

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kelly, Timothy J.	2. Court or Organization U.S. District Court for the District of Columbia	3. Date of Report 05/29/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Prettyman U.S. Federal Courthouse Room 4534 333 Constitution Avenue, NW Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2017

Salary from

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		Tuition Agreement	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Cash Account	A	Interest	J	T					
2. American Funds New World Fund F-2 (NFFFX)	A	Dividend	J	T	Sold (part)	06/19/17	J		
3. American Funds College 2027 Fund 529-A (CSTAX)	A	Dividend	K	T					
4. American Funds College 2030 Fund 529-A (CTHAX)	A	Dividend	J	T					
5. American Funds Washington Mutual Investors Fund F-2 (WMFFX)	B	Dividend	K	T					
6. AMG Times Square Mid Cap Growth Fund N (TMDPX) n/k/a (TQMIX)	B	Dividend	J	T					
7. Delaware Value Fund Institutional (DDVIX)	A	Dividend			Sold	08/28/17	K		
8. Deutsche Enhanced Commodity Strategy Fund Institutional (SKIRX)	A	Dividend	J	T					
9. Diamond Hill Large Cap Fund I (DHLRX)	A	Dividend	J	T	Sold (part)	06/19/17	J		
10. Eagle Small Cap Growth Fund I (HSIIX)	A	Dividend	J	T					
11. Eaton Vance Income Fund of Boston I (EIBIX)	A	Dividend	J	T					
12. First Eagle Overseas Fund I (SGOIX)	A	Dividend	J	T					
13. Franklin Fed. Intermediate-Term Tax-Free Income Fund Advisor (FITZX)	A	Dividend	J	T					
14. Goldman Sachs Financial Square Treasury Investments Fund (FTLXX) (Y)									
15. Goldman Sachs Investor Tax-Exempt Money Market Fund FST (FTXXX)	A	Dividend	J	T					
16. American Funds The Growth Fund of America 529-A (CGFAX)	C	Dividend	K	T					
17. Harbor Capital Appreciation Fund Institutional (HACAX)	A	Dividend	J	T	Sold (part)	06/19/17	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$250,001 - \$500,000 Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Harbor Capital Appreciation Fund Institutional (HAINX)	A	Dividend	J	T					
19. Harbor Small Cap Value Fund Institutional (HASCX)	A	Dividend	J	T	Sold (part)	03/02/17	J		
20. Hotchkiss & Wiley Mid-Cap Value Fund I (HWMIX)	A	Dividend	J	T					
21. Invesco Global Real Estate Fund Y (ARGYX)	A	Dividend			Sold	08/28/17	J		
22. John Hancock Classic Value Fund I (JCVIX)	A	Dividend	J	T					
23. John Hancock Funds Disciplined Value Mid Cap Fund I (JVMIX)	A	Dividend	J	T					
24. Lazard Emerging Market Equity Portfolio Institutional (LZEMX)	A	Dividend	J	T					
25. MainStay Large Cap Growth Fund I (MLAIX)	B	Dividend	K	T					
26. MFS Emerging Markets Debt I (MEDIX)	A	Dividend	J	T					
27. MFS Municipal High Income Fund I (MMHIX)	A	Dividend	K	T	Sold (part)	06/19/17	J		
28.					Sold (part)	11/15/17	J		
29. American Funds The New Economy Fund 529-A (CNGAX)	C	Dividend	K	T					
30. American Funds New Perspective Fund 529-A (CNPAX)	B	Dividend	K	T					
31. American Funds New World Fund 529-A (CNWAX)	A	Dividend	K	T					
32. Nuveen Limited Term Municipal Bond Fund I (FLTRX)	A	Dividend	K	T	Sold (part)	06/19/17	J		
33. Nuveen Intermediate Duration Municipal Bond Fund I (NUVBX)	A	Dividend	K	T	Sold (part)	06/19/17	J		
34. Nuveen Real Estate Securities Fund I (FARCX)	B	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Oakmark International Fund Investor Class (OAKIX) n/k/a (OAYIX)	A	Dividend	J	T	Sold (part)	03/09/17	J		
36.					Sold (part)	06/19/17	J		
37. Oppenheimer Developing Markets Fund Y (ODVYX)	A	Dividend	J	T					
38. Oppenheimer Senior Floating Rate Fund Y (OOSYX)	B	Dividend	K	T	Sold (part)	06/19/17	J		
39.					Sold (part)	11/15/17	J		
40. American Funds SmallCap World Fund 529-A (CSPAX)	B	Dividend	K	T					
41. SPDR Gold Trust ETF (GLD)		None	J	T					
42. T. Rowe Price Blue Chip Growth Fund (TRBCX)	A	Dividend	J	T	Sold (part)	06/19/17	J		
43. T. Rowe Price Equity Income Fund (PRFDX)	B	Dividend	K	T	Sold (part)	03/02/17	J		
44.					Sold (part)	06/19/17	J		
45. T. Rowe Price Mid-Cap Growth Fund (RPMGX)	A	Dividend	J	T	Sold (part)	06/19/17	J		
46. Thornburg Limited Term Municipal Fund I (LTMIX)	A	Dividend	J	T					
47. Market Vectors Golf Miners ETF (GDX)	A	Dividend	J	T					
48. Victory Sycamore Small Company Opportunity Fund I (VSOIX)	B	Dividend	K	T					
49. Voya Large-Cap Growth Fund I (PLCIX)	A	Dividend	J	T					
50. Wells Fargo Core Bond Fund I (MBFIX)	A	Dividend	J	T					
51. Wells Fargo Emerging Growth Fund I (WEMIX)	A	Dividend	J	T					

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52. William Blair International Growth Fund I (BIGIX)	A	Dividend	J	T					
53. Vanguard Target Retirement 2035 Trust		None	K	T					
54. MFS Value Fund Class I (MEIIX)	A	Dividend	K	T	Buy	08/28/17	K		
55. Principal Global Real Estate Securities Fund (POSIX)	A	Dividend	J	T	Buy	08/28/17	J		
56. T. Rowe Price Real Estate Fund (TRREX)	A	Dividend	J	T	Buy	08/28/17	J		
57.					Buy (add'l)	08/29/17	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Part VII:

Asset 6 - TMDPX became TQMIX via a share class exchange on 8/4/17.

Asset 14 - FTLXX was sold via a series of transactions that were non-reportable individually.

Asset 35 - OAKIX became OAYIX via a share class exchange on 11/15/17.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Timothy J. Kelly

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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