

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rosen, Gerald E.	2. Court or Organization U.S. District Court - Eastern District of Michigan	3. Date of Report 04/30/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2012 to 12/31/2012
7. Chambers or Office Address Room 730 U.S. Courthouse 231 West Lafayette Detroit, MI 48226		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Board of Directors	Focus:Hope, Detroit, Michigan
2. Board of Advisors	George Washington University Law School, Washington, D.C.
3. Trustee	Trust No. 1
4. Trustee	Trust No. 2
5. Custodian	Custodial Account No. 1
6. Professor	Wayne State University, Detroit, Michigan

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. 1995	The Rutter Group - Publication Agreement dated November 1995, amended October 2012. Royalties to be shared in an amount to be determined upon publication.
2. 1996	The West Group - Publication Agreement dated January 1996. Royalties to be shared by authors in an amount to be determined upon publication.
3. 2005	The Rutter Group - Publication Agreement dated November 2005. Royalties to be shared by authors in an amount to be determined upon publication.
4. 2007	The Michigan Baseball Ventures, LLC - See Part VIII. Additional Information

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Thomson Reuters (West Group) - Book Royalties	\$34,101.78
2. 2012	Wayne State University - Teaching	\$17,688.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	The Rutter Group	6/11/12-6/13/12	Seattle, WA	Panelist	Meals, Lodging, Transportation
2.	The Rutter Group	6/13/12-6/15/12	San Francisco & Los Angeles, CA	Panelist	Meals, Lodging, Transportation
3.	The Rutter Group	6/21/12	Detroit, MI	Panelist	Meals
4.	Federal Bar Association	9/19/12-9/21/12	San Diego, CA	Seminar Speaker	Meals, Transportation, Lodging
5.	Federal Circuit Bar Association	09/25/12	Ann Arbor, MI	Seminar Speaker	Transportation
6.	American Bar Association	10/24/12-10/26/12	Chicago, IL	Panelist	Meals, Lodging, Transportation

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7.	The Rutter Group	11/7/12-11/9/12	Atlanta, GA	Panelist	Meals, Lodging, Transportation
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. PNC Bank Accounts - Detroit	A	Interest	J	T					
2. IRA No. 1	B	Int./Div.	M	T					
3. -Marshall & Isley Bank - Cash Equivalent									
4. -Barclays Bank PLC Ipath S&P 500 VIX MidTerm Futures ETN					Buy (add'l)	03/05/12	J		
5.					Buy (add'l)	11/14/12	J		
6.					Sold	12/18/12	J		
7. -Ishares Inc MSCI Pacific Exempt Japan Index Fund					Sold	05/22/12	J		
8. -Ishares IBOXX \$Investment Grade Corp Bond Fund					Buy (add'l)	11/29/12	J		
9. -Ishares Trust Barclays 1-3 Year Credit Bond Fund					Sold	11/29/12	J	A	
10. -Ishares Trust Barclays 1-3 Year Treasury Bond Fund					Sold	03/26/12	J	A	
11. -ProShares Trust ProShares Short S&P 500					Sold	01/19/12	J		
12.					Buy	12/18/12	J		
13. -ProShares Trust ProShares Short Russell 2000					Buy (add'l)	05/22/12	J		
14. -SPDR Gold Trust Gold Shares					Sold (part)	03/14/12	J	A	
15.					Buy (add'l)	05/30/12	J		
16.					Buy (add'l)	09/06/12	J		
17. -Select Sector SPDR Trust SBI Utilities					Sold (part)	08/07/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold	09/27/12	J	B	
19.	-PIMCO ETF TR Enhanced Short Maturity Strategy Fund				Sold (part)	01/10/12	J		
20.					Sold (part)	03/05/12	J		
21.					Sold (part)	05/30/12	J	A	
22.					Sold	07/13/12	J	A	
23.	-PowerShares DB US Dollar Index Trust Bullish Fund				Buy (add'l)	03/14/12	J		
24.					Sold (part)	08/07/12	J	A	
25.					Sold	09/06/12	J	A	
26.	-PowerShares ETF Trust II Build America Bond Port				Sold	03/14/12	J		
27.	-iShares Trust IBOXX SHigh Yield Corp Bond Fd				Sold	09/20/12	J	A	
28.	-First Trust Exch Traded Fd II Technology Alphadex Fund Annu				Sold	05/04/12	J	A	
29.	-Spdr Series Trust S&P Oil & Gas Exploration & Prod ETF				Sold	03/05/12	J	B	
30.	-Healthcare Select Sector SPDR ETF				Buy	01/10/12	J		
31.	-Wisdom Tree Trust Emerging Markets Equity Income Fund				Buy	01/19/12	J		
32.					Sold	10/16/12	J		
33.	-JP Morgan Chase & Co Alerian MLP Index ETN				Buy	03/05/12	J		
34.					Sold	07/02/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. -iShares MSCI Hong Kong Index Fund					Buy	03/14/12	J		
36.					Buy (add'l)	08/07/12	J		
37. -iShares Trust Barclays Intermediate Credit Bond Fund					Buy	03/26/12	J		
38.					Buy (add'l)	05/22/12	J		
39.					Buy (add'l)	11/29/12	J		
40. -Consumer Staples Select Sector SPDR					Buy	05/04/12	J		
41.					Sold	09/27/12	J	A	
42. -iShares Trust S&P US PFD Stock Index Fund					Buy	07/02/12	J		
43. -Energy Select Sector SPDR					Buy	07/13/12	J		
44.					Buy (add'l)	08/07/12	J		
45. -iShares Trust S&P 100 Index Fund					Buy	09/27/12	J		
46.					Sold	11/29/12	J		
47. -Powershares Emerging Markets Sovereign Debt ETF					Buy	09/27/12	J		
48.					Buy (add'l)	11/29/12	J		
49. -iShares Trust FTSE China 25 Index Fund					Buy	10/16/12	J		
50. -Industrial Select Sector SPDR					Buy (add'l)	11/29/12	J		
51. IRA No. 2	C	Int./Div.	M	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -Marshall & Isley Bank - Cash Equivalent									
53. -Barclays Bank PLC IPath S&P 500 Vix MidTerm Futures ETN					Buy (add'l)	03/05/12	J		
54.					Buy (add'l)	11/14/12	J		
55.					Sold	12/18/12	J		
56. -iShares Inc MSCI Pacific Exempt Japan Index Fund					Sold	05/22/12	J		
57. -iShares iBoxx \$Investment Grade Corp Bond Fund					Buy (add'l)	11/29/12	J		
58. -iShares Trust Barclays 1-3 Year Credit Bond Fund					Sold	11/29/12	K	A	
59. -iShares Trust Barclays 1-3 Year Treasury Bond Fund					Sold	03/26/12	J	A	
60. -ProShares Trust ProShares Short S&P 500					Sold	01/19/12	J		
61.					Buy	12/18/12	J		
62. -ProShares Trust ProShares Short Russell 2000					Buy (add'l)	05/22/12	J		
63. -SPDR Gold Trust Gold Shares					Sold (part)	03/14/12	J	A	
64.					Buy (add'l)	05/30/12	J		
65.					Buy (add'l)	09/06/12	J		
66. -Select Sector SPDR Trust SBI Utilities					Sold (part)	08/07/12	J	B	
67.					Sold	09/27/12	J	B	
68. -Pimco ETF TR Enhanced Short Maturity Strategy Fund					Sold (part)	01/10/12	J		

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69.					Sold (part)	03/05/12	J		
70.					Sold (part)	05/30/12	J	A	
71.					Sold	07/13/12	J	A	
72. -PowerShares DB US Dollar Index Trust Bullish Fund					Buy (add'l)	03/14/12	J		
73.					Sold (part)	08/07/12	J	A	
74.					Sold	09/06/12	J	A	
75. -PowerShares ETF Trust II Build America Bond Port					Sold	03/14/12	J		
76. -iShares Trust iBoxx \$High Yield Corp Bond Fund					Sold	09/20/12	J	A	
77. -First Trust Exch Traded Fd II Technology Alphadex Fund Annu					Sold	05/04/12	J	A	
78. -SPDR Series Trust S&P Oil & Gas Exploration & Prod ETF					Sold	03/05/12	J	B	
79. -JPMorgan Chase & Co Alerian MLP Index ETN					Buy	03/05/12	J		
80.					Sold	07/02/12	J		
81. -Health Care Select Sector SPDR					Buy	01/10/12	J		
82. - Wisdom Tree Trust Emerging Markets Equity Income Fund					Buy	01/19/12	J		
83.					Sold	10/16/12	J		
84. -iShares MSCI Hong Kong Index Fund					Buy	03/14/12	J		
85.					Buy (add'l)	08/07/12	J		

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86. -iShares Trust Barclays Intermediate Credit Bond Fund					Buy	03/26/12	J		
87.					Buy (add'l)	05/22/12	J		
88.					Buy (add'l)	11/29/12	J		
89. - Cosnumner Staples Select Sector SPDR					Buy	05/04/12	J		
90.					Sold	09/27/12	J	A	
91. -iShares Trust S&P US Prefereed Stock Index Fund					Buy	07/02/12	J		
92. -Energy Select Sector SPDR					Buy	07/13/12	J		
93.					Buy (add'l)	08/07/12	J		
94. -iShares Trust S&P 100 Index Fund					Buy	09/27/12	J		
95.					Sold	11/29/12	J		
96. -Powershares Emerging Markets Sovereign Debt ETF					Buy	09/27/12	J		
97.					Buy (add'l)	11/29/12	J		
98. -iShares Trust FTSE China 25 Index Fund					Buy	10/16/12	J		
99. -Industrial Select Sector SPDR					Buy	11/29/12	K		
100. Roth IRA No. 1	A	Interest	J	T					
101. -Marshall & Isley Bank Deposit Program									
102. Roth IRA No. 2	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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103. -Marshall & Isley Bank - Cash Equivalent									
104. Brokerage Account No. 1(H)									
105. -Marshall & Isley Bank - Cash Equivalent(X)	A	Interest	J	T					
106. -Raytheon	A	Dividend	J	T					
107. 529 Plan	A	Dividend	J	T					
108. -American Funds Capital World Growth & Inc 529C					Sold (part)	01/03/12	J	A	
109.					Sold (part)	12/21/12	J	A	
110. Trust No. 1	B	Int./Div.	L	T					
111. -Haslett MI Public Schools					Sold	05/01/12	K		
112. -Genesee City MI Bldg.					Sold	05/01/12	K	A	
113. -Pembina Pipeline Corp					Sold	05/02/12	K	D	
114. -Pace Oil & Gas LTD					Sold	05/02/12	J		
115. -Marshall & Isley Bank - Cash Equivalent									
116. Trust No. 2	A	Int./Div.	J	T					
117. -Atlantic Power Corp New					Sold	05/02/12	J		
118. -Marshall & Isley Bank - Cash Equivalent									
119. Michigan Baseball Ventures, LLC Partners		None	K	V					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Outside earned income shown in Part III A was computed in accordance with the guidance in Section 3(b) of the Regulations of the Judicial Conference of the United States Under Title VI of the Ethics Reform Act of 1989 Concerning Outside Earned Income, Honoraria and Outside Employment.

Section 3(b) states the following:

(b) "Outside earned income" means all wages, salaries, commissions, professional fees, and payments and compensation of any kind for services rendered or to be rendered by the covered senior employee, less the ordinary and necessary expenses paid or incurred in producing the income, provided, however, that the following shall not constitute earned income.

2. Part I. Positions

a.) Line 5 - Custodial Account #1 has no reportable assets.

2. Part III. Agreements

a.) Line 4 - To own interests in various minor league baseball franchises; net profits/losses are allocated with accordance with members' shares

3. Part VII. Investments and Trusts

a.) Line 113 - Provident Energy LTD was aquired by Pembina Pipeline Corp on April 2, 2012, at which time shareholders of Provident Energy LTD received shares of Pembina Pipeline Corp. based on the number of shares owned in Provident Energy LTD.

b.) Line 139 - Michigan Baseball Ventures, LLC - Value used is Tax Basis reported on Schedule K-1.

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Name of Person Reporting

Rosen, Gerald E.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Gerald E. Rosen**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544