

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ellis-Monro, Barbara J.	2. Court or Organization U.S. Bankruptcy Court, Northern District of Georgia	3. Date of Report 10/11/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge - active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2011 to 8/24/2012
7. Chambers or Office Address 75 Spring St., SW, Rm. 1430 Atlanta, GA 30303		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Employee (title, Partner - no ownership interest)	Ogier, Rothschild, Rosenfeld & Ellis-Monro, PC f/k/a Ellenberg, Ogier, Rothschild & Rosenfeld, PC
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. June, 2012	Partner/employees of Ogier, Rothschild, Rosenfeld & Ellis-Monro, PC; collection of fees generated prior to departure, full payout to filer by 12/31/13
2. May, 2010	Ellenberg, Ogier, Rothschild & Rosenfeld, PC PS Retirement Plan; 401k with former firm, no control
3. Jan. 2003	Smith, Gambrell & Russell, LLP Partner-Staff 401(k) PS Plan; 401k with former firm, no control

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012 ytd	Ogier, Rothschild, Rosenfeld & Ellis-Monro, PC n/k/a Ogier, Rothschild & Rosenfeld, PC; wages for practicing attorney	\$100,000.00
2. 2011	Ellenberg, Ogier, Rothschild & Rosenfeld, PC; wages for practicing attorney	\$115,291.86
3. 2010	Ellenberg, Ogier, Rothschild & Rosenfeld, PC; wages for practicing attorney	\$132,207.98
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Turner Services, Inc. salary
2. 2011	Turner Services, Inc. salary
3. 2011	Marble Slab Brand & Marketing independent contractor payment
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Associated Credit Union Accounts	A	Int./Div.	M	T	Exempt				
2. Northwestern Mutual Whole Life	C	Dividend	L	T	Exempt				
3. Path 2 College 529 Plan - TIAA CREF Balanced Option Plan	A	Dividend	J	T	Exempt				
4. Brokerage Account #1 - Northwestern Mutual account									
5. American Funds Inc Fnd of Amr A	B	Dividend	K	T	Exempt				
6. Brokerage Money Market		None	J	T	Exempt				
7. New Perspective Fund - A	A	Dividend	J	T	Exempt				
8. The Bond Fund of Amercia - A	A	Int./Div.	J	T	Exempt				
9. The Growth Fund of America - A	A	Dividend	J	T	Exempt				
10. The Investment Company of America - A	B	Dividend	K	T	Exempt				
11. Washington Mutual Investors Fund - A	C	Dividend	K	T	Exempt				
12. IRA #1 - Northwestern Mutual									
13. Brokerage Money Market		None	J	T	Exempt				
14. New Perspective Fund - A	A	Dividend	J	T	Exempt				
15. The Growth Fund of America - A	A	Dividend	J	T	Exempt				
16. The Investment Company of Amercia - A	A	Dividend	J	T	Exempt				
17. Washington Mutual Investors Fund - A	B	Dividend	J	T	Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. IRA #2 Northwestern Mutual IRA									
19. American Funds Inc Fnd of Amr A	B	Dividend	J	T	Exempt				
20. Brokerage Money Market		None	J	T	Exempt				
21. New Perspective Fund -A	A	Dividend	J	T	Exempt				
22. The Bond Fund of America - A	A	Int./Div.	J	T	Exempt				
23. The Investment Co. of America - A	A	Dividend	J	T	Exempt				
24. Washington Mutual Investors Fund - A	B	Dividend	J	T	Exempt				
25. Smith Gambrell & Russell LLP Partner Staff 401(k)	C	Dividend	N	T	Exempt				
26. -DFA US Large Cap Value III									
27. -Vanguard Growth Index Instl									
28. -DFA US Targeted Value I									
29. -Vanguard Mid-Cap Growth Index Inv									
30. -Vanguard Small Cap Growth Index Inv									
31. -DFA Emerging Markets Core Equity									
32. -DFA Int'l Small Company I									
33. -DFA Large Cap Int'l									
34. -DFA Int'l Real Estate Sec I									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -DFA Real Estate Securities I									
36. -DFA Five Year Global Fixed Income									
37. -DFA Inflation - Protection Securities									
38. -DFA One Year Fixed Income I									
39. Time Warner Savings 401(k)	A	Int./Div.	M	T	Exempt				
40. -Fidelity Capital Preservation									
41. -DFA Emrg Mkt Core Equity									
42. -Dodge & Cox stock									
43. -Fidelity Bond Index									
44. -Fidelity Diversified Bond									
45. -Fidelity Int'l Index									
46. -Fidelity S&P 500 Index									
47. -Fidelity Small Cap Blend									
48. -Fidelity Small Cap Index									
49. Ogier Rothschild Rosenfeld, PC 401k	B	Int./Div.	K	T	Exempt				
50. -Vanguard Capital Oppty Fnd Admiral Shares									
51. -Vanguard Extended Market Index Fnd Admiral Shares									

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52. -Vanguard Global Equity Fnd									
53. -Vanguard High-Yield Corp Fnd Admiral									
54. -Vanguard Int'l Growth Fnd Admiral Shares									
55. -Vanguard Prime Money Market Fnd									
56. -Vanguard REIT Index Fnd Admiral Shares									
57. -Vanguard Selected Value Fnd									
58. -Vanguard US Growth Fnd Admiral Shares									
59. -T. Rowe Price Dividend Growth Fund									
60. -T. Rowe Price New Horizons Fnd									
61. -T. Rowe Price Int'l Stock Fnd									
62. Time Warner Stock Options	C	Distribution	J	T	Exempt				
63. Accounts Receivable Ogier, Rothschild & Rosenfeld, PC		None	M	U	Exempt				
64. US Treasury Bonds	A	Interest	J	T	Exempt				
65. Guardian Life Ins. Co. of America whole life	D	Dividend	L	T	Exempt				
66.									
67.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. Investments and Trusts:

Amended to correct line 21 to show income from dividends not distributions.

Amended to disclose ownership of 6 \$100.00 US Treasury Bonds that were forgotten in initial disclosure filed 9/21/12. See new line 64.

Amended to disclose ownership of 2 whole life insurance policies and their cash value that were forgotten in initial disclosure filed 9/21/12. See new line 65.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Barbara J. Ellis-Monro**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544