

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ZAREFSKY, RALPH	2. Court or Organization CA, C	3. Date of Report 05/22/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) MAGISTRATE JUDGE/FULL-TIME	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. DISTRICT COURT 255 EAST TEMPLE STREET LOS ANGELES, CALIFORNIA 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

American Jewish University - Salary

2. 2013

MAZON - A Jewish Response to Hunger: Consulting

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America (Checking Account)	A	Interest	J	T					
2. ExxonMobil Common Stock	D	Dividend	N	T					
3. Davis NY Venture Fund (IRA)	A	Dividend	M	T					
4. Invesco American Franchise Common Stock (IRA)	A	Dividend	L	T					See Note, Section VIII
5. Chas. Schwab Money Market Funds (IRA)	A	Interest	J	T					See Note, Section VIII
6. Mass. Mutual Group Universal Life (Life Ins Inv)	A	Interest	J	T					
7. Invesco VK Equity & Income Fund	C	Dividend	M	T					See Note, Section VIII
8. Massachusetts Investors Growth Stock Fund Common Stock (IRA)	A	Dividend	M	T					
9. Federated International Small Cap Fund Common Stock (IRA)	A	Dividend	L	T					
10. Blackrock High Yield Bond Fund (formerly Merrill Lynch High	D	Dividend	N	T					
11. Davis NY Venture Fund	A	Dividend	J	T					See Note, Section VIII
12. Federated International Small Cap Fund Common Stock	A	Dividend	J	T					See Note, Section VIII
13. American Washington Mutual Investors Fund, Inc. (IRA)	B	Dividend	M	T					
14. American Washington Mutual Investors Fund, Inc.	A	Dividend	J	T					See Note, Section VIII
15. American Inc. Fd. of America (IRA)	C	Dividend	M	T					
16. Morgan Stanley Bank (IRA)	A	Interest	J	T					See Note, Section VIII
17. Morgan Stanley Bank	A	Interest	J	T					See Note, Section VIII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Morgan Stanley Bank	A	Interest	J	T					See Note, Section VIII
19. DFA Int'l Real Est. Sec. Portfolio (Common Stock) (IRA)	A	Dividend	J	T	Sold (part)	04/05/13	J	A	
20.					Sold (part)	09/25/13	J	A	
21. DFA Real Estate Sec. Portfolio (Common Stock) (IRA)	A	Dividend	J	T	Sold (part)	01/29/13	J	A	
22.					Sold (part)	01/29/13	J	A	
23.					Sold (part)	04/05/13	J	A	
24.					Sold (part)	07/26/13	J	A	
25. DFA 2-Yr. Global Fixed Income Portfolio (IRA)	A	Dividend	K	T	Sold (part)	01/14/13	J		
26.					Sold (part)	04/05/13	J		
27.					Sold (part)	07/26/13	J		
28.					Sold (part)	10/25/13	K		
29. Charles Schwab Advance Cash Reserve (IRA)	A	Interest	J	T					See Note, Section VIII
30. DFA Inflation Protected Securities Portfolio (IRA)	A	Dividend	K	T	Sold (part)	07/26/13	J	A	
31.					Sold (part)	10/25/13	K	A	
32. DFA 1-Yr. Fixed Income Portfolio (IRA)	A	Dividend	M	T	Sold (part)	01/04/13	J	A	
33.					Sold (part)	01/14/13	J		
34.					Buy (add'l)	01/29/13	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	01/29/13	J		
36.					Buy (add'l)	02/26/13	J		
37.					Sold (part)	06/06/13	J	A	
38.					Buy (add'l)	07/26/13	J	A	
39.					Sold (part)	09/04/13	K	A	
40.					Buy (add'l)	09/25/13	J		
41.					Sold (part)	10/25/13	K	A	
42.					Sold (part)	12/17/13	K	A	
43.					Buy (add'l)	12/31/13	J		
44. DFA International Core Equity Portfolio (IRA)	A	Dividend	K	T	Sold (part)	04/05/13	J	A	
45.					Sold (part)	07/26/13	J	B	
46.					Sold (part)	09/25/13	J	A	
47. DFA Large Cap Int'l Portfolio (IRA)	A	Dividend	J	T	Sold (part)	04/05/13	J	A	
48.					Sold (part)	07/26/13	J	A	
49.					Sold (part)	09/25/13	J	A	
50. DFA U.S. Core Equity 2 Portfolio (IRA)	A	Dividend	K	T	Sold (part)	02/26/13	J	A	
51.					Sold (part)	04/05/13	J	B	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Sold (part)	06/06/13	J	B	
53.					Sold (part)	07/26/13	J	B	
54.					Sold (part)	10/25/13	J	B	
55.					Sold (part)	12/31/13	J	B	
56. DFA U.S. Large Cap Value Portfolio (IRA)	A	Dividend	J	T	Sold (part)	01/29/13	J	A	
57.					Sold (part)	04/05/13	J	A	
58.					Sold (part)	06/06/13	J	A	
59.					Sold (part)	07/26/13	J	A	
60.					Sold (part)	10/25/13	J	A	
61.					Sold (part)	12/31/13	J	A	
62. DFA U.S. Targeted Value Portfolio (IRA)	A	Dividend	J	T	Sold (part)	02/26/13	J	A	
63.					Sold (part)	05/29/13	J	A	
64.					Sold (part)	07/26/13	J	A	
65.					Sold (part)	10/25/13	J	A	
66.					Sold (part)	12/31/13	J	A	
67. Verizon Common Stock		None	J	T					
68. State of Israel Bonds		None	J	T					

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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69. Lincoln Financial Group Fixed Acct. (403(B))		None	J	T					
70. LVIP Del Foundation Conservative Alloc. (403(B))		None	K	T					
71. LVIP Delaware Growth & Income (403(B))		None	K	T					
72. Lincoln Financial Group Fixed Acct. (403(B))		None	J	T					See Note, Section VIII
73. LVIP Del Foundation Conservative Alloc. (403(B))		None	J	T					See Note, Section VIII
74. LVIP Delaware Growth & Income (403(B))		None	J	T					See Note, Section VIII
75. CREF Money Market (403(B))		None	K	T					
76. CREF Growth Fund (403(B))		None	K	T					
77. Vanguard Total Stock Mkt Indx		None	J	T					
78. Chase CD		None	J	T					
79. Bank of America (Savings Accts)	A	Interest	J	T					See Note, Section VIII
80. Vanguard Growth Index Fund	A	Dividend	J	T					
81. Bank of America Money Mkt Acct.	A	Interest	L	T					
82. DFA Investment Grade Port (IRA) (X)		None	L	T	Buy	10/25/13	L		
83. Rental Property in Los Angeles, CA (Spouse's 1/3 Interest) (X)	E	Rent	N	Q					See Note, Section VIII
84. Real Property in Laguna Beach, CA (Spouse's 1/3 Interest) (X)		None	M	Q					See Note, Section VIII

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Item VII, No. 4. Effective September 16, 2013, Invesco American Franchise Fund acquired Invesco Constellation Fund, the fund listed on the previous year's Financial Disclosure Report.

Item VII, No. 5. Proceeds from the Money Market Reserves account are now "swept" on a periodic basis into an account at Charles Schwab Bank, N.A. which pays bank interest, the amount of which is included in the amount listed here.

Item VII, No. 7. Invesco VK Equity & Income Fund formerly was known as Invesco Basic Balanced Fund Common Stock.

Item VII, No. 11. Davis NY Venture Stock is held in accounts separate from the Davis NY Venture Stock held in an IRA, and identified as Section VII, Item 3.

Item VII, No. 12. Federated International Small Cap Fund Common Stock is held in accounts separate from the Federated International Small Cap Fund Common Stock held in an IRA, and identified as Section VII, Item 9.

Item VII, No. 14. American Washington Mutual Investors Fund, Inc. stock is held in separate account from the American Washington Mutual Investors Fund, Inc. Stock (IRA) identified in Section VII, Item No. 13.

Item VII, No. 16. Morgan Stanley Bank holds cash and money market funds, and interest on various mutual funds as well as money market funds, is "swept" into the account.

Item VII, No. 17. The account at Morgan Stanley Bank is separate from the accounts identified in Item VII, Nos. 16 and 18, and holds cash or money market funds.

Item VII, No. 18. The account at Morgan Stanley Bank is separate from the accounts identified in Item VII, Nos. 16 and 17, and holds cash or money market funds.

Item VII, No. 29. Dividends are periodically swept into this account, earning interest, prior to reinvestment.

Item VII, No. 72. The assets listed here are held in a separate account from those listed in Item VII, No. 69.

Item VII, No. 73. The assets listed here are held in a separate account from those listed in Item VII, No. 70.

Item VII, No. 74. The assets listed here are held in a separate account from those listed in Item VII, No. 71.

Item VII, No. 79. The assets are held in accounts separate from that listed in Item VII, No. 1.

Item VII, Nos. 83 and 84. The properties were appraised in a report dated April 8, 2013, valuing the interests as of December 27, 2012.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ RALPH ZAREFSKY**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544