

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Schanzle-Haskins, Thomas P.	2. Court or Organization United States District Court Central District of Illinois	3. Date of Report 4/25/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge (full-time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 600 East Monroe, Rm 124 Springfield, IL 62701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 1-12/2017	State of Illinois Retirement
2. 10/2017	Family Farm Rental
3. 1-12/2017	Midwest Region Organizing Comm, LIUNA
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	U.S. Bank, Springfield, Illinois	Unsecured line of credit	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. John Hancock Life Insurance Policy		None	K	T					
2. Interest bearing check acct, Illinois National Bank, Springfield, IL	A	Interest	J	T					
3. Wells Fargo Savings Account	A	Dividend	J	T					
4. CAIBX Capital Income Builder	B	Dividend	L	T					
5. AGTHX Gr Fd of Am	A	Dividend	L	T					
6. AMECX Income Fd of Am	B	Dividend	L	T					
7. AIBAX Intermediate Bond FD	A	Interest			Sold	08/22/17	J	A	
8. AIVSX Investment Co. of Am	A	Dividend	L	T					
9. ANWPX New Perspective	A	Dividend	L	T	Buy (add'l)	08/22/17	K		
10. SMCWX Small Cap World FD	A	Dividend	L	T	Sold (part)	08/22/17	K	D	
11. ASBAX Short Term BD FD	A	Interest	J	T	Sold (part)	08/25/17	K	A	
12. ASBAX Short Term BD FD	A	Interest	J	T	Sold (part)	08/22/17	J	A	
13. ABA Retirement Fund - Stable Asset Return Fund (See Part VIII)		None			Sold	08/01/17	M		
14. ABA Retirement Fund - Large Cap Equity Fund (See Part VIII)		None			Sold	08/01/17	K		
15. ABA Retirement Fund - International All Cap Equity Fund (See Part VIII)		None			Sold	08/01/17	K		
16. ACN Accenture PLC	A	Dividend			Sold	04/07/17	J	A	
17. AMZN Amazon Com Inc.		None	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. BERY Berry Plastics Group		None			Sold	04/07/17	J	A	
19. CP Canadian Pacific Rail LTD	A	Dividend			Sold	04/07/17	J	A	
20. CELG Celgene Corp		None			Buy	08/22/17	J		
21. CELG Celgene Corp		None			Sold	11/14/17	J	A	
22. FB Facebook Inc. Class A		None			Sold	04/07/17	J	A	
23. GD Genl Dynamics Corp.	A	Dividend	J	T	Sold (part)	04/07/17	J	A	
24. ITW Illinois Tool Works	A	Dividend			Sold	04/07/17	J	A	
25. LIL Lennox International	A	Dividend			Sold	04/07/17	J	B	
26. MSFT Microsoft Corp.	A	Dividend			Sold	04/07/17	J	A	
27. PKG Packaging Corp of Am		None			Sold	04/07/17	J	A	
28. POGRX Primecap Odyssey Growth	A	Dividend			Sold	04/07/17	K	B	
29. VTMSX VanGuard Tax Managed	A	Dividend			Sold	04/07/17	K	A	
30. V Visa Inc. Class A	A	Dividend			Sold	04/07/17	J	A	
31. WCN Waste Connections Inc.	A	Dividend			Sold	04/07/17	J	A	
32. NEWFX New World Fd	A	Dividend	J	T	Buy	08/22/17	J		
33. LSWWX Loomis S GI Alloc	A	Dividend	K	T	Buy	05/08/17	K		
34. DODBX Dodge & Cox Bal Fd	B	Dividend	K	T	Buy	04/07/17	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. FRC Fst Rep Bk		None			Buy	04/07/17	J		
36. FRC Fst Rep Bk		None			Sold	04/13/17	J	A	
37. JPM JP Morgan Chse Bk		None			Buy	04/07/17	J		
38. JPM JP Morgan Chse Bk		None			Sold	04/13/17	J	A	
39. VBK Vanguard Sm Cap Gr		None			Buy	04/07/17	J		
40. VBK Vanguard Sm Cap Gr		None			Sold	05/08/17	J	A	
41. BLK Blackrock		None	J	T	Buy	11/14/17	J		
42. QQQ Nasdaq 100 ETF	A	Dividend	J	T	Buy	04/17/17	J		
43. DFIEX DFA Intl Core Eqty Port Instl		None	J	T	Buy	09/05/17	J		
44. DFUVX DFA Large Cap Value III Portfolio		None	J	T	Buy	09/05/17	J		
45. DISVX DFA Intl Small Cap Value Port Instl		None	J	T	Buy	09/05/17	J		
46. DFCEX DFA Emerging Mkts Core Eqty Port Instl		None	J	T	Buy	09/05/17	J		
47. BOSVX Bridgeway Omni Sm Cap Value Fd		None	K	T	Buy	09/05/17	K		
48. DFVIX DFA Intl Value III Port		None	J	T	Buy	09/05/17	J		
49. DFXIX DFA Diversified Fixed Incm Port Inst		None	M	T	Buy	09/05/17	M		
50. DFQTX DFA US Core Eqty 2 Port Instl		None	K	T	Buy	09/05/17	K		
51. Schwab Money Market	C	Int./Div.	J	T	Buy	09/05/17	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Lines 13-15: The assets listed on Lines 13, 14, and 15 of Part VII were assets in the Brown, Hay, & Stephens, LLP 401k Profit Sharing Plan and the Brown, Hay, & Stephens, LLP DC Pension Plan. The assets in these two plans were rolled into an IRA. The assets of the IRA into which the Profit Sharing Plan and Pension Plan Assets were rolled are listed on Lines 43-50 of Part VII. Consequently, the assets listed as ABA Retirement Fund Assets on Lines 13, 14, and 15 no longer exist and will not be shown in any future reports.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas P. Schanzle-Haskins**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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