN RES (BEN)	A	Dividend	J	T					
56. ---GOOGLE (GOOG)		None	J	T					
57. ---GRAINGER (GWW)	A	Dividend	J	T					
58. ---HJ HEINZ (HNZ)					Open	06/10/13	J		
59. ---HOME DEPOT (HD)	A	Dividend	J	T					
60. ---INTEL CORP (INTC)	A	Dividend	J	T					
61. ---JOHNSON & JOHNSON (JNJ)	A	Dividend	J	T					
62. ---JOHNSON CTL INC (JCI)	A	Dividend	J	T					
63. ---MCDONALDS (MCD)	A	Dividend	J	T					
64. ---MALLINCKRODT PLC COMMON STOCK (MNK)			J	T	Spinoff (from line 47)	12/24/13	J		
65. ---MFB NORTH FDS CALIF INTER TAX EXPT (NCITX)	C	Dividend	L	T					
66.	A	Distribution							
67. ---MFB NORTH FDS MUN MM FD (NOMXX)	A	Dividend	L	T					
68. ---MFB NORTH FDS MUNI MM FD (NORXX)					Merged (with line 67)	01/01/13	J		WRONG SYMBOL - S/B NOMXX

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 19

Name of Person Reporting

McKeown, Mary M.

Date of Report

07/18/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

69. ---MFB NORTH FDS CA TAX EXPT (NCATX)	B	Dividend	L	T					
70.	B	Distribution							
71. ---MFB NORTH HI YIELD FXD INC (NHFIX)	D	Dividend	L	T					
72.	B	Distribution							
73. ---MFB NORTH STK INDEX (NOSIX)	A	Dividend	K	T					
74.	A	Distribution							
75. ---MFB NORTH MM GLOBAL RE (NMMGX)	A	Dividend	K	T					
76.	C	Distribution							
77. ---MFB NORTH INTL EQTY INDEX (NOINX)	B	Dividend	M	T					
78. ---MFC FLEXSHRS 5 YR (TDTF)	A	Dividend	J	T					
79.	A	Distribution							
80. ---MFC FLEXSHRS 3 YR (TDTT)	A	Distribution	J	T					
81. ---	A	Dividend							
82. ---MFC SPDR GOLD TR (GLD)					Sold	04/12/13	K	D	
83. ---MFC ISHARE TR CORE S&P (IJR)	A	Dividend	K	T					
84. ---MFC SECTOR SPDR BEN INT-HLTH (XLV)	A	Dividend	J	T					
85. ---MFC SLT SECTOR SPDR CONSUMER STAPLES (XLP)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 19

Name of Person Reporting

McKeown, Mary M.

Date of Report

07/18/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. ---MFC SLT SECTOR SPDR ENERGY (XLE)	A	Dividend	J	T					
87. ---MFC SLT SECTOR SPDR FINANCIAL (XLF)	A	Dividend	J	T					
88. ---MFC SLECT SECTOR SPDR TECH (XLK)	A	Dividend	J	T					
89. ---MFC FLEXSHARES MORNINGSTAR GLOBAL NAT RES FD (GUNR)	A	Dividend	J	T					
90. --MFC VANGUARD MSCI EMERGING MKTS (VWO)	B	Dividend	K	T					
91. --MFC SELECT SECTOR SPDR TR CNSMR DIS COM (XLY)	A	Dividend	J	T					
92. ---NATL OIL WELL VARCO (NOV)	A	Dividend	J	T					
93. ---NIKE INC CL B (NKE)	A	Dividend	J	T					
94. ---NOBLE ENERGY INC ((NBL)	A	Dividend	J	T					
95. ---NORFOLK SOUTH (NSC)	A	Dividend	J	T					
96. ---NUCOR CORP (NUE)	A	Dividend	J	T					
97. ---OMNICOM GRP (OMC)	A	Dividend	J	T					
98. ---ORACLE (ORCL)	A	Dividend	J	T					
99. ---PEPSICO INC (PEP)	A	Dividend	J	T					
100. ---PRECISION CASTPARTS (PCP)	A	Dividend	J	T					
101. ---PRINCIPAL FINL GRP (PFG)	A	Dividend	J	T					
102. ---PROCTOR & GAMBLE (PG)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 19

Name of Person Reporting

McKeown, Mary M.

Date of Report

07/18/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. --QUALCOMM (QCOM)	A	Dividend	J	T					
104. ---SCHLUMBERGER LTD (SLB)	A	Dividend	J	T					
105. ---SLT SECTOR SPDR CONSMR DISCRET (XLY)					Merged (with line 91)	01/01/13	J		Duplicate - LINE 91
106. ---SLT SECTOR SPDR TR INDL (XLI)	A	Dividend	J	T					
107. ---SIMON PROP GRP (SPG)	A	Dividend	J	T					
108. ---SPECTRA ENERGY (SE)	A	Dividend	J	T					
109. ---SPDR S&P MIDCAP (MDY)	A	Dividend	J	T					
110. ---UNITED TECH (UTX)	A	Dividend	J	T					
111. --VF CORP COM (VFC)	A	Dividend	J	T					
112. ---WHOLE FOODS MKT (WFM)	A	Dividend	J	T					
113. NORTHERN TRUST #6									
114. ---ABBOTT LAB (ABT)	A	Dividend	J	T					
115. ---ABBVIE INC COM (ABBV)	A	Dividend	J	T	Spinoff (from line 114)	01/07/13	J		
116. ---AMER EX (AXP)	A	Dividend	J	T					
117. ---AMER WTR WKS (AWK)	A	Dividend	J	T					
118. ---AMGEN INC (AMGN)	A	Dividend	J	T					
119. ---APPLE INC (AAPL)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 19

Name of Person Reporting

McKeown, Mary M.

Date of Report

07/18/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. ---AUTODESK INC (ADSK)		None	J	T					
121. ---AUTOMATIC DATA PROC (ADP)	A	Dividend	J	T					
122. ---BHP BILLITON (BHP)	A	Dividend	J	T					
123. --BRISTOL MEYES SQUIBB CO COM (BMY)	A	Dividend	J	T					
124. ---CITRIX SYS (CTXS)		None	J	T					
125. ---CONOCOPHILLIPS (COP)	A	Dividend	J	T					
126. ---COSTCO WHOLESALE (COST)	A	Dividend	J	T					
127. ---COVIDIEN (COV)	A	Dividend	J	T					
128. ---CVS CAREMARK (CVS)	A	Dividend	J	T					
129. ---DANAHER CORP (DHR)	A	Dividend	K	T					
130. ---DOMINION RES (D)	A	Dividend	J	T					
131. ---DUPONT (DD)	A	Dividend	J	T					
132. --EATON CORP COM (ETN)	A	Dividend	J	T					
133. ---EMC CORP (EMC)	A	Dividend	J	T					
134. ---EXPRESS SCRIPTS HLDG (ESRX)			J	T					
135. ---FRNKLN RES (BEN)	A	Dividend	J	T					
136. ---GOOGLE (GOOG)			K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 19

Name of Person Reporting

McKeown, Mary M.

Date of Report

07/18/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. ---GRAINGER W W (GWV)	A	Dividend	J	T					
138. ---H J HEINZ (HNZ)	A	Dividend			Sold	06/10/13	J	C	
139. ---HOME DEPOT (HD)	A	Dividend	K	T					
140. ---ITEL CORP (INTC)	A	Dividend	J	T					
141. ---JOHNSON & JOHNSON (JNJ)	A	Dividend	J	T					
142. ---JOHNSON CTL INC (JCI)	A	Dividend	J	T					
143. ---MALLINCKDRODT PLC COMMON STOCK (MNK)		None	J	T	Spinoff (from line 127)	12/24/13	J		
144. ---MCDONALDS (MCD)	A	Dividend	J	T					
145. ---MFB NORTH FDS FX INC (NOFIX)	D	Dividend	M	T					
146. ---MFB NORTH FDS FX INC (NOFIX)	A	Distribution							
147. ---MFB NORTH FDS GLOBAL RE INDEX (NGREX)	B	Dividend	L	T	Buy (add'l)	6/14/13	K		
148. ---MFB NORTH HI YLD FXD INC (NHFIX)	D	Dividend	M	T					
149. ---MFB NORTH HI YLD FXD INC (NHFIX)	A	Distribution							
150. ---MFB NORTH MONEY MKT FND (NORXX)	A	Dividend	M	T					
151. ---MFC FLEXSHARES 3 YR (TDTF)	A	Dividend	K	T					
152. ---MFC FLEXSHARES 5 YR (TDTT)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 19

Name of Person Reporting

McKeown, Mary M.

Date of Report

07/18/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153. ---MFC FLEXSHARES TR MRNGSTR GLBL (GUNR) UPSTREAM NAT RES INDEX (GUNR)		None	L	T	Buy	06/14/13	L		
154. ---MFC ISHARES CORE S&P (IJR)	A	Dividend	L	T					
155. ---MFC ISHARES RUSSELL 1000 (IWD)	A	Dividend	K	T					
156. ---MFC SCTR SPDR BEN INT-HLTH CARE (XLV)	A	Dividend	J	T					
157. ---MFC SLCT SCTR SPDR CONSUMER STAPLES (XLP)	A	Dividend	J	T					
158. ---MFC SELECT SECT SPDR ENERGY (XLE)	A	Dividend	K	T					
159. ---MFC SELECT SECT SPDR FINL (XLF)	A	Dividend	K	T					
160. ---MFC SPDR GOLD TR (GLD)					Sold	04/12/13	L	D	
161. ---MFC SELECT SECTOR SPDR TECH (XLK)	A	Dividend	K	T					
162. ---MFC SELECT SECTOR CNSMR DISC COM (XLY)	A	Dividend	J	T					
163. ---MFC VANGUARD EMERG (VWO)	C	Dividend	M	T					
164. ---MFC VANGUARD ITL EQ (VEU)	D	Dividend	M	T					
165. ---MFO CREDIT SUISSE COMMODITY RTN (CRSOX)	B	Dividend			Sold	01/01/13	J	A	
166. ---MFO PIMCO FDS PAC (PCRIX)	A	Dividend			Sold	06/14/13	K	A	
167. ---NATL OIL WELL VARCO (NOV)	A	Dividend	J	T					
168. ---NIKE INC CL B (NKE)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 19

Name of Person Reporting

McKeown, Mary M.

Date of Report

07/18/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
169. ---NOBLE ENERGY (NBL)	A	Dividend	J	T					
170. ---NORFOLK SOUTH (NSC)	A	Dividend	J	T					
171. ---NUCOR CORP (NUE)	A	Dividend	J	T					
172. ---OMNICOM GRP (OMC)	A	Dividend	J	T					
173. ---ORACLE CORP (ORCL)	A	Dividend	J	T					
174. ---PEPSI CO INC (PEP)	A	Dividend	J	T					
175. ---PRECISION CASTPARTS (PCP)	A	Dividend	J	T					
176. ---PRINCIPAL FIN GRP (PFG)	A	Dividend	J	T					
177. ---PROCTOR & GAMBLE (PG)	A	Dividend	J	T					
178. ---QUALCOMM INC COM (QCOM)	A	Dividend	J	T					
179. ---SCHLUMBERGER LTD (SLB)	A	Dividend	J	T					
180. ---SELECT SECT SPDR INDL (XLI)	A	Dividend	J	T					
181. ---SIMON PROP GRP (SPG)	A	Dividend	J	T					
182. ---SPDR S&P MIDCAP (MDY)	A	Dividend	L	T					
183. ---SPECTRA ENERGY (SE)	A	Dividend	J	T					
184. ---UNITED TECH CORP (UTX)	A	Dividend	J	T					
185. ---VF CORP (VFC)	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 19

Name of Person Reporting

McKeown, Mary M.

Date of Report

07/18/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
186. ---WHOLE FOODS MKT (WFMI)	A	Dividend	J	T					
187. NORTHERN TRUST #7									
188. ---TEMPLETON FOREIGN CL A (TEMFx)	A	Dividend	L	T	Buy (add'l)	12/24/13	J		
189. ---TEMPLETON FOREIGN CL A (TEMFx)	B	Distribution			Sold (part)	01/28/13	J	A	
190. NORTHERN TRUST #8									
191. ---AMERICAN BALANCED CL A (ABALX)	A	Dividend	L	T	Buy (add'l)	3/18/13	J		
192.					Buy (add'l)	06/13/13	J		
193.					Buy (add'l)	6/14/13	J		
194.					Buy (add'l)	09/13/13	J		
195.					Buy (add'l)	12/20/13	J		
196.					Sold (part)	01/28/13	J	A	
197. NORTHERN TRUST #9	A	Dividend	J	T					
198. NORTHERN TRUST #10	A	Dividend	K	T					
199. CONDO(50%) SAN FRANCISCO CA	E	Rent	O	W					
200.									
201.									
202.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 17 of 19

Name of Person Reporting

McKeown, Mary M.

Date of Report

07/18/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
203.									
204.									
205.									
206.									
207.									
208.									
209.									
210.									
211.									
212.									
213.									
214.									
215.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 19

Name of Person Reporting

McKeown, Mary M.

Date of Report

07/18/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 19 of 19

Name of Person Reporting

McKeown, Mary M.

Date of Report

07/18/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mary M. McKeown**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544