

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Newman, Michael J.	2. Court or Organization United States District Court, Southern District of Ohio	3. Date of Report 05/01/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 200 West Second Street, Room 505 Dayton, Ohio 45402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Directors	Cincinnati Public Radio, Inc.
2. Board of Directors & Treasurer	Federal Bar Association
3. Board of Directors & Chapter President	Federal Bar Association, Dayton Chapter
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1991	United States Government Thrift Savings Plan; pension upon retirement
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2014

Oakwood City Schools - part time teacher

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Federal Bar Association

March 27, 2014 -
March 29, 2014

Arlington, VA

Federal Bar Association -
Mid Year Meeting

Transportation, meals, hotel

2. Federal Judiciary

April 7, 2014 - April
9, 2014

Cambridge, MA

FJC Seminar

Transportation, meals, hotel

3. Federal Bar Association

July 24, 2014 - July
25, 2014

Arlington, VA

Federal Bar Association

Transportation, meals, hotel

4. Federal Bar Association

September 5, 2014 -
September 9, 2014Providence, RI and
Portland MEFederal Bar Association -
Annual Meeting

Transportation, meals, hotel

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo Bank	Primary Mortgage; Rental property #1; Beach Haven N.J. (See Part VII Line 28)	O
2.	CitiBank	Secondary Morgage; Rental property #1; Beach Haven, N.J.	None
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Account #1									
2. Gabelli Asset Fund	A	Dividend	J	T					
3. iShares Trust MSCI EAFE Index	A	Dividend	J	T					
4. The India Fund, Inc. Aberdeen Asset Management	A	Dividend	J	T					
5. SPDR S&P 500 ETF Trust	A	Dividend	J	T					
6. Vanguard Total stock Market ETF	A	Dividend	J	T					
7. Private Bank Deposit Account	A	Interest	J	T					
8. Vanguard FTSE All World Ex-USETS	A	Dividend	J	T					
9. SPDR Euro Stoxx 50	A	Dividend	J	T	Buy	01/02/14	J		
10. SPDR Euro Stoxx 50	A	Dividend	J	T	Buy	11/21/14	J		
11. Brokerage Account #2									
12. Gabelli Asset Fund	A	Dividend	J	T					
13. iShares Trust MSCI EAFE Index	A	Dividend	J	T					
14. The India Fund, Inc. Aberdeen Asset Management	A	Dividend	J	T					
15. SPDR S&P 500 ETF Trust	A	Dividend	J	T					
16. Vanguard Total stock Market ETF	A	Dividend	J	T					
17. Private Bank Deposit Account	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Vanguard FTSE All World Ex-USETS	A	Dividend	J	T					
19. SPDR Euro Stoxx 50	A	Dividend	J	T	Buy	01/02/14	J		
20. SPDR Euro Stoxx 50	A	Dividend	J	T	Buy	11/21/14	J		
21. Brokerage Account #3									
22. Gabelli Asset Fund	A	Dividend	J	T					
23. iShares Trust MSCI EAFE Index	A	Dividend	J	T					
24. The India Fund, Inc. Aberdeen Asset Management	A	Dividend	J	T					
25. SPDR S&P 500 ETF Trust	A	Dividend	J	T					
26. Vanguard Total stock Market ETF	A	Dividend	J	T					
27. Private Bank Deposit Account	A	Interest	J	T					
28. Vanguard FTSE All World Ex-USETS	A	Dividend	J	T					
29. SPDR Euro Stoxx 50	A	Dividend	J	T	Buy	01/02/14	J		
30. SPDR Euro Stoxx 50	A	Dividend	J	T	Buy	11/21/14	J		
31. Rental property #1 Beach Haven, NJ	F	Rent	P1	W					
32. 1/2 interest in [REDACTED] farm, Laura, Ohio	D	Rent	N	W					
33.									
34.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.									
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Michael J. Newman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544