

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gorman, Mary P.	2. Court or Organization Central District of Illinois	3. Date of Report 05/11/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 600 East Monroe St. #234 Springfield, Illinois 62701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Michael R. Hogan Trust #1. Brother-in-law is settlor. Sole beneficiaries are my sister, nieces and nephews.
2. Trustee	Michael R. Hogan Trust #2. Brother -in-law is sttlor. Sole beneficiaries are my sister, nieces and nephews.
3. Board member and treasurer	Homeowners Association, Springfield, Illinois. Non-profit corporation.
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Hogan Trust #1- checking account at JP Morgan Chase		None	J	T					
2. Hogan Trust #2 - checking account at JP Morgan Chase		None	J	T					
3. Hogan Trust #1- whole life policy with Gen. Amer. Life	A	Dividend	K	T					
4. Hogan Trust #2 - whole life policy with Gen. Amer. Life	A	Dividend	O	T					
5. Whole life policy with Northwestern Mutual Life Ins.	A	Dividend	K	T					
6. AMG Funds Timesquare Mid Cap Gr.	A	Dividend	J	T	Buy (add'l)	8/24/16	J		
7. Artisan Partners Fds. Small Cap Fd.	A	Dividend	J	T	Sold (part)	08/24/16	J	A	
8. Blackrock Funds Core Bond	A	Dividend	J	T	Sold (part)	08/24/16	J	A	
9. BlackrockGlobal Alloc. Instit.	A	Dividend	K	T	Buy (add'l)	03/02/16	J		
10. Credut Suisse Commodity Ret. Strat. Fd.	A	Dividend	J	T	Sold (part)	08/24/16	J	A	
11. Delaware Group Adviser FDS Inc. US Growth Fund	A	Dividend	K	T	Buy (add'l)	08/24/16	J		
12.					Sold (part)	08/24/16	J	A	
13. Delaware Group Equity FDS II Value Fd.	A	Dividend	J	T	Buy (add'l)	03/30/16	J		
14.					Buy (add'l)	06/29/16	J		
15.					Buy (add'l)	08/24/16	J		
16. Deutsche Global/Intl Fd. Global Sm. Cap	A	Dividend	J	T	Buy (add'l)	03/02/16	J		
17. Deutsche Sec. Enhanced Commodity Strat. Fd.	A	Dividend	J	T	Sold (part)	08/24/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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18. Deutsche Sec. Global Real Est. Secs. Fd.	A	Dividend	J	T	Sold (part)	08/24/16	J	A	
19. Eaton Vance Global Macro Absolute Return Fd.	A	Dividend	J	T	Sold (part)	08/24/16	J	A	
20. Fidelity Advisor Strategic Income Fd.	A	Dividend	J	T	Sold (part)	03/02/16	J	A	
21. First Eagle Funds Global Fd.	A	Dividend	K	T	Buy (add'l)	03/02/16	J		
22. First Eagle Funds Sogen Overseas Fd.	A	Dividend	J	T	Sold (part)	08/24/16	J	A	
23. Forward Funds Tactical Growth Fund	A	Dividend	K	T	Sold (part)	01/11/16	J	A	
24.					Sold (part)	03/02/16	J	A	
25. Gabelli Equity Ser. Fd. Sm. Cap Growth Fd.	A	Dividend	K	T	Buy (add'l)	08/24/16	J		
26. Goldman Sachs Dynamic Allocation Fd.	A	Dividend	J	T					
27. Goldman Sachs Finl Square Tax Free Money Mkt	A	Int./Div.			Buy (add'l)	03/03/16	J		
28.					Sold (part)	01/11/16	J	A	
29.					Sold (part)	04/08/16	J	A	
30.					Sold (part)	07/08/16	J	A	
31.					Sold	08/24/16	J	A	
32. Goldman Sachs Finl Square Treas. Instrs. Fd.	A	Dividend	J	T	Buy (add'l)	07/12/16	J		
33.					Buy (add'l)	08/24/16	J		
34.					Buy (add'l)	08/25/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$5,001,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.					Sold (part)	01/11/16	J	A	
36.					Sold (part)	04/08/16	J	A	
37.					Sold (part)	07/08/16	J	A	
38.					Sold (part)	10/14/16	J	A	
39.					Buy (add'l)	03/02/16	J		
40. Gotham Absolute Return Fund n/k/a Fund Advantage	A	Dividend	J	T	Sold (part)	08/24/16	J	A	
41. Harbor Cap. Apprec. Fd.	A	Dividend	J	T	Buy (add'l)	08/24/16	J		
42. Harbor International Fund	A	Dividend	J	T	Sold (part)	08/24/16	J	A	
43. Hotchkis & Wiley Mid Cap Value Fund	A	Dividend			Sold	08/24/16	J	A	
44. Hartford Mut. Funds FDS- Midcap Funds	A	Dividend	J	T	Buy	08/24/16	J		
45. Invesco Global Real Estate Fd.	A	Dividend	J	T	Buy (add'l)	03/18/16	J		
46.					Buy (add'l)	06/17/16	J		
47.					Sold (part)	08/24/16	J	A	
48. Inv. Mgrs Ser Tr Oak Ridge Sm Cap Gr Fd	A	Dividend	J	T	Buy (add'l)	08/24/16	J		
49. Ivy Funds Asset Strategy Fd.	A	Dividend			Sold (part)	03/02/16	K	A	
50.					Sold	03/03/16	J	A	
51. John Hancock Classic Value Fund	A	Dividend	J	T	Sold (part)	08/24/16	J	A	

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52. John Hancock Funds Absolute Return Currency	A	Dividend	J	T	Sold (part)	08/24/16	J	A	
53. JP Mprgan Tr. I US Equity Fund	A	Dividend	J	T	Buy	03/04/16	J		
54.					Buy (add'l)	03/30/16	J		
55.					Buy (add'l)	06/29/16	J		
56.					Buy (add'l)	07/24/16	J		
57. JP Morgan Chase - bank account		None	M	T					
58. JP Morgan Chase- bank account	A	Interest	K	T					
59. JP Morgan US Large Cap Core Plus Fd.	A	Dividend	K	T	Sold (part)	08/24/16	J	A	
60. Kinetics Mut. Fds. Inc. Paradigm Fd.	A	Dividend	J	T	Buy (add'l)	03/02/16	J		
61. Lazard Fds. Intl. Equity Port Instl	A	Dividend	K	T	Buy (add'l)	08/24/16	J		
62. Mainstay Funds Marketfield Fd.	A	Dividend			Sold (part)	03/02/16	J	A	
63.					Sold	03/03/16	J	A	
64. Meridian FD Inc. Sm Cap Growth Fds	A	Dividend	J	T	Buy	08/24/16	J		
65. MFS Ser. TR III Municipal High Inc. Fund CL I	A	Dividend	J	T	Buy	08/24/16	J		
66. MFS Ser. TR X Emerging Mkts Class I	A	Dividend	J	T	Buy	08/24/16	J		
67. Natixis Fds. ASG Managed Futures Strat Fd.	A	Dividend	J	T	Sold (part)	03/02/16	J	A	
68.					Buy (add'l)	08/24/16	J		

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69. Natixis Fds. ASG Global Alternatives Fd.	A	Dividend			Sold	08/24/16	J	A	
70. Oppenheimer Dev. Mkts Fd.	A	Dividend	K	T	Sold (part)	08/24/16	J	A	
71. Oppenheimer Intl. Growth Fund	A	Dividend	J	T	Buy (add'l)	08/24/16	J		
72. Oppenheimer Global Fund	A	Dividend	J	T	Buy (add'l)	03/02/16	J		
73. Pimco All Asset Fund	A	Dividend	K	T	Buy (add'l)	03/02/16	J		
74.					Sold (part)	10/14/16	J	A	
75. Pimco Funds Pacific Investment	A	Dividend	J	T	Buy	08/24/16	J		
76. Pioneer Fund	A	Dividend			Sold	03/02/16	J	A	
77. Principal Funds High Yield Fd.	A	Dividend	J	T	Sold (part)	08/24/16	J	A	
78. Principal Funds Inc. Global Multi-Strategy Fd	A	Dividend	J	T	Buy	08/24/16	J		
79. Putnam Absolute Return	A	Dividend	J	T	Sold (part)	08/24/16	J	A	
80. RS Invt. Tr. Sm. Cap. Growth Fd n/k/a Victory Portfolio	A	Dividend			Sold	08/24/16	J	A	
81. Russell Invt. Co. Global Equity Fd.	C	Distribution	K	T	Buy (add'l)	07/12/16	J		
82. Russell Invt. Co. Global Infrastructure Fd.	A	Dividend	J	T	Sold (part)	07/12/16	J	A	
83. Russell Invt. Co. Commodity Strat. Fund	A	Dividend	J	T	Buy (add'l)	07/13/16	J		
84. Russell Invt. Co Global Opp. Fund	B	Dividend	K	T	Buy (add'l)	07/12/16	J		
85.					Buy (add'l)	07/13/16	J		

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86. Russell Invt. Co Emerging Mkts. Fd	A	Dividend	K	T	Buy (add'l)	07/12/16	J		
87. Russell Invt. Co. Global Real Estate Fd.	A	Dividend	J	T	Sold (part)	07/12/16	J	A	
88. Russell Invt. Co. Developed Mkts Fd.	B	Dividend	K	T	Buy (add'l)	07/12/16	J		
89. Russell Invt. Co. Multi-Strategy Fd.	A	Dividend			Sold	07/12/16	J	A	
90. Russell Invt. Co. Strategic Bond Fd.	A	Dividend	K	T	Sold (part)	07/08/16	J	A	
91.					Sold (part)	07/12/16	J	A	
92. Russell Invt. Co. US Small Cap Equity Fd.	A	Dividend	K	T	Buy (add'l)	07/12/16	J		
93. Russell Invt. Co. US Strat. Equity Fd.	D	Distribution	L	T	Sold (part)	01/12/16	J	A	
94.					Sold (part)	04/11/16	J	A	
95.					Sold (part)	07/12/16	J	A	
96. T. Rowe Price Real Estate Fd.	A	Dividend	J	T	Sold (part)	08/24/16	J	A	
97. T. Rowe Price Summit Mun. Fds.	A	Dividend	J	T	Buy	08/24/16	J		
98. Thornburg Inv. Tr. Global Opportunities Fund	A	Dividend	J	T	Buy	08/24/16	J		
99. TCW Funds Relative Value Large Cap	A	Dividend	K	T	Sold (part)	08/24/16	J		
100. Victory Portfolios Small Co Opportunity Fd.	A	Dividend	J	T	Sold (part)	08/24/16	J	A	
101. Virtus Emerging Mkts Opp. Ff n/k/a Virtus Opportunities	A	Dividend	J	T	Sold (part)	08/24/16	J	A	
102. Wells Fargo Fds. Asset Allocation Fd.	A	Dividend			Sold (part)	03/03/16	K	A	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.					Sold	03/04/16	J	A	
104. Wells Fargo Fds. Emerging Mkts Equity Fund	A	Dividend	J	T	Sold (part)	08/24/16	J	A	
105. Wells Fargo Funds Spec. Mid Cap Value	A	Dividend	J	T	Buy	08/24/16	J		
106. Wells Fargo Absolute Return Fund	A	Dividend	K	T	Buy (add'l)	03/03/16	K		
107.					Buy (add'l)	03/07/16	J		
108.									
109.									
110.									
111.									
112.									
113.									
114.									
115.									
116.									
117.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Gorman, Mary P.

Date of Report

05/11/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. In completing this report and comparing it to my 2015 report, I found an error in the 2015 report. I listed ownership of an interest in Wells Fargo Growth Funds (WEMIX) for year end 2015. But in trying to find a disposition of those funds this year, I discovered those funds were all sold in January 2014. Part of the fund was sold on January 10, 2014, and the balance was sold January 28, 2014. Both sales were for an amount of Value Code J and gain for each sale was Code A. The January 28, 2014, sale is reported on my 2014 report and should have been marked as a Sale and not as a partial Sale.

I have several similarly named Wells Fargo funds and I can only assume this is the reason for the error. My previous career law clerk, who assisted in the preparation of my 2014 and 2015 reports, has passed away and I do not have access to his notes so I cannot verify exactly how the error was made. I do not own any interest in the Wells Fargo Emerging Growth Fund and did not own any such interest in 2016.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gorman, Mary P.

Date of Report

05/11/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Mary P. Gorman

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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