

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                          |                                                                  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>CHABOT, HERBERT L.                                                                                                    | <b>2. Court or Organization</b><br><br>UNITED STATES TAX COURT                                                                                                                                                                                                                           | <b>3. Date of Report</b><br><br>05/12/2014                       |
| <b>4. Title</b> (Article III judges indicate active or senior status;<br>magistrate judges indicate full- or part-time)<br><br>JUDGE, SENIOR STATUS                                        | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2013<br>to<br>12/31/2013 |
| <b>7. Chambers or Office Address</b><br><br>400 SECOND STREET, N.W.<br>WASHINGTON, D. C. 20217                                                                                             |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |
| 5. |  |  |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|    |  |
|----|--|
| 1. |  |
| 2. |  |
| 3. |  |

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

CHABOT, HERBERT L.

Date of Report

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME  
(yours, not spouse's)

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

Montgomery Co. Pub. Sch.--subst. Teacher--sal.

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

5. \_\_\_\_\_

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

CHABOT, HERBERT L.

Date of Report

05/12/2014

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Ares Capital stock                                                                                                       | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. Time Warner stock                                                                                                        | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. BankAmerica stock                                                                                                        | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 11/05/13                | J                               |                                |                                                                   |
| 4. Verizon stock                                                                                                            | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. J.P. Morgan Chase stock                                                                                                  | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. Exelon stock                                                                                                             | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. Consumers Energy pfd stock                                                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. Diebold stock                                                                                                            | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. Federal Realty Invest. Tr. stock                                                                                         | D                                       | Dividend                                      | N                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. Macy's stock                                                                                                            | B                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 11. Ford stock                                                                                                              | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. Johnson & Johnson stock                                                                                                 | D                                       | Dividend                                      | N                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. Mid-America Apts REIT stock                                                                                             | B                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 14. NL Industries stock                                                                                                     | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 15. Ventas stock                                                                                                            | C                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 16. Nestle ADSs                                                                                                             | D                                       | Dividend                                      | M                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 17. Sprint-Nextel stock                                                                                                     |                                         | None                                          | J                                               | T                                         | Sold<br>(part)                                  | 07/11/13                | J                               | C                              |                                                                   |

|                                                  |                                                                                         |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|-----------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                         | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$250,000,001 - \$500,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                         | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

CHABOT, HERBERT L.

Date of Report

05/12/2014

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                          |   |          |   |   |     |          |   |  |  |
|----------------------------------------------------------|---|----------|---|---|-----|----------|---|--|--|
| 18. Occidental Petroleum stock                           | B | Dividend | L | T |     |          |   |  |  |
| 19. AT&T stock                                           | B | Dividend | K | T |     |          |   |  |  |
| 20. Templeton Dragon Fd Stock                            | C | Dividend | L | T |     |          |   |  |  |
| 21. General Mills stock                                  | C | Dividend | M | T |     |          |   |  |  |
| 22. Travelers stock                                      | B | Dividend | M | T |     |          |   |  |  |
| 23. Invesco Van Kampen Tax-Exempt Muni. Fd. -----C stock | D | Interest | M | T |     |          |   |  |  |
| 24. Marsh & McLennan stock                               | A | Dividend | K | T |     |          |   |  |  |
| 25. McGraw Hill stock                                    | B | Dividend | L | T |     |          |   |  |  |
| 26. Paychex stock                                        | B | Dividend | L | T |     |          |   |  |  |
| 27. General Electric stock                               | B | Dividend | L | T | Buy | 02/18/13 | K |  |  |
| 28. Mosaic stock                                         | A | Dividend | J | T |     |          |   |  |  |
| 29. ConocoPhillips stock                                 | D | Dividend | M | T |     |          |   |  |  |
| 30. National Muni--Blackrock--CL Fund stock              | C | Interest | M | T |     |          |   |  |  |
| 31. Franklin-Templeton Templeton-A Foreign Fund stock    | D | Dividend | M | T |     |          |   |  |  |
| 32. Capital One, N.A.                                    | A | Interest | M | T |     |          |   |  |  |
| 33. SunTrust Bank                                        | A | Interest | M | T |     |          |   |  |  |
| 34. Wells Fargo Bank                                     | A | Interest | L | T |     |          |   |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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Name of Person Reporting

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|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                         |   |          |   |   |                |          |   |  |  |
|---------------------------------------------------------|---|----------|---|---|----------------|----------|---|--|--|
| 35. (H) Rollover IRA (UBS)                              |   |          |   |   |                |          |   |  |  |
| 36. UBS Bank USA Deposit Acct.                          | A | Interest | K | T |                |          |   |  |  |
| 37. Carnival stock                                      | A | Dividend | K | T |                |          |   |  |  |
| 38. Templeton Foreign Fund stock                        | B | Dividend | M | T | Sold<br>(part) | 11/08/13 | J |  |  |
| 39. Bank America stock                                  | A | Dividend | J | T |                |          |   |  |  |
| 40. (H) Other IRAs                                      |   |          |   |   |                |          |   |  |  |
| 41. Capital One, N.A. Lincoln Financial Group           | C | Interest | L | T |                |          |   |  |  |
| 42. Amphenol class A stock                              | A | Dividend | M | T |                |          |   |  |  |
| 43. Intel stock                                         | A | Dividend | J | T |                |          |   |  |  |
| 44. IBM stock                                           | B | Dividend | L | T |                |          |   |  |  |
| 45. Southwest Airlines stock                            | A | Dividend | J | T |                |          |   |  |  |
| 46. Comcast-A stock                                     | A | Dividend | K | T |                |          |   |  |  |
| 47. (H) Rollover IRA (UBS)                              |   |          |   |   |                |          |   |  |  |
| 48. American Funds--Capital Income Builder--<br>Class A | A | Dividend | J | T |                |          |   |  |  |
| 49. Automatic Data Processing stock                     | A | Dividend | K | T |                |          |   |  |  |
| 50. Centurylink stock                                   | B | Dividend | K | T |                |          |   |  |  |
| 51. HanesBrands stock                                   | A | Dividend | J | T |                |          |   |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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Name of Person Reporting

CHABOT, HERBERT L.

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|                                                      |   |          |   |   |      |          |   |   |  |
|------------------------------------------------------|---|----------|---|---|------|----------|---|---|--|
| 52. Tim Horton's stock                               | B | Dividend | L | T |      |          |   |   |  |
| 53. Broadridge Financial stock                       | A | Dividend | J | T |      |          |   |   |  |
| 54. Rollover IRA (UBS)--Ventas stock                 | B | Dividend | K | T |      |          |   |   |  |
| 55. Carnival stock                                   | A | Dividend |   |   | Sold | 11/05/13 | K |   |  |
| 56. Time-Warner Cable stock                          | A | Dividend | K | T |      |          |   |   |  |
| 57. U.S. Bancorp pfd B stock                         | B | Dividend | K | T |      |          |   |   |  |
| 58. McKesson stock                                   | A | Dividend | K | T |      |          |   |   |  |
| 59. CVS-Caremark stock                               | A | Dividend | L | T |      |          |   |   |  |
| 60. DE US stock                                      |   |          |   |   | Sold | 01/10/13 | K | C |  |
| 61. Danaher stock                                    | A | Dividend | K | T |      |          |   |   |  |
| 62. Phillips 66 stock                                | B | Dividend | L | T |      |          |   |   |  |
| 63. Union Pacific stock                              | A | Dividend | K | T |      |          |   |   |  |
| 64. C.B.O.E.                                         | A | Dividend | J | T | Buy  | 11/05/13 | J |   |  |
| 65. Dick's Sporting Goods                            | A | Dividend | K | T | Buy  | 07/11/13 | K |   |  |
| 66. Franklin-Templeton Global Bond Fund--<br>Class A | B | Dividend | K | T | Buy  | 02/05/13 | L |   |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII of Report: Sprint-Nextel: The transaction was in response to a tender offer.

American Funds Capital Income Builder:

In a confusing series of transactions the Class B shares were converted to Class A shares.

Thereafter, the management of that IRA was changed from Capital One to UBS.

Thereafter, the American Funds Capital Income builder Class B Shares were folded into the Rollover IRA (UBS).

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ **HERBERT L. CHABOT**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544