

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ERDMANN, CHARLES E.	2. Court or Organization U S COURT OF APPEALS - ARMED FORCES	3. Date of Report 05/22/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ASSOCIATE JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address C/O LOPACH & CARPARELLI, PC 863 GREAT NORTHERN BOULEVARD, SUITE 202 HELENA, MT 59601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

ERDMANN, CHARLES E.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2013	STATE OF MONTANA RETIREMENT INCOME
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. GENWORTH FINANCIAL ANNUITY	A	Interest	J	T	Buy (add'l)	12/31/13	J		
2. NORTHWESTERN MUTUAL LIFE INS - CASH VALUE OF POLICIES	A	Dividend	J	T	Buy (add'l)	12/31/13	J		
3. CAPITAL ONE BANK - CHECKING & SAVINGS	A	Interest	J	T	Buy (add'l)	12/31/13	J		
4. AMERICAN FEDERAL SAVINGS BANK - CHECKING & SAVINGS	A	Interest	J	T	Buy (add'l)	12/31/13	J		
5. CHARLES SCHWAB IRA	D	Int./Div.	L	T					
6. - SCHWAB MM CASH									
7. - AMERICAN CENTURY EQUITY GROWTH FUND					Buy (add'l)	12/10/13	J		
8. - HARDING LOEVNER EMERGING MARKETS FUND					Buy (add'l)	12/20/13	J		
9. - PERKINS MID CAP VALUE FUND					Buy (add'l)	12/19/13	J		
10. - UMB SCOUT INTERNATIONAL FUND					Buy (add'l)	12/20/13	J		
11. U S TRUST IRA	D	Int./Div.	N	T					
12. - U S TRUST IRA MONEY MARKET ACCOUNT					Redeemed (part)	09/18/13	L		
13. - BERKSHIRE HATHAWAY INC CL B									
14. - COLUMBIA DIVIDEND INCOME FUND									
15. - COLUMBIA SELECT LARGE CAP GROWTH FUND					Buy (add'l)	12/12/13	J		
16. - COLUMBIA SHORT TERM BOND FUND					Sold	09/18/13	K	A	
17. - COLUMBIA SMALL CAP GROWTH FUND									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - JANUS INVESTMENTS ENTERPRISE FUND									
19. - PIMCO TOTAL RETURN FUND									
20. - PRUDENTIAL SHORT-TERM CORP BOND FUND					Buy	09/18/13	K		
21. - HUSSMAN INVT STRATEGIC GROWTH HEDGE FUND					Sold	01/25/13	K	A	
22. - PERMANENT PORTFOLIO HEDGE FUND									
23. - LAZARD EMERGING MKTS FUND					Buy (add'l)	12/26/13	J		
24. - PIMCO EMERGING LOCAL BOND FUND					Sold	06/12/13	J	A	
25. - PIMCO FOREIGN BOND FUND									
26. - FIDELITY ADVISOR FLOATING RATE HIGH INCOME FUND					Buy	09/18/13	J		
27. - PIMCO ALL ASSET FUND					Buy (add'l)	01/25/13	J		
28. - PRUDENTIAL GLOBAL REAL ESTATE FUND					Buy	09/18/13	J		
29. - THORNBURG INT'L VALUE FUND									
30. - VANGUARD FTSE DEVELOPED MARKETS ETV					Buy	09/18/13	J		
31. - SPDR GOLD TR GOLD SHARES									
32. - VANGUARD FTSE EMERGING MARKETS ETF					Buy	01/29/13	J		
33. U S TRUST JOINT AGENCY ACCOUNT	D	Int./Div.	N	T					
34. - BANK OF AMERICA MONEY MARKET FUND					Redeemed (part)	01/09/13	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - COLUMBIA SHORT TERM MUNICIPAL BOND FUND									
36. - COLUMBIA DIVIDEND INCOME FUND									
37. - COLUMBIA SELECT LARGE CAP GROWTH FUND					Buy (add'l)	12/12/13	J		
38. - COLUMBIA SMALL CAP GROWTH FUND									
39. - JANUS INVESTMENTS ENTERPRISE FUND									
40. - COLUMBIA INTERMEDIATE MUNI BOND FUND									
41. - THORNBURG INT'L VALUE FUND									
42. - LAZARD EMERGING MARKETS PORTFOLIO FUND					Buy (add'l)	12/26/13	J		
43. - PIMCO ALL ASSET FUND									
44.									
45.									
46.									
47.									

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2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ CHARLES E. ERDMANN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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