

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Russell, Thomas B.	2. Court or Organization United States District Court -	3. Date of Report 09/23/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 501 Broadway, Federal Building Paducah, KY 42001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner	First Investors of Paducah (Investment Club) See additional information
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Checking Account - Paducah Bank	A	Interest	J	T					
2. Checking Account - Regions Bank	A	Interest	J	T					
3. IRA, UBS(See Sec. VIII) (H)	A	Dividend	L	T					
4. -AmerFDS Cap. World Gr. Inc.									
5. -American Mutual Funds									
6. -Amer. Funds Investments Co. of America									
7. -Amer. Funds, Washington Mutual Investors									
8. IRA, UBS (See Sec VIII) (H)	A	Dividend	K	T					
9. -AmerFDS Capital World Growth									
10. -Amer. Funds, Investment Co. of America									
11. Savings Acct. Regions Bank	A	Interest	L	T					
12. Common Stock, Regions Bank	A	Dividend	J	T					
13. IRA -Regions Bank (See Sec. VIII) (H)	A	Div/Int	N	T					
14. -U.S. Treas. SEC Strip									
15. -American Europacific GRTH-F2									
16. -Pimco Total Return (Mutual Fund)									
17. -Pimco Commodity Realreturn (Mutual Fund)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Templeton Global Bond Fund									
19. -Fannie Mae DTD									
20. -Federal Home Loan Bank									
21. -American Century Equity Income - Mutual Fund									
22. -Investco Developing Markets FD CL									
23. -Pioneer Fundamental Value Fund									
24. First Investors of Paducah (See Sec. VIII) (H)	A	Dividend	L	T					
25. -US Banc (common)									
26. -IBM (common)									
27. -Abbott Lab (common)									
28. -Cisco Sys (common)									
29. -Intel (common)									
30. -Schlumberge (common)									
31. -Simon P (common)									
32. -Anadarko (common)					Sold	03/14/13	J	A	
33. -Loews (common)									
34. -Fastenal (common)									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -Microsoft (common)									
36. -Coke (common)									
37. -Johnson (common)									
38. -Minnesota (3M) (common)									
39. -Proctor (common)									
40. -CSI (common)									
41. -Exon Mobil (common)									
42. -Oracle (common)									
43. -Walgreen (common)									
44. -B.P. PLC (common)									
45. -Apple (common)									
46. -Ishares (IWM)(common)									
47. -SPDR SDY									
48. -Trinity									
49. -Seadrill (previously mislabeled as Scandril)									
50. -Wells Fargo									
51. -Gilead									

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52. -Coming					Sold	10/25/11	J	A	
53. -Fluor									
54. -National (NOV)									
55. -Clean									
56. -Plexus									
57. -Ashland (ASH)									
58. -Tata (TTM)									
59. -Yum (YUM)									
60. -Vanguard (VWO)									
61. -Vanguard (VEA)									
62. -Toyota (TM)									
63. -Tesoro (TSO)									
64. -Kraft									
65. -UTX									
66. -Healthcare HCN									
67. -Barclays									
68. -Dollar General									

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69. -Baker BHI									
70. -Becton BDX									
71. -Mkt Vect NLR					Sold	10/30/13	J	A	
72. -Royal Dutch RDS'B					Sold	11/30/13	J	A	
73. -Spectra SE									
74. -Medtronic MDT									
75. -Mondelez MDL									
76. -Denbury (DNR)					Buy	10/30/13	J		
77. -Freeport (FCX)					Buy	10/30/13	J		
78. -Hess (HES)					Buy	10/30/13	J		
79. -JP Morgan (JPM)					Buy	10/30/13	J		
80. -Nat'L Fuel (NFG)					Buy	10/30/13	J		
81. -Pfizer (PFE)					Buy	10/30/13	J		
82. -Baidu (BIDU)					Buy	05/23/13	J		
83. -Cnooc (CEO)					Buy	05/24/13	J		
84. -Delphi (DLPH)					Buy	11/18/13	J		
85. -Estee (EL)					Buy	11/18/13	J		

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86.	-Abbvie (Abbv)								
87.	-Uranium (UEC)				Buy	10/30/13	J		
88.									
89.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I. POSITIONS

In October of 1996, I became a member of an investment club known as First Investors of Paducah, which is organized as a partnership. There are 15 partners divided into 7 teams. Each team invests their portion. I only have control over the investment of my team. I do have a 1/15 interest in all investments.

VII. INVESTMENTS AND TRUSTS

(No. 3 - 7) IRA- UBS

(No. 8 -10) IRA - UBS

(No. 13 - 23) IRA - Regions Bank

I have an IRA with Regions Bank and UBS. Other than making an allocation choice between bonds, stocks, money markets, etc., I have no control over the IRA account. I am not consulted nor do I give advice on the individual investments.

(No. 24-87) First Investors of Paducah is an investment club organized as a partnership. There are 15 partners divided into 7 teams. Each team makes an independent investment of their portion. I have no control over the investments of the other 6 teams. I do have a 1/15 interest in all of the investments. Items 24 thru 87 represent the stock investments of the club. Line 24 reflects the estimate of my 1/15 interest.

(No. 86) Abbvie - I inadvertently omitted on last year's report. It was purchased 09/28/96.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Thomas B. Russell

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544