

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gruender, Raymond W.	2. Court or Organization Eighth Circuit Court of Appeals	3. Date of Report 03/18/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 111 South Tenth Street Suite 23.365 St. Louis, MO 63102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Advisor	W.R. Persons Charitable Trust (advise on charities to receive funding; no control over investment assets)
2.	Board of Directors	Variety Club of St. Louis (no control over investment assets)
3.	National Council	Washington University School of Law (no control over investment assets)
4.	Board of Advisors	St. Louis Lawyers Chapter - Federalist Society
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012

Buck Consultants - Salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. UMB Bank (checking accounts)	A	Interest	K	T					
2. Bank of America common stock	A	Dividend	J	T					
3. Citigroup common stock	A	Dividend	J	T					
4. Exxon Mobil common stock	A	Dividend	J	T					
5. General Electric common stock	A	Dividend	J	T					
6. Goldman Sachs common stock	A	Dividend	K	T					
7. Macy's Inc. common stock	A	Dividend	J	T					
8. Microsoft common stock	A	Dividend	J	T					
9. Newscorp LTD common stock	A	Dividend	J	T					
10. Oracle common stock	A	Dividend	J	T					
11. Proctor & Gamble common stock	A	Dividend	J	T					
12. UnitedHealth Group common stock	A	Dividend	J	T					
13. Vertex Pharmaceuticals common stock		None	J	T	Sold (part)	05/17/12	J	D	
14. Scottrade money market--Scottrade Bank	A	Interest	L	T					
15. IRA -- Scottrade	B	Dividend	M	T					
16. --Invesco American Fran (f/k/a Invesco Van Kampen Am Fr Fd)									
17. --Invesco Technology Fund									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. --AIM Small Cap Growth Fund									
19. --Fidelity Advisor Growth Opportunities									
20. --GAMCO Global Growth Fund									
21. --Gabelli Value Fund									
22. --Janus Enterprise Fund									
23. --Janus Research Fund									
24. --MFS Growth Fund									
25. --MFS Massachusetts Investors Growth Stock Fund									
26. --Putnam International Equity Fund									
27. --Putnam Multi-Cap Growth Fund									
28. --T. Rowe Price Blue Chip Growth Fund									
29. --T. Rowe Price Science and Technology Fund									
30. --American Funds; Small Cap World Fund									
31. --Scottrade Money Market -- U.S. Bank									
32. Towers Watson (f/k/a Towers-Perrin) Savings Plan	A	Dividend	N	T					
33. --Vanguard Total Bond Mkt. Index					Buy	01/03/12	K		
34. --Blackrock US TIPS					Buy	01/03/12	K		

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35. --Vanguard Institutional Index					Buy	01/03/12	L		
36. --Vanguard Extended Mkt Index					Buy	01/03/12	K		
37. --Vanguard REIT Index					Buy	01/03/12	J		
38. --Vanguard Total International Stock Index					Buy	01/03/12	K		
39. Aon Savings Plan	A	Dividend	M	T					
40. --U.S. Large Co. Value (a/k/a Dodge & Cox Stock Portfolio)									
41. --Aon Common Stock									
42. --U.S. All Co Grwth (a/k/a Vanguard Capital Opp)									
43. --Foreign All Co (a/k/a American Funds - EuroPacific Grth)									
44. --U.S. Large Co. Index (f/k/a Vanguard S&P 500 Index)									
45. --U.S. Mid/Small Co Index (a/k/a Vanguard Extended Mkt Fd)									
46. --Diversified Bond (a/k/a PIMCO Total Return Fund)									
47. --Dwight Asset Stable Value Portfolio (f/k/a Money Market)									
48. Irrevocable Trust u/a 11/17/03	A	Dividend	M	T					
49. --Vanguard Tax Exempt Money Market									
50.									
51.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Raymond W. Gruender**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544