

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ROSS, ALLYNE R.	2. Court or Organization U.S.D.C., EDNY	3. Date of Report 07/31/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. DISTRICT COURT, EDNY 225 CADMAN PLAZA EAST ROOM 915 SOUTH BROOKLYN, NY 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

Trustee of WisdomTree Trust

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. WFG-WPX Partners LLC	E	Distribution	M	T					113
2. Trust #1 (H)									1
3. Northwestern Mutual Whole Life Insurance	B	Interest	L	T					2
4. IRA #1 (H)									3
5. Cisco Systems	B	Dividend	K	T					4
6. General Electric	B	Dividend	K	T					6
7. American Express	A	Dividend			Sold	01/12/16	K		7
8. Citigroup	A	Dividend	J	T					8
9. Intel	A	Dividend	J	T	Sold (part)	02/10/16	K		9
10.					Buy (add'l)	11/29/16	J		
11. Powershares ETF Final PGFD Portfolio	B	Dividend			Sold	11/15/16	K		11
12. Enterprise Prods Partners	B	Dividend	K	T					12
13. BlackRock Global Energy & Resources Trust	B	Dividend	K	T					14
14. BlackRock Health Sciences Trust	B	Dividend	K	T					15
15. Clearbridge Energy MLP	B	Dividend	J	T					16
16. Fiduciary/Claymore MLP	B	Dividend	K	T					17
17. Adobe Systems (ADBE)		None	K	T	Buy	12/22/16	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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18. American Water Works Co (AWK)	A	Dividend	K	T	Buy	11/29/16	K		
19. Enterprise Prod PRTNRS LP		None	K	T	Buy	04/05/16	J		
20. Tekla Healthcare	B	Dividend	K	T					18
21. Dow Chemical	A	Dividend	K	T					19
22. Conoco Phillips		None			Sold	01/11/16	J		20
23. Cohen & Steers Infrastructure Fund	A	Dividend	J	T	Sold (part)	11/18/16	J		21
24. Kayne Anderson Energy Dev. Co.	B	Dividend	K	T					22
25. Kayne Anderson MLP Inv. Co.	C	Dividend	K	T					23
26. Bank of America	A	Dividend	K	T					24
27. Bank of America preferred	B	Dividend	K	T					25
28. Bristol Myers Squibb	A	Dividend	K	T	Buy	09/05/16	K		
29. Colgate Palmolive		None			Sold	01/20/16	K		28
30. CVS	A	Dividend	K	T	Buy	12/21/16	K		
31. Softbank		None			Sold	02/12/16	K		34
32. Target Corporation (TGT)	A	Dividend	J	T	Buy	05/12/16	J		
33. Wells Fargo & Co New (WFC)	A	Dividend	K	T	Buy	10/05/16	K		
34. NXP Semiconductors NV (NXPI)		None	J	T	Buy	08/10/16	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Sunoco Logistics Part (SXL)	B	Dividend	K	T	Buy	12/13/16	K		
36. Verizon Communications	A	Dividend			Buy	02/25/16	K		
37.					Sold	09/19/16	K		
38. Pepsico	B	Dividend	K	T					38
39. Pfizer Inc.	B	Dividend	K	T					39
40. NY CMNTY CAPITAL Trust	B	Dividend	K	T					42
41. SPDR S&P Biotech	A	Dividend	J	T					43
42. Apple	A	Dividend	K	T					46
43. Ares Commerical Real Estate	B	Dividend	K	T					47
44. Blackstone Group	B	Dividend	K	T	Buy (add'l)	03/03/16	J		48
45.					Buy (add'l)	07/20/16	J		
46. Costco	A	Dividend	K	T	Sold (part)	07/18/16	J		49
47. Eli Lilly	A	Dividend			Sold	03/15/16	K		50
48. Ford Motor		None			Sold	01/20/16	K		51
49. Netflix Inc (NFLX)		None	J	T	Buy	04/22/16	J		
50.					Sold (part)	10/18/16	J		
51. Blackstone/GSO Strat Credit FD	C	Dividend	K	T	Buy	03/24/16	K		

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52.					Buy (add'l)	04/04/16	J		
53. IBM	A	Dividend	K	T					52
54. KKR & Co.		None			Sold	02/11/16	J		53
55. Medtronic		None			Sold	02/10/16	K		54
56. Ship Finance Intl		None			Sold	01/13/16	J		55
57. Starwood Property Trust		None			Sold	01/14/16	K		56
58. Walmart Stores		None			Sold	07/25/16	K		57
59. Barclays Bank	B	Dividend	K	T	Buy (add'l)	08/16/16	J		58
60. HSBC Holdings	B	Dividend	K	T	Buy (add'l)	01/29/16	J		59
61. Keycorp	B	Dividend	K	T					60
62. Eaton Vance Tax Mgd	C	Dividend	K	T					62
63. ishares Nasdaq Biotech ETF	A	Dividend	J	T					63
64. Gilead Science		None			Buy	06/22/16	K		
65.					Sold	08/08/16	K		
66. Paypal HLDGS INC Com		None			Buy	03/02/16	K		
67.					Sold	09/28/16	K		
68. IRA #2 (H)									65

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69. Morgan Stanley Bank Account	A	Interest	J	T					69
70. Dominion Resources	A	Dividend	J	T					66
71. Keycorp Preferred (Y)									70
72. Kayne Anderson Energy	A	Dividend	J	T					73
73. Cohen & Steers Infrastructure Fund	A	Dividend	J	T					74
74. Power Shares	A	Dividend	J	T					75
75. Bristol Myers Squibb	A	Dividend	J	T					76
76. Caterpillar	A	Dividend	J	T					77
77. Freeport McMoran Copper & Gold		None	J	T					79
78. Merck	A	Dividend	J	T					80
79. Clorox	A	Dividend	J	T					81
80. Colgate Palmolive	A	Dividend	J	T					82
81. Teva Pharmaceuticals	A	Dividend	J	T					83
82. General Electric	A	Dividend	J	T					84
83. Kinder Morgan	A	Dividend	J	T					85
84. Tekla Healthcare	A	Dividend	J	T					86
85. IRA #3 (H)									87

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86. -Spartan Small Cap Index Fund	D	Dividend	O	T					88
87. -Spartan Extended Market Index Fund	D	Dividend	O	T					89
88. IRA #4 (H)									90
89. Citibank Accounts (cash)	A	Interest	M	T					92
90. WisdomTree International Basic Materials ETF		None	K	T					91
91. Brokerage #1 (H)									
92. Vanguard Ltd-Term Tax-Exempt Fund	D	Dividend	N	T	Sold (part)	07/12/16	K	A	94
93. Vanguard Emerging Markets Index Fund	D	Dividend	N	T					100
94. Vanguard Mid-Cap Index Fund	E	Dividend	P1	T					101
95. Vanguard High-Yield Tax-Exempt Fund	D	Dividend	N	T					102
96. Vanguard European Stock Index Fund	D	Dividend	N	T					103
97. Vanguard Global Real Estate Fund	C	Dividend	L	T					104
98. Wisdom Tree U.S. Small Cap. Div. Growth ETF	C	Dividend	M	T					105
99. Wisdom Tree Global ex-US Quality Dividend	B	Dividend	L	T					106
100. iShares Core S&P Small Cap ETF (X)	A	Dividend	M	T					
101. General American Investors (Y)									108
102. Dodge & Cox Global Stock Fund (Y)									109

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103. Brokerage #2 (H)									
104. WisdomTree Commodity Country ETF		None			Sold	08/25/16	L	A	114
105. Wisdom Tree Managed Futures ETF		None	L	T					115
106. Brokerage #3 (H)									
107. Adobe Systems Inc (OTC)		None	K	T	Buy	02/10/16	J		
108.					Buy (add'l)	04/29/16	J		
109.					Buy (add'l)	06/22/16	J		
110.					Buy (add'l)	07/19/16	J		
111.					Buy (add'l)	07/22/16	J		
112.					Buy (add'l)	07/29/16	J		
113.					Buy (add'l)	11/17/16	J		
114. Aetna Inc (AET)	A	Dividend	K	T	Buy	01/08/16	J		
115.					Buy (add'l)	02/11/16	J		
116.					Buy (add'l)	08/08/16	J		
117.					Buy (add'l)	11/15/16	J		
118. Air Liquide ADR France ADR (AIQUY)	A	Dividend	J	T	Buy	08/10/16	J		
119.					Buy (add'l)	08/11/16	J		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	09/01/16	J		
121. Air Prod & Chemical INC (APD)	A	Dividend	J	T	Buy	12/02/16	J		
122. Alexion Pharmaceuticals INC (ALXN)		None	K	T	Sold (part)	07/29/16	J		159
123. Alphabet Inc CL C (GOOG)		None	K	T	Buy (add'l)	04/05/16	J		346
124.					Sold (part)	07/29/16	J	A	
125. Amazon.com INC (AMZN)		None	K	T	Buy (add'l)	11/11/16	J		404
126. American International Group Com New (AIG)	A	Dividend	K	T	Buy (add'l)	03/11/16	J		222
127.					Buy (add'l)	11/10/16	J		
128. American Tower Corp. REIT (AMT)	A	Dividend	K	T	Sold (part)	04/13/16	J	A	124
129.					Sold (part)	04/19/16	J	A	
130.					Sold (part)	07/29/16	J	A	
131. Anheuser Busch INBEV Spon ADR (BUD)	A	Dividend	J	T	Sold (part)	12/19/16	J		228
132. Anthem Inc. (ANTM)	A	Dividend	K	T	Buy (add'l)	05/11/16	J		226
133.					Buy (add'l)	12/07/16	J		
134. Arcelormittal SA NY Registered Foreign Stock (MT)		None	J	T	Sold (part)	01/12/16	J	A	446
135.					Buy (add'l)	04/14/16	J		
136.					Buy (add'l)	07/12/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	08/02/16	J		
138.					Sold (part)	08/10/16	J	A	
139. Australia & New Zealand Banking Group	A	Dividend	K	T	Buy (add'l)	03/09/16	J		484
140.					Buy (add'l)	04/13/16	J		
141.					Buy (add'l)	05/05/16	J		
142.					Buy (add'l)	08/02/16	J		
143. AXA	A	Dividend	J	T					458
144. Banco Santander Chile Spon ADR (BSAC)	A	Dividend	J	T	Buy (add'l)	07/19/16	J		
145.					Buy (add'l)	08/02/16	J		
146. Bayer (Y)									555
147. Biomearin Pharmaceutical Inc		None	J	T	Sold (part)	12/12/16	J		153
148. BNP Paribas Sa ADR (BNPQY)	A	Dividend	K	T	Buy (add'l)	01/11/16	J		571
149.					Buy (add'l)	07/28/16	J		
150.					Buy (add'l)	08/02/16	J		
151. Bridgestone Corp LTD ADR Japan (BRDCY)		None	J	T	Buy	09/29/16	J		
152.					Buy (add'l)	11/17/16	J		
153.					Buy (add'l)	11/29/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

07/31/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. British American Tobacco (BTI)	A	Dividend	J	T	Buy (add'l)	01/11/16	J		580
155.					Buy (add'l)	03/17/16	J		
156.					Buy (add'l)	04/01/16	J		
157.					Sold (part)	08/31/16	J	A	
158.					Sold (part)	09/21/16	J	A	
159. Celgene Corp. (CELG)		None	K	T	Buy (add'l)	01/11/16	J		166
160.					Buy (add'l)	01/20/16	J		
161.					Buy (add'l)	01/28/16	J		
162.					Buy (add'l)	06/27/16	J		
163. Centrica PLC New 2004 Spon ADR (CPYYY)	A	Dividend	J	T	Sold (part)	12/19/16	J		582
164. Chevron Corp (CVX)	A	Dividend	K	T	Buy (add'l)	01/11/16	J		332
165.					Buy (add'l)	08/08/16	J		
166.					Buy (add'l)	08/29/16	J		
167.					Buy (add'l)	11/11/16	J		
168. Chubb Ltd CHF (formerly Ace Ltd.) (CB)	A	Dividend	K	T	Sold (part)	07/29/16	J	A	428
169. Citigroup Inc (C)	A	Dividend	K	T	Buy (add'l)	01/11/16	J		193
170.					Buy (add'l)	11/10/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. CK Hutchison Hlds LTD (CKHUY)	A	Dividend	J	T	Buy (add'l)	01/12/16	J		604
172.					Buy (add'l)	01/19/16	J		
173.					Sold (part)	12/19/16	J		
174. CME Group Inc (CME)	A	Dividend	J	T	Buy (add'l)	11/10/16	J		216
175.					Sold (part)	11/17/16	J	B	
176. CMS Energy Corp (CMS)	A	Dividend	J	T	Buy (add'l)	11/10/16	J		607
177. CNOOC Ltd. Spon ADR (CEO)	A	Dividend	J	T	Buy (add'l)	01/11/16	J		608
178. Coca Cola Co Com (KO)	A	Dividend	J	T	Buy (add'l)	01/27/16	J		583
179.					Buy (add'l)	11/10/16	J		
180. Comcast Corp New Cl A (CMCSA)	A	Dividend	K	T	Buy (add'l)	08/08/16	J		347
181.					Buy (add'l)	11/10/16	J		
182. Concho Resources Inc (CXO)		None	J	T	Buy	12/02/16	J		
183. ConocoPhillips (COP)	A	Dividend	J	T	Buy (add'l)	02/01/16	J		585
184.					Buy (add'l)	11/10/16	J		
185. Costco Wholesale Corp (COST)	A	Dividend	K	T					215
186. CVS Health Corp (CVS)	A	Dividend	K	T	Buy (add'l)	01/11/16	J		613
187.					Buy (add'l)	01/20/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	07/22/16	J		
189.					Buy (add'l)	07/29/16	J		
190.					Sold (part)	12/08/16	J		
191.					Sold (part)	12/15/16	J		
192. DBS Group Holdings LTD SPON ADR (DBSDY)		None	J	T	Buy	11/30/16	J		
193.					Buy (add'l)	12/06/16	J		
194. Diageo PLC New GB SPON ADR (DEO)	A	Dividend	J	T	Buy (add'l)	08/29/16	J		434, 589
195.					Buy (add'l)	11/10/16	J		
196. Dollar General Corp New	A	Dividend	K	T	Buy (add'l)	01/11/16	J		436
197.					Sold (part)	02/01/16	J	A	
198.					Sold (part)	07/28/16	J	A	
199.					Sold (part)	08/08/16	J	A	
200.					Buy (add'l)	11/10/16	J		
201. Dominion Resources Inc VA (D)	A	Dividend	K	T	Buy (add'l)	01/11/16	J		590
202.					Sold (part)	07/28/16	J	A	
203.					Buy (add'l)	11/10/16	J		
204. Dow Chemical (DOW)	A	Dividend	K	T	Buy (add'l)	11/10/16	J		213

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

ROSS, ALLYNE R.

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07/31/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	11/17/16	J		
206.					Buy (add'l)	12/09/16	J		
207. Du Pont De Nemours (DD)	A	Dividend	K	T	Buy (add'l)	11/10/16	J		592
208.					Sold (part)	11/17/16	J	B	
209.					Sold (part)	12/09/16	J	B	
210. Enel SPA ADR (ENLAY)	A	Dividend	J	T	Buy (add'l)	02/02/16	J		653
211. Equinix Inc. REIT (EQIX)	A	Dividend	K	T	Sold (part)	04/05/16	J	A	253
212.					Sold (part)	04/13/16	J	A	
213.					Sold (part)	07/29/16	J	A	
214.					Buy (add'l)	11/11/16	J		
215. European Aeronaut Un-sponsored (EADSY)	A	Dividend	J	T	Buy (add'l)	02/02/16	J		276
216.					Sold (part)	04/26/16	J	A	
217.					Sold (part)	06/02/16	J	A	
218.					Buy (add'l)	08/08/16	J		
219. Exelon Corp	A	Dividend	J	T	Buy	01/11/16	J		
220.					Buy (add'l)	11/10/16	J		
221. Exxon Mobil	A	Dividend	K	T	Buy (add'l)	01/11/16	K		594

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F ~\$50,001 - \$100,000 J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Sold (part)	07/28/16	J	A	
223.					Sold (part)	10/17/16	J	A	
224.					Buy (add'l)	11/10/16	J		
225. Facebook Inc CL A (FB)		None	K	T	Buy (add'l)	01/19/16	J		129
226. Fastenal Co (FAST)		None	J	T	Buy	12/02/16	J		
227. Gap Inc (GPS)	A	Dividend	J	T	Buy (add'l)	01/11/16	J		464
228.					Buy (add'l)	01/27/16	J		
229.					Sold (part)	08/29/16	J	A	
230.					Sold (part)	12/08/16	J		
231. General Electric Co (GE)	A	Dividend	J	T	Sold (part)	04/05/16	J	A	388
232.					Sold (part)	07/28/16	J	A	
233.					Buy (add'l)	11/10/16	J		
234. Goldman Sachs Group Inc (GS)	A	Dividend	J	T	Buy (add'l)	01/11/16	J		351
235.					Buy (add'l)	11/10/16	J		
236. Hess Corp (HES)	A	Dividend	K	T	Buy	02/11/16	J		
237.					Buy (add'l)	11/10/16	J		
238.					Buy (add'l)	11/17/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = \$15,001 - \$50,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$500,001 - \$1,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 Q = \$250,001 - \$500,000	R = \$500,001 - \$1,000,000 S = \$1,000,001 - \$5,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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ROSS, ALLYNE R.

Date of Report

07/31/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. Home Depot Inc (HD)	A	Dividend	K	T	Sold (part)	03/01/16	J	A	339
240.					Sold (part)	03/11/16	J	A	
241.					Sold (part)	07/28/16	J	A	
242.					Sold (part)	08/08/16	J	A	
243.					Sold (part)	09/23/16	J	A	
244.					Buy (add'l)	11/10/16	J		
245. Honda Motor Co ADR Japan ADR	A	Dividend	J	T	Buy	02/29/16	J		
246.					Buy (add'l)	03/14/16	J		
247.					Buy (add'l)	03/29/16	J		
248.					Buy (add'l)	07/12/16	J		
249. Honeywell International Inc (HON)	A	Dividend	K	T	Sold (part)	07/28/16	J	A	416
250.					Buy (add'l)	11/10/16	J		
251. Infineon Technologies ADR (IFNNY)	A	Dividend	J	T	Sold (part)	08/31/16	J	A	516
252.					Sold (part)	11/28/16	J	A	
253. ING Group N V NL Spon ADR (ING)	A	Dividend	J	T	Buy (add'l)	05/13/16	J		623
254.					Buy (add'l)	08/02/16	J		
255. Intel Corp (INTC)	A	Dividend	J	T	Buy (add'l)	01/27/16	J		269

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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ROSS, ALLYNE R.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Buy (add'l)	03/11/16	J		
257.					Sold (part)	07/28/16	J	A	
258.					Sold (part)	08/08/16	K	C	
259.					Buy (add'l)	11/10/16	J		
260. Intercontinental Hotels Group PLC New Spon ADR (IHG)	A	Dividend	J	T	Buy (add'l)	02/25/16	J		627
261.					Sold (part)	09/28/16	J	A	
262. Intesa San Paolo Spon ADR (ISNPY)	A	Dividend	J	T	Sold (part)	06/27/16	J		140
263.					Buy (add'l)	08/01/16	J		
264.					Buy (add'l)	11/17/16	J		
265. International Paper Co Common Stock (IP)	A	Dividend	J	T	Buy	02/01/16	J		
266.					Sold (part)	04/05/16	J	A	
267.					Buy (add'l)	11/10/16	J		
268. Invesco Ltd (IVZ)	A	Dividend	J	T	Buy	05/20/16	J		
269.					Buy (add'l)	11/10/16	J		
270. ITV PLC ADR (ITVPY)		None	J	T	Buy	11/23/16	J		
271. Johnson & Johnson	A	Dividend	J	T	Buy (add'l)	01/08/16	J		424
272.					Sold (part)	05/19/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273.					Sold (part)	11/14/16	J	B	
274.					Sold (part)	11/17/16	J	B	
275.					Sold (part)	12/08/16	J		
276. JPMorgan Chase & Co (JPM)	B	Dividend	L	T	Sold (part)	02/01/16	J	A	342
277.					Sold (part)	07/28/16	J	A	
278.					Buy (add'l)	11/10/16	J		
279. KBC Group NV ADR (KBCSY)	A	Dividend	J	T	Buy	01/11/16	J		
280.					Buy (add'l)	03/29/16	J		
281.					Sold (part)	09/16/16	J		
282. Keycorp New (KEY)	A	Dividend	J	T	Buy	09/26/16	J		
283.					Buy (add'l)	11/10/16	J		
284. Kroger Company (KR)	A	Dividend	K	T	Buy (add'l)	08/08/16	J		177
285.					Buy (add'l)	08/29/16	J		
286.					Buy (add'l)	11/10/16	J		
287. Lafargeholcim LTD Unsponsored ADR	A	Dividend	J	T	Buy	04/08/16	J		
288.					Sold (part)	06/24/16	J		
289. Lloyds Banking Group PLC Spon ADR	A	Dividend	J	T	Sold (part)	03/10/16	J		520

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Sold (part)	06/27/16	J		
291.					Sold (part)	10/26/16	J		
292.					Sold (part)	12/19/16	J		
293. Lockheed Martin Corp (LMT)	A	Dividend	K	T	Sold (part)	07/28/16	J		172
294.					Buy (add'l)	11/10/16	J		
295. Marathon Oil Corp (MRO)	A	Dividend	J	T	Buy	01/11/16	J		
296. Marathon Petroleum Co (MPC)	A	Dividend	J	T	Buy (add'l)	11/10/16	J		169
297. Marsh & McLennan Cos Inc (MMC)	A	Dividend	J	T	Buy	08/08/16	J		
298.					Buy (add'l)	11/10/16	J		
299. Merck & Co Inc New Com (MRK)	A	Dividend	K	T	Sold (part)	07/28/16	J	A	634
300.					Sold (part)	12/08/16	J		
301. MetLife Inc (MET)	A	Dividend	J	T	Buy (add'l)	01/11/16	J		256
302.					Buy (add'l)	11/10/16	J		
303. Microsoft Corp (MSFT)	A	Dividend	K	T	Sold (part)	07/28/16	J	A	271
304.					Buy (add'l)	11/10/16	J		
305. Mitsubishi UFJ Financial Group Inc (MTU)	A	Dividend	K	T	Buy (add'l)	02/02/16	J		418
306.					Buy (add'l)	08/02/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Sold (part)	10/26/16	J		
308.					Sold (part)	11/15/16	J	A	
309. Mobileye N.V. Amstelveen EUR (MBLY)		None	J	T	Buy (add'l)	01/14/16	J		526
310.					Buy (add'l)	01/20/16	J		
311.					Buy (add'l)	01/27/16	J		
312.					Sold (part)	03/11/16	J		
313.					Sold (part)	06/30/16	J	A	
314.					Sold (part)	07/08/16	J	A	
315.					Sold (part)	07/29/16	J	A	
316.					Sold (part)	12/12/16	J		
317. Mondelez Intl Inc (MDLZ)	A	Dividend	J	T	Buy (add'l)	11/10/16	J		421
318. Monster Beverage Corp New Com (MNST)		None	K	T	Buy (add'l)	04/22/16	J		537
319. Morgan Stanley (MS)	A	Dividend	K	T	Buy (add'l)	02/01/16	K		179
320.					Buy (add'l)	02/11/16	J		
321.					Sold (part)	07/28/16	J		
322.					Buy (add'l)	11/10/16	J		
323. Motorola Solutions Inc (MSI)	A	Dividend	J	T	Buy (add'l)	11/10/16	J		268

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. National Grid PLC New Spon ADR (NGG)	A	Dividend	J	T	Sold (part)	04/22/16	J	A	487
325.					Sold (part)	07/15/16	J	A	
326.					Sold (part)	11/11/16	J		
327.					Sold (part)	12/19/16	J		
328. Nextera Energy Inc Com (NEE)	A	Dividend	K	T	Buy (add'l)	11/10/16	J		635
329. Nippon Telegraph & Tel Corp Spon ADR	A	Dividend	J	T	Sold (part)	04/13/16	J	A	636
330.					Sold (part)	05/17/16	J	A	
331.					Sold (part)	08/01/16	J	A	
332. Nordea Bank Swed ADR (NRBAY)	A	Dividend	J	T	Buy (add'l)	02/01/16	J		549
333.					Buy (add'l)	02/03/16	J		
334.					Buy (add'l)	04/08/16	J		
335.					Buy (add'l)	07/07/16	J		
336. Norsk Hydro A.S. New Norway Spon ADR (NHYDY)	A	Dividend	J	T	Sold (part)	03/14/16	J	A	197
337. Northrup Grumman Corp (NOC)	A	Dividend	K	T	Sold (part)	05/19/16	J	B	406
338.					Buy (add'l)	11/10/16	J		
339. Occidental Petroleum CRP Common Stock (OXY)	A	Dividend	K	T	Buy (add'l)	02/01/16	K		259
340.					Buy (add'l)	05/19/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341.					Buy (add'l)	11/10/16	J		
342. Oracle Corp. (ORCL)	A	Dividend	K	T	Buy (add'l)	01/11/16	J		494
343.					Buy (add'l)	01/27/16	J		
344.					Buy (add'l)	02/11/16	J		
345.					Buy (add'l)	03/01/16	J		
346.					Buy (add'l)	08/08/16	J		
347.					Buy (add'l)	11/10/16	J		
348.					Buy (add'l)	11/17/16	J		
349. Paypal Holdings Inc (PYPL)		None	K	T	Buy	08/01/16	J		
350.					Buy (add'l)	09/01/16	J		
351.					Buy (add'l)	10/13/16	J		
352.					Buy (add'l)	11/01/16	J		
353.					Buy (add'l)	11/07/16	J		
354.					Buy (add'l)	11/10/16	J		
355.					Buy (add'l)	11/14/16	J		
356.					Buy (add'l)	12/06/16	J		
357. Pfizer Inc Common Stock (PFE)	B	Dividend	K	T	Buy (add'l)	01/11/16	J		408

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358.					Buy (add'l)	03/01/16	J		
359.					Sold (part)	07/28/16	J	A	
360.					Sold (part)	12/08/16	K		
361.									
362.									
363. Philip Morris Intl Inc (PM)	A	Dividend	J	T	Buy (add'l)	11/10/16	J		664
364. Praxair Inc (PX)	A	Dividend	J	T	Buy (add'l)	01/11/16	J		665
365.					Buy (add'l)	11/10/16	J		
366. Priceline Group Inc New (PCLN)		None	K	T	Sold (part)	07/08/16	J	A	301
367.					Buy (add'l)	07/27/16	J		
368.					Sold (part)	07/29/16	J	A	
369. Procter & Gamble Co (PG)	A	Dividend	J	T	Buy (add'l)	01/11/16	J		280
370.					Sold (part)	04/13/16	J	A	
371.					Sold (part)	06/20/16	J	A	
372.					Sold (part)	06/24/16	J	A	
373.					Buy (add'l)	11/10/16	J		
374. Prudential Financial Inc (PRU)	A	Dividend	K	T	Sold (part)	07/28/16	J	A	671

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Buy (add'l)	11/10/16	J		
376. Prudential PLC ADR United Kingdom (PUK)	A	Dividend	J	T	Buy (add'l)	08/02/16	J		674
377.					Sold (part)	12/08/16	J	A	
378.					Sold (part)	12/19/16	J		
379. Public Service Enterprise Group Inc Common Stock (PEG)	A	Dividend	J	T	Buy (add'l)	02/01/16	J		675
380.					Buy (add'l)	11/10/16	J		
381. Qualcomm Inc Common Stock (QCOM)	A	Dividend	K	T	Buy (add'l)	01/11/16	J		679
382.					Sold (part)	07/28/16	J	A	
383.					Buy (add'l)	11/10/16	J		
384. Quest Diagnostics (DGX)	A	Dividend	J	T	Buy (add'l)	01/11/16	J		497
385.					Buy (add'l)	11/10/16	J		
386. Raytheon Co New (RTN)	A	Dividend	K	T	Sold (part)	05/19/16	J	A	182
387.					Buy (add'l)	11/10/16	J		
388.					Sold (part)	11/17/16	J	A	
389. Reynolds American Inc	A	Dividend	J	T	Sold (part)	09/23/16	J	A	507
390.					Buy (add'l)	11/10/16	J		
391. Rio Tinto PLC Spon ADR	A	Dividend	J	T	Buy (add'l)	02/08/16	J		689

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Sold (part)	05/04/16	J	A	
393.					Buy (add'l)	08/02/16	J		
394. Roche Holding LTD Spons ADR Switzerland ADR (RHHBY)	A	Dividend	K	T	Sold (part)	01/28/16	J	A	677
395.					Sold (part)	04/21/16	J	A	
396.					Sold (part)	05/04/16	J		
397.					Sold (part)	12/19/16	J		
398. Royal Dutch Shell PLC C1 A	B	Dividend	K	T	Buy (add'l)	01/26/16	J		698
399.					Buy (add'l)	02/25/16	J		
400.					Buy (add'l)	02/26/16	J		
401.					Buy (add'l)	04/01/16	J		
402.					Buy (add'l)	08/02/16	J		
403.					Sold (part)	09/02/16	J	A	
404.					Sold (part)	09/28/16	J	A	
405.					Sold (part)	10/26/16	J	A	
406. Ryanair Holdings PLC Spon ADR (RYAAY)		None	J	T	Sold (part)	04/14/16	J	A	199
407.					Buy (add'l)	11/10/16	J		
408. Salesforce.com Inc (CRM)		None	K	T	Sold (part)	02/22/16	J	A	704

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

07/31/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Sold (part)	05/11/16	J	A	
410.					Sold (part)	07/29/16	J	A	
411.					Buy (add'l)	09/01/16	J		
412.					Sold (part)	10/05/16	J	A	
413.					Sold (part)	10/06/16	J	A	
414.					Buy (add'l)	11/23/16	J		
415. Sanofi Spon ADR		None	J	T	Buy	11/02/16	J		
416.					Buy (add'l)	11/09/16	J		
417.					Buy (add'l)	11/16/16	J		
418.					Buy (add'l)	11/22/16	J		
419. Schlumberger LTD Netherlands Antilles Foreign Stock	A	Dividend	J	T	Buy	02/01/16	J		363
420.					Buy (add'l)	11/10/16	J		
421. Schneider Electric SE Unsponsored ADR (SBGSY)	A	Dividend	J	T	Buy	04/25/16	J		
422.					Buy (add'l)	05/13/16	J		
423.					Buy (add'l)	08/04/16	J		
424. Schwab Charles Corp New (SCHW)	A	Dividend	K	T	Buy (add'l)	01/20/16	J		319
425.					Sold (part)	09/02/16	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

07/31/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. ServiceNow Inc (NOW)		None	K	T	Sold (part)	10/19/16	J	A	274
427.					Buy (add'l)	12/02/16	J		
428. Shire PLC Spon ADR (SHPG)	A	Dividend	J	T	Buy (add'l)	01/11/16	J		315
429.					Buy (add'l)	01/12/16	J		
430.					Sold (part)	12/19/16	J		
431. Siemens A G Spon ADR (SIEGY)		None	K	T	Buy	05/13/16	J		
432.					Buy (add'l)	05/17/16	J		
433.					Buy (add'l)	05/27/16	J		
434.					Buy (add'l)	06/02/16	J		
435.					Buy (add'l)	07/07/16	J		
436.					Buy (add'l)	08/02/16	J		
437.					Buy (add'l)	08/04/16	J		
438. Sony Corp. ADR New Japan ADR (SNE)	A	Dividend	J	T	Sold (part)	01/21/16	J	A	312
439.					Sold (part)	08/31/16	J	A	
440. Splunk Inc.(SPLK)		None	K	T	Buy (add'l)	12/02/16	J		321
441. Starbucks Corp (SBUX)	A	Dividend	K	T	Buy (add'l)	11/07/16	J		263
442. Sumitomo Mitsui Financial Spon ADR (SMFG)	A	Dividend	J	T	Sold (part)	01/19/16	J	A	714

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
443.					Sold (part)	01/29/16	J		
444.					Buy (add'l)	04/13/16	J		
445. Sumitomo Mitsui Trust Holdings Spon ADR (SUTNY)	A	Dividend	J	T	Buy	04/25/16	J		
446.					Buy (add'l)	05/31/16	J		
447.					Buy (add'l)	08/02/16	J		
448. Suncor Energy Inc New CAD (SU)	A	Dividend	K	T	Buy	05/27/16	J		
449.					Buy (add'l)	05/31/16	J		
450.					Buy (add'l)	08/08/16	J		
451.					Buy (add'l)	10/21/16	J		
452.					Buy (add'l)	11/10/16	J		
453. SunTrust Banks Inc (STI)	A	Dividend	K	T	Sold (part)	07/28/16	J	A	328
454.					Buy (add'l)	11/10/16	J		
455. Technip SA New Spon ADR (TKPPY)		None	J	T	Buy	08/18/16	J		
456.					Buy (add'l)	09/01/16	J		
457.					Sold (part)	12/01/16	J	A	
458. Telecom Italia SPA New Restg (TI)		None	J	T	Sold (part)	04/26/16	J		116
459.					Buy (add'l)	08/01/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460.					Sold (part)	12/19/16	J		
461. Total S.A. France Spon ADR (TOT)	B	Dividend	K	T	Buy (add'l)	02/01/16	J		716
462.					Buy (add'l)	02/02/16	K		
463.					Buy (add'l)	05/19/16	J		
464.					Buy (add'l)	11/10/16	J		
465. Transcanada Corp (Holding Co) (TRP)	A	Dividend	J	T	Buy	04/26/16	J		
466.					Buy (add'l)	05/24/16	J		
467.					Buy (add'l)	11/10/16	J		
468. Travelers Cos. Inc/The (TRV)	A	Dividend	J	T	Sold (part)	01/27/16	J	B	391
469. Unilever NV NY SHS New (UN)	A	Dividend	J	T	Buy (add'l)	07/20/16	J		725
470.					Buy (add'l)	07/24/16	J		
471.					Buy (add'l)	08/08/16	J		
472.					Buy (add'l)	11/10/16	J		
473. Union Pacific Corp (UNP)	A	Dividend	J	T	Buy (add'l)	01/11/16	J		121
474.					Buy (add'l)	11/10/16	J		
475. United Parcel Service Inc Cl (UPS)	A	Dividend	J	T	Buy (add'l)	02/01/16	J		361
476.					Buy (add'l)	11/10/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. United Health Group Inc (UNH)	A	Dividend	K	T	Sold (part)	01/08/16	J	A	508
478.					Buy (add'l)	11/10/16	J		
479. US Bancorp Del (New) (USB)	A	Dividend	K	T	Buy (add'l)	04/05/16	J		367
480.					Buy (add'l)	11/10/16	J		
481. Verizon Communications Inc (VZ)	A	Dividend	K	T	Buy (add'l)	01/11/16	J		728
482.					Sold (part)	03/11/16	J	A	
483.					Buy (add'l)	11/10/16	J		
484. Visa Inc, CL A (V)	A	Dividend	K	T	Sold (part)	07/29/16	J	A	368
485. Vodafone Group PLC Spon ADR (VOD) (Y)									411
486. Wells Fargo & Co New (WFC)	A	Dividend	K	T	Buy (add'l)	02/12/16	J		414
487.					Buy (add'l)	11/10/16	J		
488. Weyerhaeuser Co. (WY)	A	Dividend	J	T	Buy (add'l)	11/10/16	J		742
489. Wolseley PLC Spon ADR (WOSYY)	A	Dividend	J	T	Buy (add'l)	02/02/16	J		200
490.					Buy (add'l)	02/24/16	J		
491.					Sold (part)	04/08/16	J		
492.					Sold (part)	10/06/16	J		
493. Zurich Ins Group Ltd Spon ADR (ZURVY)	A	Dividend	J	T	Buy	01/11/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494.					Buy (add'l)	01/12/16	J		
495.					Buy (add'l)	04/12/16	J		
496.					Buy (add'l)	07/14/16	J		
497.					Buy (add'l)	07/28/16	J		
498.					Buy (add'l)	08/02/16	J		
499. 3M Co. (MMM)	A	Dividend	J	T	Buy (add'l)	11/10/16	J		743
500. iShares Russell 1000 Growth (IWF)	A	Dividend	L	T	Sold (part)	01/11/16	K		470
501.					Buy (add'l)	12/12/16	L		
502. iShares MSCI EAFE Value ETF (EFV)	A	Dividend	L	T	Sold (part)	01/11/16	L		473
503.					Sold	02/02/16	K		
504.					Buy	12/19/16	L		
505. Vanguard Value ETF (VTV)	A	Dividend	L	T	Sold (part)	01/11/16	M		515
506.					Sold	02/01/16	L		
507.					Buy	12/08/16	L		
508. JP Morgan International Value SMA Fund (JTIVX)	D	Interest	M	T	Sold (part)	01/11/16	J		746
509.					Sold (part)	01/19/16	J		
510.					Sold (part)	02/02/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
511.					Sold (part)	10/12/16	J		
512.					Sold (part)	11/15/16	J		
513. Tyco Intl		None			Sold	02/12/16	J		119, 120
514. Cerner		None			Sold (part)	03/08/16	J		123
515.					Sold (part)	04/14/16	J		
516.					Sold (part)	05/16/16	J		
517.					Sold (part)	07/19/16	J	A	
518.					Sold (part)	11/03/16	J		
519.					Sold (part)	11/14/16	J		
520.					Sold (part)	11/23/16	J		
521.					Sold	12/02/16	J		
522. Industrial & Commercial Bank China ADR		None			Sold	02/02/16	J		135
523. ARM Holdings PLC	A	Dividend			Buy	01/28/16	K		
524.					Sold (part)	04/19/16	J		
525.					Sold (part)	07/20/16	J	D	
526.					Sold	08/02/16	J	B	
527. Astrazeneca PLC Spon ADR	A	Dividend			Sold (part)	02/12/16	J		143

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
528.					Sold (part)	02/16/16	J		
529.					Sold (part)	03/17/16	J		
530.					Sold	12/08/16	K		
531. AthenaHealth		None			Sold (part)	11/16/16	J		152
532.					Sold (part)	12/07/16	J		
533.					Sold	12/12/16	J		
534. Allergan		None			Sold	12/12/16	K		189
535. Chipotle		None			Sold (part)	10/25/16	J		217
536.					Sold (part)	12/06/16	J		
537.		None			Sold	12/12/16	J		
538. BMW (Y)									239
539. Mead Johnson	A	Dividend			Sold (part)	01/13/16	J		246
540.					Sold (part)	01/11/16	J		
541.					Sold	01/21/16	J		
542. Novartis AF Spon ADR (Y)									286
543. Seven & I Holdings Co LTD ADR	A	Dividend			Sold	02/24/16	J	A	320
544. Societe Generale France		None			Sold	01/22/16	J		354

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
545. Toyota	A	Dividend			Sold (part)	03/01/16	J		356
546.					Sold (part)	03/14/16	J		
547.					Sold (part)	03/29/16	J		
548.					Sold (part)	04/07/16	J		
549.					Sold	05/31/16	J		
550. Magellan Mainstream Partners LP	B	Distribution	K	T					395
551. Trishield Special Situations Fund	G	Distribution	O	T					425
552. Abbott Labs	A	Dividend			Sold	02/16/16	J		426
553. Abbvie	A	Dividend			Sold	05/11/16	J	D	427
554. Glaxo SmithKline PLC ADR	A	Dividend			Sold (part)	04/14/16	J	A	467
555.					Sold (part)	09/21/16	J	A	
556.					Sold (part)	10/18/16	J		
557.					Sold (part)	11/09/16	J		
558.					Sold (part)	11/16/16	J		
559.					Sold	11/29/16	J		
560. Itau Unibanco	A	Dividend			Sold	03/29/16	J	B	475
561. American Express	A	Dividend			Sold	10/18/16	J		481

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. Nissan Motor LTD Spons ADR Japan ADR		None			Sold (part)	02/17/16	J		491
563.					Sold	02/29/16	J		
564. Barclays PLC (X)	A	Dividend			Sold (part)	01/19/16	J		
565.					Sold (part)	02/24/16	J		
566.					Sold	06/24/16	J		
567. Koninkluke Philips NV Spon ADR	A	Dividend			Sold (part)	05/12/16	J	A	518
568.					Sold	05/13/16	J		
569. Nokia Corp Spons ADR Finland ADR		None			Sold (part)	02/05/16	J		545
570.					Sold (part)	04/01/16	J		
571.					Sold	05/23/16	J		
572. Teva Pharmaceuticals	A	Dividend			Sold	12/19/16	J		551
573. BG Group	A	Dividend			Sold (part)	01/26/16	J	A	562
574.					Sold	03/02/16	J	A	
575. Under Armour Inc Cl A		None			Sold	12/12/16	J	A	565
576. BHP Billiton Limited Spon ADR	A	Dividend			Sold	03/23/16	J	A	569
577. Bristol Myers Squibb	A	Dividend			Sold (part)	01/08/16	J	B	573
578.					Sold (part)	05/25/16	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579.					Sold	06/27/16	J	A	
580. Illumina Inc.		None			Sold (part)	09/12/16	J	A	619
581.					Sold (part)	09/26/16	J	A	
582.					Sold (part)	10/26/16	J		
583.					Sold (part)	11/10/16	J		
584.					Sold (part)	12/07/16	J		
585.					Sold	12/12/16	J		
586. IBM		None			Sold	01/27/16	J		628
587. McDonalds Corp	A	Dividend			Sold	08/29/16	J	B	632
588. Nestle A Sponsored ADR Repstg Reg SHS Switzerland ADR		None			Sold	03/03/16	J		640
589. Aviva PLC Spon ADR	A	Dividend			Sold	06/14/16	J		645
590. Eversource Energy Com	A	Dividend			Sold	04/05/16	J	B	662
591. Repsol (Y)									686
592. Royal KPN NV Spon ADR	A	Dividend			Sold (part)	02/17/16	J	A	703
593.					Sold (part)	04/13/16	J	A	
594.					Sold	04/26/16	J	A	
595. United technologies Corp (UTX)	A	Dividend			Sold	06/27/16	J	A	726

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596. LinkedIn Corp.		None			Sold	02/10/16	J		738
597. Acadia Healthcare Co Inc		None			Buy	01/26/16	J		
598.					Buy (add'l)	02/11/16	J		
599.					Buy (add'l)	02/16/16	J		
600.					Buy (add'l)	03/16/16	J		
601.					Buy (add'l)	04/05/16	J		
602.					Buy (add'l)	06/27/16	J		
603.					Sold	12/12/16	J		
604. Adidas AG Spon ADR	A	Dividend			Buy	01/19/16	J		
605.					Sold (part)	08/10/16	J	A	
606.					Sold (part)	08/12/16	J	A	
607.					Sold	08/31/16	J	B	
608. Bayerische Motoren Werke A G (X)		None			Sold (part)	01/19/16	J		
609.					Sold (part)	01/21/16	J		
610.					Sold	02/09/16	J		
611. Becton Dickinson & Co Common Stock	A	Dividend			Buy	08/29/16	J		
612.					Sold	12/08/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613. Credit Suisse Group Spon ADR		None			Buy	01/11/16	J		
614.					Sold (part)	04/01/16	J		
615.					Sold	05/06/16	J		
616. E On SE Spon ADR	A	Dividend			Buy	01/26/16	J		
617.					Sold (part)	08/17/16	J		
618.					Sold	08/18/16	J		
619. RSA INS Group PLC Spon ADR	A	Dividend			Buy	01/22/16	J		
620.					Sold (part)	04/12/16	J	A	
621.					Sold	06/02/16	J	A	
622. IShares S & P Small Cap 600 Index Fund (Y)									745
623. Brokerage Account #4 (H)									
624. Amerigas Partners		None			Sold	08/17/16	J		370
625. Enbridge Energy Partners		None			Sold	08/26/16	J		371
626. Energy Transfer Partners		None			Sold	08/26/16	K	D	372
627. Energy Transfer Equity		None			Sold	03/28/16	K	D	373
628. Enlink Midstream Ream Partners		None			Sold	08/26/16	K		376
629. Enterprise Products Partners		None			Sold	08/26/16	L		378

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630. EQT Midstream Partners		None			Sold	08/26/16	K	B	380
631. Genesis Energy LP		None			Sold	08/26/16	K		383
632. Plains All American Pipeline LP		None			Sold	08/26/16	K		385
633. Shell Midstream Partners LP		None			Sold	08/26/16	J		387
634. Oneok Partners LP		None			Sold	08/26/16	K		397
635. Williams Partners LP		None			Sold	08/26/16	M	A	401
636. MPLX LP Com Unit Rep Stg (X)		None			Sold	08/26/16	K	D	
637. Tallgrass Energy Partners LP Com Unit (X)		None			Sold (part)	05/26/16	J		
638.					Sold	08/26/16	J	A	
639. Oppenheimer Developing Markets Fund	A	Dividend	M	U					760
640. Brokerage #5 (H)									
641. New York Thruway Bond mat 4/16	B	Interest			Sold	04/01/16	L		761
642. New York Thruway Bond mat. 1/22	B	Interest	L	T					762
643. Hempstead NY Bond	B	Interest			Sold	11/07/16	L	A	763
644. NY State Dormitory Authority Bond mat. 7/19	C	Interest	L	T					764
645. NY State Dormitory Bond mat. 2/20	C	Interest	L	T					765
646. Nassau County Bond mat. 11/16	B	Interest			Sold	05/31/16	L		766

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647. NY State Environmental Bond	B	Interest	L	T					767
648. Bay Shore NY Union Free School District	B	Interest	L	T					768
649. Erie County NY Bond	C	Interest	M	T					769
650. Buffalo NY Bond matures 9/16	B	Interest			Sold	09/01/16	K		771
651. NYC Muni WTR in Authority Bond (No.1)	C	Interest	L	T					772, 775
652. Massachusetts Bond	C	Interest	L	T					773
653. Metropolitan Transit Authority Bond	C	Interest	L	T					774
654. Dormitory Auth of NY Bond mat. 10/21	C	Interest	K	T					776
655. Triborough Bridge & Tunnel Authority Bonds (2)	C	Interest	L	T					778
656. 34th Street Partnership Bond	C	Interest	L	T					779
657. Tampa Florida Bond	C	Interest	L	T					780
658. Millbrook Golf & Tennis	C	Interest			Sold	11/07/16	L	C	781
659. NYC Transit Finance Authority Future	C	Interest	L	T					782
660. Indiana Bond	C	Interest			Sold	11/07/16	L	C	783
661. Shenandoah NY Bond	B	Interest	K	T					784
662. NYC Transit Bond mat. 7/28		None	L	T					785
663. Binghamton NY Board	B	Interest			Sold	02/16/16	L		786

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

664. Chicago O'Hare Airport Bond	B	Interest	K	T					787
665. NYS Dorm Auth REV North Shore	B	Interest			Buy	04/16/16	K		
666.					Sold	11/07/16	K		
667. White Plains N Y SR A	B	Interest			Buy	02/16/16	L		
668.					Sold	11/07/16	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In 2016, assets were listed by account for ease of reporting, and names were corrected or expanded as needed. To assist in this transition, corresponding line references to the 2015 report were included in Column D(5).

Part VII, line 3 does not include underlying investment options.

Part VII, lines 309 and 599, Column C of the 2015 report should have been blank.

Part VII, line 404, Column C of the 2015 report was inadvertently omitted.

Part VII, line 688, Column D(1) of the 2015 report should have reflected a full sale instead of a partial sale.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **ALLYNE R. ROSS**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

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