

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wallace, Mark S.	2. Court or Organization United States Bankruptcy Court, Central Dist. of California	3. Date of Report 05/8/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 411 West Fourth Street Santa Ana, CA 92701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Revocable Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1991	401(k) Profit Sharing Plan of Stutman, Treister & Glatt PC (self directed, otherwise no control)
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 22

Name of Person Reporting

Wallace, Mark S.

Date of Report

05/8/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	Pre-appointment salary as an attorney at Stutman, Treister & Glatt PC	\$64,310.75
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Association of Insolvency and Restructuring Advisors	June 7-12, 2011	Boston, MA	Annual conference	Transportation, meals, lodging, 5k run, Freedom Trail historic tour
2. National Conference of Bankruptcy Judges	October 11-15, 2011	Tampa, FL	Annual conference	Meals, lodging, 5k run
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 22

Name of Person Reporting

Wallace, Mark S.

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05/8/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Los Angeles Bankruptcy Forum	Contribution to reception for investiture	\$750.00
2.	Orange County Bankruptcy Forum	Contribution to reception for investiture	\$750.00
3.	Inland Empire Bankruptcy Forum	Contribution to reception for investiture	\$750.00
4.	Central District Consumer Bankruptcy Attorneys Association	Contribution to reception for investiture	\$750.00
5.	Stutman, Treister & Glatt PC	Contribution to reception for investiture	\$2000.00

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Credit card	K
2.	Wells Fargo Advisors	Liabilities on short sale transactions	K
3.	Jefferies Bache	Liabilities on commodity and futures positions in excess of cash posted for margin	J
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 22

Name of Person Reporting

Wallace, Mark S.

Date of Report

05/8/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Advisors Money Market Fund	A	Dividend	K	T					
2. CHK common stock	A	Dividend			Sold	3/17/11	K	C	
3. CVX common stock	A	Dividend			Sold	03/17/11	K	C	
4. SRS units	A	Distribution	J	T					
5. MOS common stock	A	Dividend			Sold	03/17/11	J	B	
6. WDTI units	A	Distribution	J	T					
7. US Treasury Bond	A	Interest	K	T					
8. FT GLHA1 REIN units	A	Distribution			Sold	08/24/11	J	B	
9. SDD units	A	Distribution	J	T					
10. SRS units	A	Distribution	J	T					
11. Wells Fargo Bank Deposit	A	Interest	K	T					
12. ABX common stock	A	Dividend	J	T					
13. American Century Capital Preservation Fund	A	Dividend	K	T					
14. American Century Global Gold Fund	B	Dividend	K	T					
15. American Capital Capital Preservation Fund	A	Dividend	J	T					
16. UBS USA Deposit Account	A	Interest	J	T					
17. XOM common stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 5 of 22

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18. MOS common stock	A	Dividend			Sold	03/17/11	J	B	
19. PWE units	A	Dividend	J	T					
20. Eaton Vance Buy Write Fund	A	Distribution			Sold	08/10/11	J	A	
21. GDX units	A	Distribution	J	T					
22. NLY units	B	Dividend	J	T					
23. ABX common stock	A	Dividend	J	T					
24. COP common stock	A	Dividend	J	T					
25. ECA common stock	A	Dividend	J	T					
26. iShares Investment Grade Corporate Bond Fund	A	Distribution	K	T					
27. iShares TIPs Bond Fund	A	Distribution	K	T					
28. Loomis Sayles Investment Grade Bond Fund	A	Distribution	J	T					
29. US Treasury obligations	B	Interest	M	T					
30. Federated Prudent Bear Fund	A	Distribution	L	T					
31. PHYS units		None	J	T					
32. Physical gold		None	M	T					
33. US Double Eagle coins		None	M	T					
34. Physical silver		None	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 6 of 22

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35. Bank of America savings account	A	Interest	K	T					
36. Bank of America checking account	A	Interest	J	T					
37. Union Bank checking account	A	Interest	J	T					
38. Chase Bank checking account	A	Interest	J	T					
39. Chase Bank savings account	A	Interest	J	T					
40. VXX units	A	Distribution	J	T					
41. SDD units	A	Distribution	J	T					
42. ABX common stock	A	Dividend	J	T					
43. XOM common stock	A	Dividend	J	T					
44. US Treasury obligations	A	Interest	K	T					
45. Federated Prudent Bear Fund	A	Distribution	K	T					
46. Vanguard Ginnie Mae Fund	A	Distribution	K	T					
47. Vanguard Inflation Index Fund	A	Distribution	K	T					
48. Rydex Inverse S&P500x Strategy Fund	A	Distribution	K	T					
49. American Century Capital Preservation Fund	A	Distribution	L	T					
50. UBS U.S. deposit account	A	Distribution	J	T					
51. US. Treasury obligations	A	Interest	M	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 22

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Wallace, Mark S.

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52. Dreyfus 100% USTreasury Money Market Fund	A	Distribution	K	T					
53. Fidelity US Treasury Money Market Fund	A	Distribution	L	T					
54. Rydex US Government Money Market Fund	A	Distribution	K	T					
55. Union Bank VRSA account	A	Interest	K	T					
56. Jefferies commodities trading account	A	Interest	L	T	Sold (part)	11/17/11	L	A	
57. US Savings Bonds	A	Interest	K	W					
58. Northwestern Mutual Life Insurance Policy	A	Dividend	K	W					
59. Wells Fargo cash deposit sweep	A	Interest	L	T	Buy	11/17/11	L		
60. 50 ISRG	A	Dividend			Sold	05/17/11	J		
61.					Buy	12/28/11	J		
62. 1 June emini S&P		None			Sold	02/24/11	J		
63.					Buy	03/29/11	J		
64. 1 May copper		None			Sold	02/24/11	J		
65.					Buy	03/10/11	J	C	
66. 1 June Dollar Index		None			Buy	02/24/11	J		
67.					Sold	06/08/11	J		
68. 2 June T-Bonds		None			Buy	03/09/11	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 22

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69.					Sold	03/29/11	J	B	
70. 1 June Dollar Index		None			Buy	03/09/11	J	A	
71.					Sold	06/08/11	J		
72. 100 GMCR		None			Sold	03/10/11	J		
73.					Buy	06/14/11	J		
74. 1 June gold		None			Sold	03/11/11	J		
75.					Buy	03/29/11	J		
76. 1 June emini S&P		None			Sold	03/11/11	J		
77.					Buy	06/08/11	J	A	
78. 1 May copper		None			Sold	03/16/11	J		
79.					Buy	04/27/11	J		
80. 1 May copper		None			Sold	03/17/11	J		
81.					Buy	04/27/11	J	B	
82. 1 May cotton		None			Sold	03/18/11	J		
83.					Buy	04/05/11	J	C	
84. 400 LQD	B	Distribution			Buy	03/24/11	K		
85. 200 CXA	A	Distribution			Buy	03/24/11	J		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 22

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86.	1 July cotton		None		Sold	04/07/11	J		
87.					Buy	04/11/11	J	B	
88.	2 July oats		None		Sold	04/11/11	J		
89.					Buy	05/03/11	J	D	
90.	1 July coffee		None		Sold	04/13/11	J		
91.					Buy	05/20/11	J	D	
92.	1 July siliver		None		Sold	04/18/11	J		
93.					Buy	05/04/11	J		
94.	1 July oat		None		Sold	04/18/11	J		
95.					Buy	05/03/11	J	C	
96.	1 September silver		None		Buy	04/19/11	J		
97.					Sold	05/04/11	J	E	
98.	2 July copper		None		Sold	04/27/11	J		
99.					Buy	06/08/11	J	D	
100.	2 September crude oil		None		Buy	04/27/11	J		
101.					Sold (part)	05/02/11	J		
102.					Sold (part)	05/04/11	J		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 22

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103. 1 June palladium		None			Sold	04/28/11	J		
104.					Buy	05/04/11	J	B	
105. 1 July mini silver		None			Sold	04/28/11	J		
106.					Buy	05/04/11	J	D	
107. 1 July soybean		None			Sold	05/02/11	J		
108.					Buy	06/22/11	J	C	
109. 1 September natural gas		None			Buy	05/03/11	J		
110.					Sold	08/19/11	J		
111. 1 July coffee		None			Sold	05/03/11	J		
112.					Buy	05/20/11	J	E	
113. 1 June Dollar Index		None			Buy	05/04/11	J		
114.					Sold	06/08/11	J	A	
115. 1 June Dollar Index		None			Buy	05/05/11	J		
116.					Sold	06/08/11	J		
117. 2 December live cattle		None			Sold	05/05/11	J		
118.					Buy	07/06/11	J		
119. 2 July oats		None			Sold	05/05/11	J		

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Page 11 of 22

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120.					Buy	06/08/11	J		
121. 1 June emini S&P		None			Sold	05/05/11	J		
122.					Buy	06/08/11	J	C	
123. 1 December wheat		None			Sold	05/16/11	J		
124.					Buy	06/08/11	J		
125. 1 September natural gas		None			Buy	05/11/11	J		
126.					Sold	08/19/11	J		
127. 1 July copper		None			Sold	05/16/11	J		
128.					Buy	06/17/11	J		
129. 1 September T-Bond		None			Sold	05/20/11	J		
130.					Buy	08/08/11	J		
131. 1 September coffee		None			Sold	05/23/11	J		
132.					Buy	06/20/11	J	D	
133. 2 September oats		None			Sold	05/23/11	J		
134.					Buy	06/23/11	J	B	
135. 1 September palladium		None			Sold	06/06/11	J		
136.					Buy	06/16/11	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 22

Name of Person Reporting

Wallace, Mark S.

Date of Report

05/8/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. 5 September 2012 Eurodollars		None			Sold	06/15/11	J		
138.					Buy	09/23/11	J		
139. 1 September natural gas		None			Buy	06/16/11	J		
140.					Sold	08/19/11	J		
141. 3 December copper		None			Sold	06/17/11	K		
142.					Buy (add'l)	07/05/11	J		
143.					Buy (add'l)	09/23/11	J	E	
144. 2 September emini S&P		None			Sold	06/08/11	J		
145.					Buy	06/23/11	J	A	
146. 4 September Dollar Indexes		None			Buy	06/08/11	J		
147.					Sold	09/06/11	J	D	
148. 2 December corn		None			Buy	06/08/11	J		
149.					Sold	07/12/11	J		
150. 1 October cotton		None			Buy	06/23/11	J		
151.					Sold	08/09/11	J		
152. 1 September wheat		None			Buy	06/23/11	J		
153.					Sold	06/30/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 22

Name of Person Reporting

Wallace, Mark S.

Date of Report

05/8/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. 3 September emini S&P		None			Sold	06/27/11	J		
155.					Buy	08/01/11	J		
156. 1 December cotton		None			Sold	06/30/11	J		
157.					Buy	07/18/11	J	D	
158. 5 September corn		None			Buy	07/12/11	J		
159.					Sold	08/30/11	J	E	
160. 5 December corn		None			Sold	07/12/11	J		
161.					Buy	08/30/11	J		
162. 2 September soybean		None			Buy	07/15/11	J		
163.					Sold	08/30/11	J	D	
164. 2 November soybean		None			Sold	07/15/11	J		
165.					Buy	08/30/11	J		
166. 1 September Dollar Index		None			Buy	07/15/11	J		
167.					Sold	09/06/11	J	A	
168. 1 September crude oil		None			Sold	08/02/11	J		
169.					Buy	08/03/11	J	B	
170. 1 December gold		None			Sold	08/04/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 14 of 22

Name of Person Reporting

Wallace, Mark S.

Date of Report

05/8/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy	08/08/11	J		
172. 1 September T-Bond		None			Sold	08/05/11	J		
173.					Buy	08/09/11	J		
174. 1 September emini S&P		None			Sold	08/05/11	J		
175.					Buy	08/05/11	J	B	
176. 1 March 2012 corn		None			Buy	08/05/11	J		
177.					Sold	08/09/11	J		
178. 2 December oats		None			Sold	08/09/11	J		
179.					Buy	09/23/11	J	A	
180. 200 COP	A	Dividend			Buy	08/10/11	J		
181.					Sold	10/25/11	J	B	
182. 1 September emini S&P		None			Sold	08/10/11	J		
183.					Buy	08/11/11	J		
184. 1 September emini S&P		None			Sold	08/12/11	J		
185.					Buy	09/19/11	J		
186. 1 December T-Bond		None			Sold	09/06/11	J		
187.					Buy	09/23/11	J		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 15 of 22

Name of Person Reporting

Wallace, Mark S.

Date of Report

05/8/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. 1 December coffee		None			Sold	08/18/11	J		
189.					Buy	09/23/11	J	D	
190. 1 December emini S&P		None			Sold	09/08/11	J		
191.					Buy	09/23/11	J	C	
192. 1 September emini S&P		None			Sold	08/19/11	J		
193.					Buy	08/19/11	J		
194. 1 September emini S&P		None			Sold	09/20/11	J		
195.					Buy	09/23/11	J	C	
196. 5 December Dollar Indexes		None			Buy	09/06/11	J		
197.					Sold	09/23/11	J	D	
198. 1 December emini S&P		None			Sold	09/27/11	J		
199.					Buy	10/04/11	J	C	
200. 1 December T-Bond		None			Buy	09/27/11	J		
201.					Sold	10/04/11	J	C	
202. 1 December Dollar Index		None			Buy	09/27/11	J		
203.					Sold	12/13/11	J	B	
204. 1 December emini S&P		None			Sold	09/28/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 22

Name of Person Reporting

Wallace, Mark S.

Date of Report

05/8/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy	10/04/11	J	C	
206. 1 December Dollar Index		None			Buy	10/03/11	J		
207.					Sold	12/13/11	J	A	
208. 1 December Dollar Index		None			Buy	09/29/11	J		
209.					Sold	12/13/11	J	B	
210. 1 December emini S&P		None			Sold	10/03/11	J		
211.					Buy	11/01/11	J		
212. 2 March 2012 corn		None			Buy	10/04/11	J		
213.					Sold	10/05/11	J	B	
214. 1 December silver		None			Buy	10/04/11	J		
215.					Sold	10/06/11	J	B	
216. 100 CMG		None			Sold	10/04/11	J		
217.					Buy	12/28/11	K		
218. 300 MCD	A	Dividend			Buy	10/04/11	K		
219. 3 March 2012 sugar		None	J	T	Sold	10/05/11	J		
220. 2 December Dollar Indexes		None			Buy	10/05/11	J		
221.					Sold	12/13/11	J	A	

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 17 of 22

Name of Person Reporting

Wallace, Mark S.

Date of Report

05/8/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. 2 December oats		None			Sold	10/05/11	J		
223.					Buy	11/30/11	J		
224. 2 April 2012 natural gas		None			Buy	08/19/11	J		
225.					Sold	09/23/11	J		
226. 1 March 2012 natural gas		None	J	T	Buy	10/17/11	J		
227. 1 January 2012 crude oil		None			Sold	10/24/11	J		
228.					Buy	11/01/11	J	A	
229. 200 DIA	A	Distribution	K	T	Buy	10/25/11	K		
230. 1 December mini silver		None			Sold	10/26/11	J		
231.					Buy	10/27/11	J		
232. 2 December oats		None			Sold	09/30/11			
233.					Buy	11/30/11	J		
234. 1 February 2012 crude oil		None	J	T	Sold	11/07/11	J		
235. 100 GMCR		None	J	T	Sold	06/16/11	J		
236.					Buy	11/10/11	J	C	
237. 3 September 2012 Eurodollars		None	J	T	Sold	11/16/11	J		
238. 1 December emini S&P		None			Sold	11/17/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 18 of 22

Name of Person Reporting

Wallace, Mark S.

Date of Report

05/8/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Buy	12/13/11	J		
240. 2 May 2012 orange juice		None	J	T	Sold	11/23/11	J		
241. 1 March 2012 coffee		None	J	T	Sold	11/23/11	J		
242. 1 February 2012 crude oil		None	J	T	Sold	11/25/11	J		
243. 4 March 2012 oats		None	J	T	Sold	11/30/11	J		
244. US Treasury strip	A	Interest	J	T	Redeemed (part)	11/15/11	K		
245. 1 March natural gas		None	J	T	Buy	12/14/11	J		
246. 1 December emini S&P		None			Sold	10/06/11	J		
247.					Buy	12/13/11	J		
248. 6 March 2012 Dollar Indexes		None	J	T	Buy	12/13/11	J		
249. 2 March 2012 emini S&P		None	J	T	Sold	12/13/11	J		
250. US Treasury Note	A	Interest			Redeemed (part)	02/15/11	J		
251.									
252.									
253.									
254.									
255.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 22

Name of Person Reporting

Wallace, Mark S.

Date of Report

05/8/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.									
257.									
258.									
259.									
260.									
261.									
262.									
263.									
264.									
265.									
266.									
267.									
268.									
269.									
270.									
271.									
272.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 22

Name of Person Reporting

Wallace, Mark S.

Date of Report

05/8/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273.									
274.									
275.									
276.									
277.									
278.									
279.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 22

Name of Person Reporting

Wallace, Mark S.

Date of Report

05/8/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Many of the reported transactions are short sales. In such a transaction, the sale precedes the purchase. Gain or loss is realized when the short sale is closed out by purchasing the stock or commodity that was sold short. The audit function in the software fails to take these short sales into account and therefore generates a host of misleading "error" messages. For example, if a June emini S&P contract is sold on April 10, 2011 and bought on June 1, 2011, the transaction closes out on June 1, 2011 and nothing is owned as of the close of the reporting period on December 31, 2011.

Many of the reported transactions involve commodity, currency index or stock index futures contracts. In such a transaction, there is no "purchase price" as such. Instead, the buyer (or seller) posts cash margin to secure his promise to buy or sell at maturity. The liability on futures contracts can vary from day to day based upon daily price limits regarding how much a price for the underlying commodity, currency index or stock index can rise or fall in a single day. Some contracts have no limits. Therefore, the liability theoretically could be infinite.

For open futures positions at the end of the year I have reported the unrealized gain or loss in the contract as of the close of business on December 31, 2011.

Revocable Trust #1 no longer has a beneficial interest in any assets.

FINANCIAL DISCLOSURE REPORT

Page 22 of 22

Name of Person Reporting

Wallace, Mark S.

Date of Report

05/8/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Mark S. Wallace

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544