

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Duffy, Patrick M.	2. Court or Organization U.S. District Court	3. Date of Report 01/17/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address P.O. Box 835 Charleston, SC 29402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. SC Bar Association

01/19/17

Greenville, South Carolina

Attending annual meeting

Hotel

2. Kansas Bar Association

01/31/17 - 02/03/17

Kansas City, Kansas

Speaking at Kansas Legal
Revitalization Conference

Airfare & Hotel

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Credit Line	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Edward Jones Money Market Fund Account		None			Redeemed	11/28/17	J		
2. Edward Jones Money Market Fund Account		None			Redeemed	11/28/17	J		
3. Edward Jones Money Market Fund Account		None			Redeemed	11/28/17	J		
4. Charles Schwab Money Market Fund Account	A	Interest	J	T	Open	11/28/17	J		
5. Charles Schwab Money Market Fund Account	A	Interest	J	T	Open	11/28/17	J		
6. 1-26/78 Associate LLC ,purchase date 12/30/88, purchase price \$60,000		None	L	R					
7. SouthState Bank	A	Interest	J	T					
8. Bank of America	A	Interest	J	T					
9. American FD Cap Strategic BD		None	J	T	Buy	12/14/17	J		
10. Bridge Builder Core Bond		None			Sold	12/01/17	J	A	
11. Bridge Builder Core Plus Bond		None			Sold	12/01/17	J	A	
12. Bridge Builder Large Growth		None			Sold	12/01/17	J	A	
13. Bridge Builder Smallmid Growth		None			Sold	12/01/17	J	A	
14. American FD Cap World BD CL3	A	Dividend	J	T					
15. American FD Cap World BD CL F1	A	Dividend	J	T	Buy	12/14/17	J		
16. CBA Aggressive Growth Fund CL F I		None			Sold	12/14/17	J	A	
17. Credit Suisse Commodity Return Strategy Fund		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. DFA Emerging Mkts Value	A	Dividend	J	T					
19. Dodge & Cox Intl Stock Fund		None			Sold	12/01/17	J		
20. Dodge & Cox Income Fund	A	Dividend	J	T	Buy	03/27/17	J		
21. American FD Europacific	A	Dividend	J	T					
22. Hartford Dividend & Growth I	A	Dividend	J	T					
23. Invesco Global Real Estate Fund CL Y		None			Sold	12/01/17	J	A	
24. Invesco Comstock CL R6	A	Dividend	J	T	Buy	03/27/17	J		
25. Janus Henderson Flexible Bond Fund CL I		None			Sold	12/01/17	J	A	
26. Jensen Quality Growth Fund CL I	A	Dividend	J	T					
27. JHancock Intl Growth CL I	A	Dividend	J	T	Buy	12/14/17	J		
28. JPMorgan Fed Money Market Instl Cl		None			Sold	12/01/17	J	A	
29. JPMorgan Short Duration Bond Fund		None			Sold	12/01/17	J	A	
30. JPMorgan Short Duration Bond Fund		None			Sold	12/01/17	J	A	
31. MFS International Value Fund CL I	A	Dividend	J	T					
32. MFS Value Fund CL I		None			Sold	03/27/17	J	A	
33. Victory Integrity Small Cap Value Fund	A	Dividend	J	T	Buy	05/23/17	J		
34. Neuberger Berman High Income Bond Fund Inst CL	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Prudential Total Return Bond Fund CL Z	A	Dividend	J	T					
36. T Rowe Price Dividend Growth Fund	A	Dividend	J	T					
37. T Rowe Price Overseas Stock Fund	A	Dividend	J	T					
38. T Rowe Price Mid Cap Value Fund	A	Dividend	J	T					
39. Victory Integrity Small Cap Value Fund CI Y	A	Dividend	J	T					
40. American FD Cap World BD CL 3	A	Dividend	J	T					
41. Bridge Builder Core Bond		None			Sold	12/01/17	J	A	
42. Bridge Builder Core Plus Bond		None			Sold	12/01/17	J	A	
43. Bridge Builder Large Growth		None			Sold	12/01/17	J	A	
44. Bridge Builder Smallmid Growth		None			Sold	12/01/17	J	A	
45. CBA Aggressive Growth Fund CL I		None			Sold	12/01/17	J	A	
46. Credit Suisse Commodity Return Strategy Fund		None	J	T					
47. DFA Emerging Markets Value Fund	A	Dividend	J	T					
48. Dodge & Cox Intl Stock Fund	A	Dividend	J	T					
49. American FD Europacific	A	Dividend	J	T					
50. MFS International Value Fund CL 1	A	Dividend	J	T					
51. Hartford Dividend & Growth Fund CL 1	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Invesco Global Real Estate Fund	A	Dividend	J	T					
53. Janus Henderson Flexible Bond Fund CL 1	A	Dividend	J	T					
54. Jensen Quality Growth Fund CL 1	A	Dividend	J	T					
55. JP Morgan Federal Money Market Fund Institutional CL		None	J	T					
56. JP Morgan Short Duration Bond Fund Select CL	A	Dividend	J	T					
57. MFS Intl Value Fund CL R6	A	Dividend	J	T					
58. Neuberger Berman Income FDS High Income Bond Fund Instl	A	Dividend	J	T					
59. Prudential Total Return Bond Fund CL Z	A	Dividend	J	T					
60. T Rowe Price Dividend Growth Fund	A	Dividend	J	T					
61. T Rowe Price Intl Stock Fund	A	Dividend	J	T					
62. T Rowe Price Mid Cap Value Fund	A	Dividend	J	T					
63. Future Scholar - Columbia Moderate Growth Alt A	A	Int./Div.	J	T					
64. Future Scholar - Columbia Moderate Aggr Trk A	A	Int./Div.	J	T					
65. Future Scholar - Columbia Grwth A AggrTrack	A	Int./Div.	J	T					
66.									
67.									
68.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part I - Doonbeg Foundation dissolved in 2017. The dissolution was carried out over several months during 2017.
2. Lines 1-3, Edward Jones Money Market Fund Accounts were redeemed and switched to Charles Schwab as noted on lines 4-5.
3. Line 6 , I-26/78 Associates LLC purchased 12/30/88 for the purchase price of \$60,000
4. Lines 14 & 40, Capital World Bond Fund C1 1 name changed to American FD Cap World BD CL F3
5. Lines 18 & 47, Dimensional Emerging Markets Value Fund name changed to DFA emerging Mkts Value.
6. Lines 21 & 49, Europacific Growth Fund CL F2 name changed to American FD Europacific Gwth FD CL F3.
7. Lines 37 & 61, T Rowe Price Intl FDS Inc Intl Stock Fund name changed to T Rowe Price Overseas Stock Fund I.
8. Lines 63-65 combined Future Scholar 529 Plans since all investments were in same account.

Response to 2016 Part VII, lines 55 and 59:

The transactions concerning Ridgeworth Funds Total Return Bond Fund and Templeton Global Bond Fund were incorrectly reported, I should have reported the non-reportable sale of each of these assets, they are no longer held by me in 2017. Please consider this an amendment to my 2016 report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Patrick M. Duffy**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544