

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Adams, John R.	2. Court or Organization U.S. District Court, N.D. of Ohio	3. Date of Report 05/06/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 510 U.S. Courthouse 2 S. Main Akron, Ohio 44333		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Power of Attorney	(FBO of Disabled Parent--See Part VIII)
2. Custodian	(FBO of Grand Nephew--See Part VIII)
3. Custodian	(FBO of Grand Niece--See Part VIII)
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Adams, John R.

Date of Report

05/06/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Adams, John R.

Date of Report

05/06/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Westfield Bank, fka Valley Savings Bank	Mortgage on Residence/Rental (Duplex)	K
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Adams, John R.

Date of Report

05/06/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property, Fairlawn Ohio	D	Rent	N	Q					See VIII
2. Huntington Bank Shares	A	Dividend			Sold	02/01/18	J	A	
3. Fidelity Contrafund	C	Dividend	L	T	Sold (part)	08/16/18	J	A	
4. Stifel Nicolas: Contrafund	B	Dividend	K	T	Buy	01/24/18	J		
5.					Buy (add'l)	02/13/18	J		
6.					Buy (add'l)	02/26/18	J		
7.					Buy (add'l)	03/27/18	J		
8.					Buy (add'l)	04/24/18	J		
9.					Buy (add'l)	05/24/18	J		
10.					Buy (add'l)	06/25/18	J		
11.					Buy (add'l)	07/24/18	J		
12.					Buy (add'l)	08/24/18	J		
13.					Buy (add'l)	09/24/18	J		
14.					Buy (add'l)	10/24/18	J		
15.					Buy (add'l)	11/26/18	J		
16.					Buy (add'l)	12/11/18	J		
17.					Buy (add'l)	12/24/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

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Adams, John R.

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18. American Funds AmCap Fund Inc. Cl. F	B	Dividend	K	T	Sold (part)	08/16/18	J	B	
19. American Balanced Fund Inc. Cl F	A	Dividend	K	T					
20. Fidelity Advisor Fund Cl A	A	Dividend			Sold (part)	08/16/18	J	C	
21.					Sold	11/28/18	K	D	
22. Northwestern Mutual Inv. Services Mn Mkt. Fund	A	Dividend	J	T					
23. Westfield Bank Checking fka Valley Savings Bank	A	Interest	J	T					
24. Blackrock Strategic Municipal Opportunities Fnd Institutional Class	A	Dividend	K	T	Buy	08/16/18	J		
25. Columbia Overseas Value Fund Advisor Class	A	Dividend			Sold	11/28/18	K	A	
26. Europacific Growth Fund Class F-2	A	Dividend	J	T					
27. MFS Internationa New Discovery Fund Class I	A	Dividend	J	T					
28. New World Fund Class F-2	A	Dividend	J	T					
29. Tax Exempt Bond Fund of America Class F-2	A	Dividend	J	T	Sold (part)	01/04/18	J	A	
30. Huntington Bank Savings Account	A	Interest			Closed	02/04/18	K		
31. Lattice Strategies TR Hartford Multifactor Developed Mkts Ex Us ETF	A	Dividend	K	T	Buy	11/28/18	K		
32. Schwab Strategic Tr. US Mid-Cap ETF	A	Dividend	J	T	Buy	11/28/18	K		
33. Retirement Account									
34. Dreyfus Ins. Deposit Prg. I	A	Dividend	J	T			J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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35. IS Shares Inc. MSCI EorozoneETF	A	Dividend			Sold	05/07/18	J	A	
36. Vanguard Bd Index FDS Vanguard Short Term Bond ETF	A	Dividend	J	T					
37. New World Fund Class F-2	A	Dividend	J	T					
38. Fidelity Advisor Total Bond Fund Class F	A	Dividend	K	T					
39. AMG Manager Fairport Mid Cap. Fund Class I	A	Dividend	J	T					
40. Vanguard Intl Equity/Index FDS FTSE Emerging Markets	A	Dividend	J	T	Buy	05/07/18	J		
41. FMI International Fund Institutional Class	A	Dividend	J	T					
42. IShares TR Core S&P 500 ETF	B	Dividend	L	T	Sold (part)	01/22/18	J	B	
43.					Buy (add'l)	11/05/18	J		
44. Pimco Income Fund Class P	B	Dividend	K	T					
45. The Oakmark International Small-Cap Fund Investor Class	A	Dividend			Sold	07/16/18	J	A	
46. Cohen & Steers Real Estate Securities Fund Class I	A	Dividend	J	T					
47. The Oakmark Select Fund Investor Class	A	Dividend	J	T					
48. I Shares TRCore S&P Mid Cap ETF	A	Dividend	J	T					
49. Vanguard Bd Index FDS Vanguard Total Bd Market ETF	B	Dividend	K	T					
50. Deutsche Enhanced Commodity Strategy Fund Institutional Class	A	Dividend	J	T					
51. Schwab Strategic Tr Intl EquityETF	A	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

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52. Brown Capital Management Small Company Fund Institutional Class	A	Dividend	J	T	Sold (part)	11/05/18	J	A	
53. Pimco Commodities Plus Strategy Fund Class 1-2	B	Dividend	J	T	Buy	01/22/18	J		
54. Goldman Sachs International Small Cap Insights Fund CI F	A	Dividend	J	T	Buy	07/16/18	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Adams, John R.

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05/06/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) Part I, page 1, line 2--The undersigned is Power of Attorney for a disabled parent.
- 2) Part I, page 1, line 3---The undersigned is custodian for the proceeds of a personal injury settlement for the benefit of a minor grand nephew.
- 3) Part I, page 1, line 4---The undersigned is custodian for the proceeds of a personal injury settlement for the benefit of a minor grand niece.
- 4) Part VII, page 4, line 1---Rental property (duplex), located in the County of Summit, State of Ohio was appraised as part of refinancing in September, 2010.
- 5) Pro Bono legal services received from Judicial Watch, Inc. related to a confidential matter.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Adams, John R.

Date of Report

05/06/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John R. Adams**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544