

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ball, Franklin K.	2. Court or Organization U.S. District Court - Mississippi Southern District	3. Date of Report 06/27/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. District Courts U.S. Court House 501 East Court Street, Suite 5.150 Jackson, MS 39201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

- | | |
|------------------|--|
| 1. Federal Judge | U.S. District Court for Southern District of Mississippi |
| 2. | |
| 3. | |
| 4. | |
| 5. | |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

- | | |
|---------|--|
| 1. 2002 | Currie Johnson Griffin Gaines 401(k) Plan (Reported in Section VII, and rolled over to IRA on 6-12-12) |
| 2. 2008 | PCA Retirement Plan (Reported in Section VII also) (This was rolled over to IRA on 11/22/11) |
| 3. | |

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Reformed University
Ministries

03/08/11

Atlanta, GA

Presbyterian Committee

Travel

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Citi Bank - Visa	Credit Card	J
2.	Discover Card	Credit Card	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Regions Checking Account [REDACTED]	A	Interest	J	T					
2. Currie Johnson Griffin Gaines - ING Retirement Account		None							
3. - American Growth Fund F	A	Dividend	J	T					
4. - American Euro Growth Fund	A	Dividend	J	T					
5. - Davis NY Venture Fund A	A	Dividend	J	T					
6. - Baron Growth Fund	A	Dividend	J	T					
7. - American Central Intl Bond A	A	Dividend	J	T					
8. - Lazard Emrg Mkts Port - O	A	Dividend	J	T					
9. - Columbia Intermed Bond Z	A	Dividend	J	T					
10. - Jan Perk Small Cap T	A	Dividend	J	T					
11. PCA Retirement and Benefits, Inc.		None							
12. - PCA Target Retirement 2030	A	Dividend			Sold	11/01/11	K	A	
13. Solomon Financial Fidelity Investments [REDACTED]		None							
14. - FCASH Account	A	Dividend	J	T	Sold (part)	05/01/11	J	A	
15. Charles Schwab Investment Account (IRA) [REDACTED]		None							
16. - Intel Corporation	A	Dividend	J	T					
17. - LSI Corporation	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. - Standard PAC Corp New	A	Dividend	J	T					
19. Charles Schwab Investment Account (Regular Acct) [REDACTED]		None							
20. - Euro Disney SCA Ord NewF	A	Dividend	J	T	Buy	01/01/11	J		
21. 25% interest in Brier Creek Investments, LLC [REDACTED]	B	Int./Div.	J	U					
22. Mortgage Company Escrow Accounts for Insurance and Taxes		None	J	W					
23. Stephen's Investment Account [REDACTED] #1 [REDACTED]		None							
24. - American Funds Growth Fund of America Class A	A	Dividend	J	T					
25. - American Funds New Perspective Fund Class A	A	Dividend	J	T					
26. - American Funds Washington Mutual Fund Class A	A	Dividend	J	T					
27. Stephen's Investment Account [REDACTED] #2 [REDACTED]		None							
28. - American Funds Growth Fund of America Class A	A	Dividend	J	T					
29. - American Funds New Perspective Fund Class A	A	Dividend	J	T					
30. - American Funds Washington Mutual Fund Class A	A	Dividend	J	T					
31. Stephen's Investment Account for [REDACTED] [REDACTED]		None							
32. - American Funds Growth Fund of America Class A	A	Dividend	J	T					
33. - American Funds New Perspective Fund Class A	A	Dividend	J	T					
34. - American Funds Washington Mutual Fund Class A	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. Stephen's Investment Account [REDACTED]		None							
36. - American Funds Growth Fund of America Class A	A	Dividend	J	T					
37. - American Funds New Perspective Fund Class A	A	Dividend	J	T					
38. - American Funds Washington Mutual Fund Class A	A	Dividend	J	T					
39. Stephen's Investment Account for [REDACTED]		None							
40. - American Funds Growth Fund of America Class A	A	Dividend	J	T					
41. - American Funds New Perspective Fund Class A	A	Dividend	J	T					
42. - American Funds Washington Mutual Fund Class A	A	Dividend	J	T					
43. Denbury Onshore Minerals located in Madison County, MS	A	Royalty	J	W					
44. Solomon Financial Advisors - Rollover IRA [REDACTED]		None							
45. - Fidelity Cash Reserve	A	Dividend	L	T	Buy	06/02/11	L		
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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86.									
87.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Franklin K. Ball**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544