

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MOORMAN, William A.	2. Court or Organization Ct of App for Veterans Claims	3. Date of Report 08/10/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 625 Indiana Avenue, NW Suite 900 Washington, DC 20004-2950		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	President, Board of Trustees	Air Force Aid Society -- Nonprofit
2.	Member, Board of Trustees	Air Force Judge Advocate School Foundation -- Nonprofit
3.	Trustee	Decedent's Trust #1 - Deceased Family Member
4.	Executor	Estate #2 - Deceased Family Member
5.	Member, Board of Trustees	IMPACT India Community -- Nonprofit

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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MOORMAN, William A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Pentagon Federal Share Acct	A	Interest	J	T					
2. Pentagon Federal Money Mkt	A	Interest	K	T					
3. TR Price Capital Appreciation Mutual Fund (IRA)	A	Dividend	K	T					
4. TR Price Small Cap Value Fund (IRA)	A	Dividend	J	T					
5. Ameriprise Insured Money Mkt	A	Interest	K	T					
6. Fid Adv Small Cap-A	C	Dividend	L	T					
7. Fid Adv New Insights-A	C	Dividend	L	T					
8. Putnam Equity Income-A	C	Dividend	L	T					
9. MFS Municipal Income-A	D	Dividend	M	T					
10. W Blair Intl Growth-N	A	Dividend	K	T					
11. MFS Intl New Disc-A	A	Dividend	K	T					
12. MFS VA Muni Bond-A	D	Dividend	M	T					
13. Ford Motor Co Common Stock	A	Dividend	J	T					
14. Wells Fargo Bank -- Savings	A	Interest	L	T					
15. Wells Fargo Bank -- Checking	A	Interest	L	T					
16. DWS Deutsche Select Alt Alca	A	Dividend			Sold	12/15/15	L	A	
17. PG&E Common Stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Invesco Small Cap	C	Dividend			Sold	12/15/15	K	A	
19. Google Common Stock	A	Dividend	K	T					
20. Home Depot Common Stock	A	Dividend	J	T	Buy	07/22/15	J		
21. Invesco Balanced Risk Alloc Cl A	B	Dividend	L	T	Buy	12/15/15	L		
22. American Century Small Cap Value Cl A	A	Dividend	K	T	Buy	12/15/15	K		
23. Trust #1 - Wells-Fargo Bank Savings	A	Interest			Distributed	09/24/15	M		
24. Trust #1 - Wells-Fargo Bank Checking		None	J	T					
25. Estate #2 Wells Fargo Bank Savings	A	Interest			Distributed	10/01/15	O		
26. Estate #2 Wells Fargo Bank Checking		None	J	T					
27. Ameriprise Trust Company WAMoorman Rollover IRA	E	Dividend	N	T					
28. - Columbia Income Opp					Buy (add'l)	01/12/15	J		
29.					Buy (add'l)	12/30/15	J		
30.					Sold (part)	04/20/15	J	A	
31.					Sold (part)	10/15/15	J	A	
32. - Active Portfolio Multi Manager Small Cap Equity Cl A					Buy (add'l)	01/12/15	J		
33.					Buy (add'l)	04/20/15	J		
34.					Buy (add'l)	10/15/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	12/30/15	J		
36.					Sold (part)	07/14/15	J	A	
37. - Active Portfolio Multi Manager Alternative Strat C1 A					Buy (add'l)	04/20/15	J		
38.					Buy (add'l)	07/14/15	J		
39.					Buy (add'l)	12/30/15	K		
40.					Sold (part)	01/12/15	J	A	
41.					Sold (part)	10/15/15	J	A	
42. - Hancock Global Absolute Return					Buy (add'l)	12/30/15	J		
43.					Sold (part)	01/12/15	J	A	
44. - MFS Value C1 A					Buy (add'l)	01/12/15	J		
45.					Buy (add'l)	04/20/15	J		
46.					Buy (add'l)	10/15/15	J		
47.					Buy (add'l)	12/30/15	J		
48.					Sold (part)	07/14/15	J	A	
49. - MFS Int'l Value					Buy (add'l)	01/12/15	J		
50.					Buy (add'l)	07/14/15	J		
51.					Buy (add'l)	10/15/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52.					Buy (add'l)	12/30/15	J		
53.					Sold (part)	04/20/15	J	A	
54. - MFS Emerging Markets					Sold	01/12/15	J	A	
55. - Prudential Global Real Estate					Sold (part)	01/12/15	J	A	
56.					Sold	04/20/15	J	B	
57. - Prudential Mid Cap					Buy (add'l)	01/12/15	J		
58.					Buy (add'l)	04/20/15	J		
59.					Buy (add'l)	10/15/15	J		
60.					Buy (add'l)	12/30/15	J		
61.					Sold (part)	07/14/15	J	A	
62. - Wells Fargo Emerging Mkts					Buy (add'l)	07/14/15	J		
63.					Sold (part)	04/20/15	J	A	
64.					Sold	10/15/15	J	A	
65. - JP Morgan Large Cap Growth					Buy (add'l)	01/12/15	J		
66.					Buy (add'l)	10/15/15	J		
67.					Buy (add'l)	12/30/15	J		
68.					Sold (part)	04/20/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69.					Sold (part)	07/14/15	J	A	
70. - Eaton Vance Float Rate					Sold	01/12/15	J	A	
71. - Invesco Int'l Growth					Buy (add'l)	01/12/15	J		
72.					Buy (add'l)	07/14/15	J		
73.					Buy (add'l)	10/15/15	J		
74.					Buy (add'l)	12/30/15	J		
75.					Sold (part)	04/20/15	J	A	
76. - American Century Mid Cap					Buy (add'l)	01/12/15	J		
77.					Buy (add'l)	12/30/15	J		
78. - Fidelity Advisor Total Bond Cl A					Buy (add'l)	01/12/15	J		
79.					Buy (add'l)	07/14/15	J		
80.					Buy (add'l)	12/30/15	K		
81.					Sold (part)	04/20/15	J	A	
82.					Sold (part)	10/15/15	J	A	
83. - Blackrock Strat Inc					Buy (add'l)	01/12/15	J		
84.					Buy (add'l)	04/20/15	J		
85.					Buy (add'l)	12/30/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86.					Sold (part)	07/14/15	J	A	
87.					Sold (part)	10/15/15	J	A	
88. - Active Portfolio Multi Manager Value Cl A					Buy (add'l)	01/12/15	J		
89.					Buy (add'l)	04/20/15	J		
90.					Buy (add'l)	10/15/15	J		
91.					Buy (add'l)	12/30/15	J		
92. - Active Portfolio Multi Manager Growth.Cl A					Buy (add'l)	01/12/15	J		
93.					Buy (add'l)	04/20/15	J		
94.					Buy (add'l)	10/15/15	J		
95.					Buy (add'l)	12/30/15	J		
96.					Sold (part)	07/14/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ William A. MOORMAN

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544