

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Davis, Mark S.	2. Court or Organization U.S. District Court, Eastern District of Virginia	3. Date of Report 07/08/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address United States District Court Walter E. Hoffman United States Courthouse 600 Granby Street, Suite 132 Norfolk, VA 23510		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

- | | | |
|----|---------|--|
| 1. | Visitor | Regent University School of Law Board of Visitors, an advisory board to the Dean of the law school with no policy-making authority |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

- | | | |
|----|------|---|
| 1. | 2003 | Virginia Retirement System (reflects continued assets in VRS from service as Virginia judge from 2003-2008) |
| 2. | | |
| 3. | | |

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Davis, Mark S.

Date of Report

07/08/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012

BB&T Bank - salary and bonuses

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Davis, Mark S.

Date of Report

07/08/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Davis, Mark S.

Date of Report

07/08/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Nationwide Life Insurance Whole Life Policy	A	Dividend	K	T					
2. Lincoln National Annuity	A	Dividend	J	T					
3. IRA #1	C	Dividend	M	T					
4. -UBS Pace Money Mkt Invest. Fund Class P (See Sec. VIII)					Sold	12/05/12	J	A	
5. -PIMCO Total Return Fund Class A					Sold	12/24/12	K	B	
6. -Ivy Asset Strategy Fund Class A					Sold	12/24/12	J	B	
7. -Blackrock Inflation Protected Bond A					Sold	12/24/12	J	B	
8. -Delaware Diversified Income Fund Class A					Sold	12/24/12	J	B	
9. -FT Templeton Global Bond A					Sold	12/24/12	J	B	
10. -FT Franklin US Gov't Sec A					Sold	12/24/12	J	B	
11. -PIMCO Investment Grade Corporate Bond Fund Class A					Sold	12/24/12	J	B	
12. -JP Morgan Highbridge Stat Market Neutral Fund A					Sold	12/24/12	J	A	
13. -Rydex/SGI Managed Futures Strategy Fund A					Sold	11/13/12	J	A	
14. -Blackrock Global Allocation Fund Inc. A					Sold	12/24/12	K	C	
15. -Oakmark Equity & Income Fund Class I					Sold	12/24/12	K	C	
16. -T. Rowe Price Equity Income Fund (X)		None	K		Buy	12/28/12	K		
17. -T. Rowe Price International Discovery Fund (X)		None	J		Buy	12/28/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Davis, Mark S.

Date of Report

07/08/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -T. Rowe Price New American Fund (X)		None	K		Buy	12/28/12	K		
19. -T. Rowe Price New Horizons Fund (X)		None	J		Buy	12/28/12	J		
20. -T. Rowe Price Small Cap Value Fund (X)		None	J		Buy	12/28/12	J		
21. -T. Rowe Price Spectrum International Fund (X)		None	K		Buy	12/28/12	K		
22. -T. Rowe Price Prime Reserve (X)		None	J		Buy	12/26/12	J		
23. Towne Bank Account	A	Interest	K	T					
24. Metlife Whole Life Policy	A	Dividend	K	T					
25. Raymond James Financial Services									
26. -Capital Income Builder Fund Class A- American Funds M/F	A	Dividend	J	T					
27. -Fundamental Investors Fund Class A- American Funds M/F	A	Dividend	J	T					
28. -Growth Fund of America Class A- American Funds M/F	A	Dividend	J	T					
29. -Income Fund of America Class A- American Funds M/F	A	Dividend	J	T					
30. -Smallcap World Fund Class A-American Funds M/F	A	Dividend	J	T					
31. IRA #2 (See Part VIII)		None	J	T					
32. -American Funds New Perspective Fund A					Sold	12/24/12	J	A	
33. -T. Rowe Price Prime Reserve (X)					Buy	12/24/12	J		
34. BB&T Bank Accounts (See Part VIII)	B	Interest	N	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Davis, Mark S.

Date of Report

07/08/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Vanguard Windsor II Fund Inv	A	Dividend			Sold	10/15/12	K	D	
36. Vanguard Prime Money Market Fund	A	Interest			Sold	10/15/12	J	A	
37. IRA #3 (See Part VIII)	A	Dividend	L	T					
38. -Fidelity Magellan					Sold	10/15/12	L	E	
39. -T. Rowe Price New Horizons Fund (X)					Buy	12/17/12	K		
40. -T. Rowe Price Small Cap Value Fund (X)					Buy	12/17/12	K		
41. Retirement #1 - 401(k) (See Part VIII)	E	Dividend	O	T					
42. -Deposit Account (BB&T) (See Part VIII)					Buy (add'l)	09/14/12	K		
43.					Sold (part)	11/26/12	K		
44. -Sterling Select Equity Fd C1 1									
45. -Vanguard Institutional Index					Buy (add'l)	02/03/12	K		
46. -Sterling Mid-Cap Value									
47. -Sterling Mid-Cap Growth (Y) (See Part VIII)									
48. -Sterling International Equity					Sold	01/31/12	K		
49. -Sterling Special Opps Equity									
50. -BB&T Common Stock Fund					Sold (part)	09/14/12	K		
51. -T. Rowe Price Mid Cap Growth (X)					Buy	11/26/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Davis, Mark S.

Date of Report

07/08/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. -Fidelity Contrafund (X) (See Part VIII)					Buy	03/18/11	K		
53. -Harbor International Fund (X)					Buy	01/31/12	K		
54. Retirement #2 (See Part VIII)	B	Dividend	M	T					
55. -Deposit Account (BB&T) (See Part VIII)	A	Dividend	L	T	Sold (part)	11/29/12	K		
56. -Harbor International Fund (X)	B	Dividend	K	T	Buy	11/29/12	K		
57. Colonial REMIC	A	Dividend	J	T					
58. BB&T stock	C	Dividend	M	T	Buy (add'l)	02/20/12	J		
59. T. Rowe Price Virginia Tax Free Bond #1	A	Dividend	K	T					
60. T. Rowe Price Virginia Tax Free Bond #2	B	Interest	L	T	Buy (add'l)	01/17/12	J		
61.					Buy (add'l)	03/01/12	K		
62.					Buy (add'l)	10/25/12	K		
63. Virginia College Bldg Muni Bond	A	Interest	K	T					
64. Wells Fargo Account	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Davis, Mark S.

Date of Report

07/08/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII, Line 4 - We liquidated this fund.

Section VII, Line 31 - This was reflected on the 2011 report as "Roth IRA"

Section VII, Line 34 - Aggragated all BB&T bank accounts which were listed separately on the 2011 report.

Section VII, Line 37 - This was reflected on the 2011 report as "Fidelity Magellan IRA" which was sold during this reporting period.

Section VII, Line 41 - This was reflected on the 2011 report as "BB&T 401(k)."

Section VII, Line 42 - This was reflected on the 2011 report as "BB&T 1 year BIC." The name was changed by the plan.

Section VII, Line 47 - This fund was sold in 2011 and that sale should have been listed on the 2011 report.

Section VII, Line 52 - This fund was purchased on 3/18/11. It was mistakenly omitted from the 2011 report.

Section VII, Line 54 - This was reflected on the 2011 report as "BB&T Non-Qualified Plan."

Section VII, Line 55 - This was reflected on the 2011 report as "BB&T 1-year BIC." The name was changed by the plan.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Davis, Mark S.

Date of Report

07/08/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mark S. Davis**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544