

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BERGER, ROBERT D.	2. Court or Organization U.S. BANKRUPTCY COURT DISTRICT OF KANSAS	3. Date of Report 05/16/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 125 ROBERT J. DOLE U.S. COURTHOUSE 500 STATE AVENUE KANSAS CITY, KS 66101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 11/12/15	Matthew Bender, author's fee for legal treatise	\$2,400.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Association of Consumer Bankruptcy Attorneys	04/23/2015-04/26/2015	Chicago, IL	Non-FJC Educational Seminar - Bankruptcy CLE speaker	Lodging, food, airfare, mileage, parking, misc. expenses
2. National Association of Chapter Thirteen Trustees	07/01/2015-07/05/2015	Salt Lake City, UT	Non-FJC Educational Seminar - Bankruptcy CLE speaker	Lodging, food, airfare, mileage, parking, misc. expenses
3. National Conference of Bankruptcy Judges	09/26/2015-09/30/2015	Miami, FL	Non-FJC Educational Seminar - Bankruptcy CLE speaker	Lodging (partial)
4. Kansas Bar Association	04/09/2015	Wichita, KS	Non-FJC Educational Seminar - Bankruptcy CLE speaker	Lodging, mileage, misc. expenses

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. WELLS FARGO/MONEY MARKET ACCOUNTS	A	Interest	J	T					
2. CAPITOL FEDERAL	A	Interest	L	T					
3. IRON COUNTY, MICHIGAN (LAND, ONE ACRE)		None	J	W					
4. OPPENHEIMER GOLD & SPL A OPGSX**	A	Dividend	J	T					
5. OPPENHEIMER DEVELOPING MARKETS A OADMX**	A	Dividend	J	T					
6. OPPENHEIMER EQUITY INCOME FUND A OAEIX**	A	Dividend	J	T					
7. PHILLIPS 66 PSX	A	Dividend			Sold	08/21/15	J	A	
8. PUTNAM CAPITAL SPECTRUM FUND PVSYX	A	Dividend			Sold	10/13/15	J	A	
9. UNDER ARMOUR UA		None			Sold	08/21/15	J	A	
10. NORDSTROM'S JWN	A	Dividend			Sold	06/05/15	J	A	
11. CERNER CERN	A	Dividend			Sold	06/05/15	J	A	
12. STRATASYS LTD SSYS		None			Sold	11/15/15	J	A	
13. DASSAULT SYSTEMES DASTY		None			Sold	06/05/15	J	A	
14. 3D SYSTEMS DDD		None			Sold	11/11/15	J	A	
15. NINTENDO NTDOY	A	Dividend			Buy	01/13/15	J		
16. NINTENDO NTDOY	A	Dividend			Sold	04/23/15	J	C	
17. XLE ENERGY	A	Dividend			Buy	06/08/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. XLE ENERGY	A	Dividend			Sold	08/21/15	J	A	
19. MAINGATE MLP FUND	A	Dividend			Buy	06/08/15	J		
20. MAINGATE MLP FUND	A	Dividend			Sold	10/23/15	J	A	
21. OPPENHEIMER GOLD FUND	A	Dividend			Buy	06/08/15	J		
22. OPPENHEIMER GOLD FUND	A	Dividend			Sold	10/23/15	J	A	
23. PRO SHARES DOW - DOG		None	J	T	Buy	08/21/15	J		
24. PRO SHARES SHORT STP - SH		None	J	T	Buy	09/18/15	J		
25. PRO SHARES SHORT QQQ - PSQ		None	J	T	Buy	07/08/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

ASSETS MARKED WITH TWO ASTERISKS (**), PART VII, INVESTMENTS AND TRUSTS, LINES 4-6, ARE OWNED BY MEMBERS OF REPORTING PERSON'S FAMILY.

ON OCCASION, OPTIONS (CALLS) WERE SOLD ON SOME OF THE STOCKS LISTED.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ ROBERT D. BERGER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544