

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lewis, Mary G.	2. Court or Organization United States District Court, South Carolina	3. Date of Report 05/08/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 201 Magnolia Street Spartanburg, South Carolina 29306		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.	Member	Quincunx, LLC
3.	Trustee	Trust #2
4.	Member	L&B Holdings, LLC
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2013	Agreement with L&B Holding, LLC. See VIII on the 2012 Financial Disclosure Report for terms
2. 2013	Participant in the Lewis, Babcock & Griffin, LLP 401(K) Plan
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	L&B Holdings, LLC - Income Dreived from practice of law prior to investiture; not subject to 15% rule.	\$3,348.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Lewis, Babcock & Griffin, LLP - Income derived from practice of law
2. 2013	L&B Holdings, LLC - Income derived from practice of law
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America (Merrill Lynch (Wealth Management)	Line of Credit	N
2.	American Express	Credit Card	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Geiger Partnership, partnership interest with no control	A	Distribution	M	W					
2. Quincunx, LLC. member of LLC (H)	D	Distribution	L	W					
3. - Rental office building & land, Columbia, SC									
4. Congaree Hunt Club, partnership interest	A	Distribution	M	W					
5. BB&T Common Stock	A	Dividend	J	T					
6. Investment Rental Property, Columbia, SC	D	Rent	L	W					
7. Investment Property, Georgetown, SC		None	O	W					
8. Investment Property, Georgetown, SC		None	O	W					
9. Investment Rental Property, Columbia, SC	E	Rent	O	W					
10. Investment Rental Property, Columbia, SC	E	Rent	M	W					
11. Investment Rental Property, Columbia, SC	D	Rent	M	W					
12. Trust #1 (H)									
13. - Coca Cola Com					Sold (part)	11/12/13	M	E	
14.	D	Dividend	M	T					
15. - Fairpoint Communications Inc		None	J	T					
16. - INTL Business Machines Corp IBM	C	Dividend	M	T					
17. - SPDR INDEX SHS FDS					Buy	8/22/13	L		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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18.	A	Dividend	L	T					
19. - VANGUARD GROWTH ETF					Buy (add'l)	11/12/13	L		
20.					Buy	7/15/13	K		
21.	A	Dividend	M	T					
22. - BLACKROCK GLOBAL LONG SHORT CREDIT FUND CL I	A	Dividend	K	T					
23. - FIRST EAGLE GLOBAL CLASS I					Buy (add'l)	6/10/13	J		
24.	B	Dividend	M	T					
25. - GOLDMAN SACHS RISING DIVIDEND GROWTH FD INSTL	B	Dividend	M	T					
26. - GOLDMAN SACHS STRATEGIC INCOME FUND CL I					Buy	10/09/13	L		
27.	A	Dividend	L	T					
28. - JP MORGAN STRATEGIC INCOME OPP FUND					Buy	10/09/13	L		
29.	A	Dividend	L	T					
30. - LOOMIS SAYLES SR FLOAT RTE & FIXD INC FD CL Y					Buy (add'l)	06/24/13	K		
31.					Buy	02/12/13	L		
32.	D	Dividend	M	T					
33. - MAINSTAY MARKETFIELD FUND CL I	A	Dividend	M	T					
34. - T ROWE PRICE CAPITAL APPRECIATION FD RETAIL					Buy (add'l)	06/10/13	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.	B	Dividend	M	T					
36. - THORNBURG INVSMT INCOME BUILDER FUND CL I					Buy (add'l)	06/10/13	J		
37.	D	Dividend	M						
38. - UAM FPA CRESCENT PORT					Buy (add'l)	06/10/13	J		
39.	A	Dividend	M	T					
40. -KING CNTY WASH SCH DIST NO 411 ISSAQUAH RF	B	Interest	K	T					
41. - PHILADELPHIA PA SCH DIST LT A RF SCH GTD	B	Interest	K	T					
42. - CARROLL CNTY MD CONS PUB IMPTS OID PRF14 JUL04 03.875%AUG01 16	B	Interest	K	T					
43. - SEATTLE WASH LT RF JUL08 05.000%DEC01 14	A	Interest	K	T					
44. - ALPHARETTA GA DEV AUTH REV GA ST UNIV FNDTN RF MAY09 05.000%NOV01 16	A	Interest	K	T					
45. - GRAND STRAND WSA SC WWKS- SS RV C RF TAXABLE NOV11 02.862%JUN01 18	A	Interest	K	T					
46. - STEPUP RNG ACRU ISS BAC MAX CPN 8.35% DUE 1/18/2023 CALL	A	Interest	J	T					
47. -DOUBLELINE CR FXD IN I					Sold	02/12/13	L	B	
48.	A	Dividend							
49. - DOUBLELINE TOT RTRN BD I					Sold	10/09/13	M	A	
50.					Buy	2/12/13	L		

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51.	D	Dividend							
52. - EATON VNCE CMM STRT FD		None			Sold	06/10/13	K	A	
53. - ISHARES RUSSELL TOP 200					Sold	07/15/13	L	D	
54.	A	Dividend							
55. - MARKET VECTORS ETF TR		None			Sold	02/12/13	K	A	
56. - NEW YORK ST					Sold	06/17/13	K	A	
57.	A	Interest							
58. - RS FLOATING RATE FD					Sold	02/12/13	L	A	
59.	A	Dividend							
60. - SSGA ACTIVE ETF TR					Sold	08/22/13	L	A	
61.	C	Dividend							
62. - TOCQUEVILLE GOLD FUND		None			Buy	02/12/13	K		
63. - MAINSTAY MARKETFIELD FUND CL I	A	Dividend	M	T					
64. - PIMCO ALL ASSET ALL AUTHORITY FUND CL P	A	Dividend	K	T					
65. - ML BANK DEPOSIT PROGRAM	A	Interest	J	T					
66. - BEAUFORT CNTY SC	A	Interest	K	T					
67. - LEXINGTON CNTY SC SCH	B	Interest	K	T					

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68. - OIL CLIRN ISSUER BAC		None	J	T					
69. Trust #2 (H)									
70. - ML BANK DEPOSIT PROGRAM	A	Interest	J	T					
71. - AQR DIVERSIFIED ARBITRAGE FUND CL I					Buy (add'l)	05/24/13	J		
72.					Buy (add'l)	04/16/13	J		
73.	A	Dividend	J	T					
74. - BLACKROCK GLOBAL LONG SHORT CREDIT FUND CL I					Buy (add'l)	05/24/13	J		
75.					Buy (add'l)	04/16/13	J		
76.	A	Dividend	J	T					
77. - DOUBLELINE TOTAL RETURN BOND FUND CL I					Buy (add'l)	11/01/13	J		
78.					Sold (part)	09/27/13	J	A	
79.					Sold (part)	04/16/13	J	A	
80.					Buy (add'l)	02/11/13	J		
81.	A	Dividend	J	T					
82. - FAIRHOLME FUND					Sold (part)	07/18/13	J	A	
83.					Sold (part)	11/01/13	J	A	
84.					Sold (part)	04/16/13	J	A	

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85.	A	Dividend	J	T					
86. - FIDELITY ADVISOR BIOTECHNOLOGY FD CL I					Buy	07/18/13	J		
87.	A	Dividend	J	T					
88. - SPDR INDEX SHS FDS					Sold (part)	04/16/13	J	A	
89.					Buy (add'l)	05/21/13	J		
90.	A	Dividend	J	T					
91. - GOLDMAN SACHS RISING DIVIDEND GROWTH FD INSTL		None	J	T	Sold (part)	05/24/13	J	A	
92.					Sold (part)	04/16/13	J	A	
93.									
94. - GOLDMAN SACHS STRATEGIC INCOME FUND CL I					Buy	09/27/13	J		
95.	A	Dividend	J	T					
96. - JANUS SHORT TERM BOND FUND CL I					Buy	12/19/13	J		
97.	A	Distribution	J	T					
98. - JP MORGAN STRATEGIC INCOME OPP FUND					Buy	02/11/13	J		
99.	A	Distribution	J	T					
100. - KOPERNIK GLOBAL ALL CAP FUND INSTL CLASS		None	J	T	Buy	11/01/13	J		
101. - LOOMIS SAYLES SR FLOAT RTE & FIXD INC FD CL Y					Sold (part)	04/16/13	J	A	

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102.					Buy	02/11/13	J		
103.	A	Dividend	J	T					
104. - MATTHEWS ASIA DIVIDEND FUND INV CLASS					Sold (part)	04/16/13	J	A	
105.					Sold (part)	05/24/13	J	A	
106.	A	Dividend	J	T					
107. - MAINSTAY MARKETFIELD FUND CL I					Sold (part)	04/16/13	J	A	
108.	A	Dividend	J	T					
109. - THE OAKMARK INTL FUND					Buy	05/24/13	J		
110.	A	Dividend	J	T					
111. - OPPENHEIMER GOLD & SPECIAL MINERALS FD CL Y		None	J	T	Buy	12/20/13	J		
112. - T ROWE PRICE CAPITAL APPRECIATION FD RETAIL					Buy (add'l)	05/24/13	J		
113.	A	Dividend	J	T					
114. - DWS MANAGED MUNICIPAL BOND FUND CL S					Buy	07/18/13	J		
115.	A	Dividend	J	T					
116. - FIRST EAGLE GLOBAL CLASS I					Buy (add'l)	05/24/13	J		
117.	A	Dividend	J	T					
118. - THORNBURG INVSMT INCOME BUILDER FUND CL I					Sold	04/16/13	J	A	

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Name of Person Reporting

Lewis, Mary G.

Date of Report

05/08/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119.					Buy (add'l)	11/01/13	J		
120.					Buy (add'l)	05/24/13	J		
121.	A	Dividend	J	T					
122. - TEMPLETON GLOBAL TOTAL RETURN FUND CL ADV					Sold (part)	04/16/13	J	A	
123.					Buy	04/02/13	J		
124.	A	Dividend	J	T					
125. - VANGUARD GROWTH ETF					Sold (part)	11/01/13	J	A	
126.					Buy	06/20/13	J		
127.	A	Dividend	J	T					
128. - WELLS FARGO ABSOLUTE RETURN FD CL ADM					Buy	12/19/13	J		
129.	A	Dividend	J	T					
130.									
131. - DOUBLELINE TOT RTRN BD 1					Sold	02/11/13	J	A	
132.	A	Dividend							
133. - FID SH INT MUNI INC INST					Sold	07/18/13	J	A	
134.					Buy (add'l)	04/16/13	J		
135.	A	Dividend							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Lewis, Mary G.

Date of Report

05/08/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136. - FPA CRESCENT PORTFOLIO					Sold	05/24/13	J	A	
137.	A	Dividend							
138. - MARKET VECTORS ETF TR		None			Sold	02/11/13	J	A	
139. - SPDR GOLD TRUST		None			Sold	07/18/13	J	A	
140.					Buy (add'l)	04/16/13	J		
141. - GATEWAY FUND					Sold	05/24/13	J	A	
142.					Buy (add'l)	04/16/13	J		
143.	A	Dividend							
144. - ISHARES RUSSELL TOP 200 VALUE ETF					Sold	11/01/13	J	A	
145.					Sold (part)	07/18/13	J	A	
146.					Sold (part)	04/16/13	J	A	
147.					Buy (add'l)	05/24/13	J		
148.	A	Dividend							
149. - ISHARES RUSSELL TOP 200 GROWTH ETF					Sold	06/20/13	J	B	
150.					Sold (part)	04/16/13	J	A	
151.	A	Dividend							
152. - L SAYLES STRT INC CL Y					Sold	04/02/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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Name of Person Reporting

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153.	A	Dividend							
154. - PIMCO ALL ASSET ALL AUTHORITY FUND CL P					Sold	12/19/13	J	A	
155.					Buy (add'l)	04/16/13	J		
156.	A	Dividend							
157. - PRIN PRFD SEC FD CL P SECURITIES FUND CL P					Sold	02/11/13	J	A	
158.	A	Dividend							
159. - RS FLOATING RATE FD CL Y					Sold	02/11/13	J	A	
160.	A	Dividend							
161. - JPMRGN LGCP GRTH FD SEL					Sold	07/18/13	J	A	
162.					Buy (add'l)	04/16/13	J		
163.	A	Dividend							
164. - TOCQUEVILLE GOLD FUND		None			Sold	12/19/13	J	A	
165.					Sold (part)	05/24/13	J	A	
166.					Sold (part)	11/01/13	J	A	
167.					Buy (add'l)	04/16/13	J		
168.					Buy (add'l)	02/11/13	J		
169. - VANGUARD MSCI EMERGING					Buy (add'l)	04/14/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
170.					Buy	04/14/13	J		
171.	A	Dividend	J	T					
172. Individual Retirement Account (H)									
173. - AQR DIVERSIFIED ARBITRAGE FUND CL I					Sold (part)	12/23/13	J	A	
174.					Sold (part)	06/12/13	J	A	
175.					Buy (add'l)	05/24/13	J		
176.					Buy (add'l)	04/16/13	J		
177.	A	Dividend	J	T					
178. - BLACKROCK GLOBAL LONG SHORT CREDIT FUND CL I					Buy (add'l)	12/23/13	J		
179.					Sold (part)	06/12/13	J	A	
180.					Buy (add'l)	05/24/13	J		
181.					Buy (add'l)	04/16/13	J		
182.	A	Dividend	J	T					
183. - DB X-TRACKERS MSCI EAFE HEDGED EQUITY FUND		None	J	T	Buy	12/23/13	J		
184. - DOUBLELINE TOTAL RETURN BOND FUND CL I					Sold (part)	12/23/13	J	A	
185.					Buy (add'l)	11/01/13	J		
186.					Sold (part)	09/27/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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Name of Person Reporting

Lewis, Mary G.

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05/08/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
187.					Sold (part)	06/12/13	J	A	
188.					Sold (part)	04/16/13	J	A	
189.					Buy (add'l)	02/11/13	J		
190.	A	Dividend	J	T					
191. - FAIRHOLME FUND					Sold (part)	12/23/13	J	B	
192.					Sold (part)	11/01/13	J	A	
193.					Buy (add'l)	07/18/13	J		
194.					Sold (part)	06/12/13	J	A	
195.					Sold (part)	04/16/13	J	A	
196.	A	Dividend	J	T					
197. - SPDR INDEX SHS FDS		None	J	T	Buy (add'l)	12/23/13	J		
198. - UAM FPA CRESCENT PORT					Buy (add'l)	12/23/13	J		
199.					Sold (part)	05/24/13	J	A	
200.					Sold (part)	04/16/13	J	A	
201.	A	Dividend	J	T					
202. - GOLDMAN SACHS RISING DIVIDEND GROWTH FD INSTL					Buy (add'l)	12/23/13	J		
203.					Sold (part)	06/12/13	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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Name of Person Reporting

Lewis, Mary G.

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05/08/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
204.					Sold (part)	05/24/13	J	A	
205.					Sold (part)	04/16/13	J	A	
206.	A	Dividend	J	T					
207. - POPLAR FOREST PARTNERS FUND CL I		None	J	T	Buy	12/23/13	J		
208. - IVY ASSET STRATEGY FUND CL I		None	J	T	Buy	12/23/13	J		
209. - KOPERNIK GLOBAL ALL CAP FUND INSTL CLASS		None	J	T	Buy (add'l)	12/23/13	J		
210.					Buy	11/01/13	J		
211. - MATTHEWS ASIA DIVIDEND FUND INV CLASS					Sold (part)	12/23/13	J	A	
212.					Sold (part)	06/12/13	J	A	
213.					Sold (part)	05/24/13	J	A	
214.					Sold (part)	04/16/13	J	A	
215.	A	Dividend	J	T					
216. - MAINSTAY MARKETFIELD FUND CL I					Sold (part)	12/23/13	J	A	
217.					Sold (part)	06/12/13	J	A	
218.					Sold (part)	04/16/13	J	A	
219.	A	Dividend	J	T					
220. - NEUBERGER BERMAN EMERG MARKETS EQUITY FUND INST		None	J	T	Buy	12/23/13	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
221. - THE OAKMARK INTL FUND					Buy (add'l)	12/23/13	J		
222.					Sold (part)	06/12/13	J	A	
223.					Buy	05/24/13	J		
224.	A	Dividend	J	T					
225. - T ROWE PRICE CAPITAL APPRECIATION FD RETAIL					Sold (part)	12/23/13	J	A	
226.					Sold (part)	06/12/13	J	A	
227.					Buy (add'l)	05/24/13	J		
228.	A	Dividend	J	T					
229. - FIRST EAGLE GLOBAL CLASS I					Sold (part)	12/23/13	J	A	
230.					Sold (part)	06/12/13	J	A	
231.					Buy (add'l)	05/24/13	J		
232.	A	Dividend	J	T					
233. - TOCQUEVILLE GOLD FUND		None	J	T	Buy (add'l)	12/23/13	J		
234.					Sold (part)	11/01/13	J	A	
235.					Sold (part)	06/12/13	J	A	
236.					Sold (part)	05/24/13	J	A	
237.					Buy (add'l)	04/16/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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238.					Buy	02/11/13	J		
239. - THORNBURG INVSMT INCOME BUILDER FUND CL I					Sold (part)	12/23/13	J	A	
240.					Buy (add'l)	11/01/13	J		
241.					Sold (part)	06/12/13	J	A	
242.					Buy (add'l)	05/24/13	J		
243.					Sold (part)	04/16/13	J	A	
244.	A	Dividend	J	T					
245. - VANGUARD VALUE ETF					Buy	12/23/13	J		
246.	A	Dividend	J	T					
247. - VANGUARD GROWTH ETF					Sold (part)	12/23/13	J	A	
248.					Sold (part)	11/01/13	J	A	
249.					Buy	06/20/13	J		
250.	A	Dividend	J	T					
251. - WASATCH FRONTIER EMERG SMALL CNTRY FD INV CLASS					Buy	12/23/13	J		
252.	A	Dividend	J	T					
253. - WELLS FARGO ABSOLUTE RETURN FD CL ADM					Buy	12/23/13	J		
254.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

255. - VANGUARD MSCI EMERGING					Buy (add'l)	12/23/13	J		
256.					Buy (add'l)	06/20/13	J		
257.					Sold (part)	06/12/13	J	A	
258.					Buy	05/24/13	J		
259.	A	Dividend	J	T					
260. - AMERICA MOVIL SAB DE CV		None	J	T	Buy	12/23/13	J		
261. - DOUBLELINE TOT RTRN BD 1					Sold	02/11/13	J	A	
262.	A	Dividend							
263. - MARKET VECTORS ETF TR		None			Sold	02/11/13	J	A	
264. - SPDR GOLD TRUST		None			Sold	07/18/13	J	A	
265.					Sold (part)	06/12/13	J	A	
266.					Buy (add'l)	04/16/13	J		
267. - GATEWAY FUND					Sold	05/24/13	J	A	
268.					Buy (add'l)	04/16/13	J		
269.	A	Dividend							
270. - ISHARES RUSSELL TOP 200 VALUE ETF					Sold	12/23/13	J		
271.					Sold (part)	11/01/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Lewis, Mary G.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
272.					Sold (part)	07/18/13	J	A	
273.					Sold (part)	06/12/13	J	A	
274.					Buy (add'l)	05/24/13	J		
275.					Sold (part)	04/16/13	J	A	
276.	A	Dividend							
277. - ISHARES RUSSELL TOP 200 GROWTH ETF					Sold	06/20/13	J	A	
278.					Sold (part)	06/12/13	J	A	
279.					Sold (part)	04/16/13	J	A	
280.	A	Dividend							
281. - L SAYLES STRT INC CL Y					Sold	04/02/13	J	A	
282.	A	Dividend							
283. - PIMCO ALL ASSET ALL AUTHORITY FUND CL P					Sold	12/23/13	J	A	
284.					Sold (part)	06/12/13	J	A	
285.					Buy (add'l)	04/16/13	J		
286.	A	Dividend							
287. - PRIN PRFD SEC FD CL P SECURITIES FUND CL P					Sold	02/11/13	J	A	
288.	A	Dividend							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Lewis, Mary G.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
289. - RS FLOATING RATE FD CL Y					Sold	02/11/13	J	A	
290.	A	Dividend							
291. - JPMRGN LGCP GRTH FD SEL					Sold	12/23/13	J	A	
292.					Sold (part)	07/18/13	J	A	
293.					Sold (part)	06/12/13	J	A	
294.					Buy (add'l)	04/16/13	J		
295.	A	Dividend							
296. - FIA CARD SVS NA RASP		None	J	T					
297. Individual Retirement Account (H)									
298. - ACE LIMITED		None	J	T	Buy (add'l)	12/23/13	J		
299.					Buy	10/18/13	J		
300. - AQR DIVERSIFIED ARBITRAGE FUND CL I					Sold (part)	12/23/13	J	A	
301.					Buy (add'l)	05/24/13	J		
302.					Buy (add'l)	04/26/13	J		
303.					Buy (add'l)	04/16/13	J		
304.	A	Dividend	J	T					
305. - AUTOMATIC DATA PROC		None	J	T	Buy (add'l)	12/23/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Lewis, Mary G.

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05/08/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
306.					Buy	10/18/13	J		
307. - AQR MANAGED FUTURES STRATEGY FUND CL I		None	J	T	Buy	12/23/13	J		
308. - AMERICAN WTR WKS CO INC NEW					Buy (add'l)	12/23/13	J		
309.					Buy	10/18/13	J		
310.	A	Dividend	J	T					
311. - AMER EXPRESS COMPANY		None	J	T	Buy (add'l)	12/23/13	J		
312.					Buy	10/18/13	J		
313. - BLACKROCK GLOBAL LONG SHORT CREDIT FUND CL I					Buy (add'l)	12/23/13	J		
314.					Buy (add'l)	05/24/13	J		
315.					Buy (add'l)	04/26/13	J		
316.					Buy (add'l)	04/16/13	J		
317.	A	Dividend	J	T					
318. - CITIGROUP INC COM NEW		None	J	T	Buy	12/23/13	J		
319. - CARDINAL HEALTH INC OHIO		None	J	T	Buy	12/23/13	J		
320. - CATERPILLAR INC DEL		None	J	T	Buy	12/23/13	J		
321. - COMCAST CORP NEW CL A		None	J	T	Buy (add'l)	12/23/13	J		
322.					Buy	10/18/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$5,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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Lewis, Mary G.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

323. - CUMMINS INC COM		None	J	T	Buy	12/23/13	J		
324. - COSTCO WHOLESALE CRP DEL					Buy (add'l)	12/23/13	J		
325.					Buy	10/18/13	J		
326.	A	Dividend	J	T					
327. - COVIDIEN PLC SHS NEW		None	J	T	Buy	12/23/13	J		
328. - CVS CAREMARK CORP		None	J	T	Buy	12/23/13	J		
329. - DOMINION RES INC NEW VA		None	J	T	Buy	12/23/13	J		
330. - DB X-TRACKERS MSCI EAFE HEDGED EQUITY FUND		None	K	T	Buy	12/23/13	K		
331. - DOUBLELINE TOTAL RETURN BOND FUND CL I					Sold (part)	12/23/13	K	A	
332.					Buy (add'l)	11/01/13	K		
333.					Sold (part)	09/27/13	K	A	
334.					Buy (add'l)	04/26/13	K		
335.					Sold (part)	04/16/13	K	A	
336.					Buy (add'l)	02/11/13	K		
337.	A	Dividend	K	T					
338. - DIAGEO PLC SPSD ADR NEW		None	J	T	Buy	12/23/13	J		
339. - DRIEHAUS EMERGING MKTS SM CAP GROWTH FD CL NONE		None	J	T	Buy	12/23/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Lewis, Mary G.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

340. - FAIRHOLME FUND					Sold (part)	12/23/13	K	D	
341.					Sold (part)	11/01/13	K	A	
342.					Sold (part)	07/18/13	K	A	
343.					Buy (add'l)	04/26/13	K		
344.					Sold (part)	04/16/13	K	A	
345.	A	Dividend	K	T					
346. - FIDELITY ADVISOR BIOTECHNOLOGY FD CL I					Buy (add'l)	12/23/13	J		
347.					Buy	07/18/13	J		
348.	A	Dividend	J	T					
349. - FEDEXCORP DELAWARE COM		None	J	T	Buy	12/23/13	J		
350. - SPDR INDEX SHS FDS					Buy (add'l)	12/23/13	K		
351.					Buy (add'l)	05/24/13	K		
352.					Buy (add'l)	04/26/13	K		
353.					Sold (part)	04/16/13	K	A	
354.	A	Dividend	K	T					
355. - UAM FPA CRESCENT PORT					Buy (add'l)	12/23/13	J		
356.					Sold (part)	05/24/13	K	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Lewis, Mary G.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
357.					Buy (add'l)	04/26/13	K		
358.	A	Dividend	K	T					
359. - GOTHAM ABSOLUTE RETURN FUND INSTL CLASS		None	J	T	Buy	12/23/13	K		
360. - GOLDMAN SACHS RISING DIVIDEND GROWTH FD INSTL					Sold (part)	12/23/13	K	A	
361.					Sold (part)	05/24/13	K	A	
362.					Buy (add'l)	04/26/13	K		
363.					Sold (part)	04/16/13	K	A	
364.	A	Dividend	K	T					
365. - GOLDMAN SACHS STRATEGIC INCOME FUND CL I					Sold (part)	12/23/13	J	A	
366.					Buy	09/27/13	J		
367.	A	Dividend	J	T					
368. - HOME DEPOT INC					Buy (add'l)	12/23/13	J		
369.					Buy	10/18/13	J		
370.	A	Dividend	J	T					
371. - HESS CORP		None	J	T	Buy	12/23/13	J		
372. - HONEYWELL INTL INC DEL		None	J	T	Buy	12/23/13	J		
373. - HEWLETT PACKARD CO DEL		None	J	T	Buy	12/23/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
374. - FIA CARD SVS NA RASP		None	J	T					
375. - POPLAR FOREST PARTNERS FUND CL I		None	K	T	Buy	12/23/13	K		
376. - IVY ASSET STRATEGY FUND CL I		None	J	T	Buy	12/23/13	J		
377. - JANUS SHORT TERM BOND FUND CL I					Buy	12/23/13	J		
378.	A	Dividend	J	T					
379. - JP MORGAN STRATEGIC INCOME OPP FUND					Sold (part)	12/23/13	J	A	
380.					Buy (add'l)	04/26/13	J		
381.					Buy	02/11/13	J		
382.	A	Dividend	J	T					
383. - KOPERNIK GLOBAL ALL CAP FUND INSTL CLASS		None	K	T	Buy (add'l)	12/23/13	K		
384.					Buy	11/01/13	K		
385. - SPDR KBW REGIONAL BANKIN ETF					Buy (add'l)	12/23/13	J		
386.					Buy	07/18/13	J		
387.	A	Dividend	J	T					
388. - LOOMIS SAYLES SR FLOAT RTE & FIXD INC FD CL Y					Sold (part)	12/23/13	K	A	
389.					Buy (add'l)	04/26/13	K		
390.					Sold (part)	04/16/13	K	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Lewis, Mary G.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
391.					Buy	02/11/13	J		
392.	A	Dividend	J	T					
393. - MATTHEWS ASIA DIVIDEND FUND INV CLASS					Sold (part)	12/23/13	K	A	
394.					Buy (add'l)	05/24/13	K		
395.					Buy (add'l)	04/26/13	K		
396.					Sold (part)	04/16/13	K	A	
397.	A	Dividend	K	T					
398. - MCDONALDS CORP COM					Buy (add'l)	12/23/13	J		
399.					Buy (add'l)	04/26/13	J		
400.	A	Dividend	J	T					
401. - MAINSTAY MARKETFIELD FUND CL I					Sold (part)	12/23/13	K	B	
402.					Buy (add'l)	04/26/13	K		
403.					Sold (part)	04/16/13	K	A	
404.	A	Dividend	K	T					
405. - MONSANTO CO NEW DEL COM		None	J	T	Buy	12/23/13	J		
406. - NEUBERGER BERMAN EMERG MARKETS EQUITY FUND INST		None	K	T	Buy	12/23/13	K		
407. - NIKE INC CL B		None	J	T	Buy (add'l)	12/23/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Lewis, Mary G.

Date of Report

05/08/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
408.					Buy	10/18/13	J		
409. - THE OAKMARK INTL FUND					Buy (add'l)	12/23/13	K		
410.					Buy	05/24/13	K		
411.	A	Dividend	K	T					
412. - ORACLE CORP \$0.01 DEL		None	J	T	Buy (add'l)	12/23/13	J		
413.					Buy	11/20/13	J		
414. - PIMCO INCOME FUND CL P					Buy	12/23/13	J		
415.	A	Dividend	J	T					
416. - T ROWE PRICE CAPITAL APPRECIATION FD RETAIL					Sold (part)	12/23/13	K	A	
417.					Buy (add'l)	05/24/13	K		
418.					Buy (add'l)	04/26/13	K		
419.	A	Dividend	K	T					
420. - FIRST EAGLE GLOBAL CLASS I					Sold (part)	12/23/13	K	A	
421.					Buy (add'l)	05/24/13	K		
422.					Buy (add'l)	04/26/13	K		
423.	A	Dividend	K	T					
424. - SCHLUMBERGER LTD		None	J	T	Buy	12/23/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Lewis, Mary G.

Date of Report

05/08/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
425. - TOCQUEVILLE GOLD FUND		None	J	T	Buy (add'l)	12/23/13	J		
426.					Sold (part)	11/01/13	J	A	
427.					Sold (part)	05/24/13	K	A	
428.					Buy (add'l)	04/26/13	K		
429.					Buy (add'l)	04/16/13	J		
430.					Buy	02/11/13	J		
431. - THORNBURG INVSMT INCOME BUILDER FUND CL I					Sold (part)	12/23/13	K	A	
432.					Buy (add'l)	11/01/13	K		
433.					Buy (add'l)	05/24/13	K		
434.					Buy (add'l)	04/26/13	K		
435.					Sold (part)	04/16/13	K	A	
436.	A	Dividend	K	T					
437. - THERMO FISHER SCIENTIFIC INC		None	J	T	Buy (add'l)	12/23/13	J		
438.					Buy	11/05/13	J		
439. - PRICE T ROWE GROUP INC		None	J	T	Buy	12/23/13	J		
440. - TEMPLETON GLOBAL TOTAL RETURN FUND CL ADV					Sold (part)	12/23/13	K	A	
441.					Buy (add'l)	04/26/13	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

442.					Sold (part)	04/16/13	J	A	
443.					Buy	04/02/13	J		
444.	A	Dividend	J	T					
445. - UNITED TECHS CORP COM					Buy (add'l)	12/23/13	J		
446.					Buy	10/18/13	J		
447.	A	Dividend	J	T					
448. - VISA INC CL A SHRS					Buy (add'l)	12/23/13	J		
449.					Buy	10/18/13	J		
450.	A	Dividend	J	T					
451. - VALERO ENERGY CORP NEW		None	J	T	Buy	12/23/13	J		
452. - VANGUARD VALUE ETF					Buy	12/23/13	K		
453.	A	Dividend	K	T					
454. - VANGUARD GROWTH ETF					Sold (part)	12/23/13	K	C	
455.					Sold (part)	11/01/13	K	A	
456.					Buy	06/20/13	K		
457.	A	Dividend	K	T					
458. - VERIZON COMMUNICATNS COM					Sold (part)	12/23/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

459.					Buy (add'l)	04/26/13	J		
460.					Sold (part)	04/16/13	J	A	
461.	A	Dividend	J	T					
462. - WASATCH FRONTIER EMERG SMALL CNTRY FD INV CLASS					Buy	12/23/13	K		
463.	A	Dividend	K	T					
464. - WELLS FARGO ABSOLUTE RETURN FD CL ADM					Buy	12/23/13	J		
465.	A	Dividend	J	T					
466. - WELLS FARGO & CO NEW DEL		None	J	T	Buy	12/23/13	J		
467. - AMERICA MOVIL SAB DE CV		None	J	T	Buy	12/23/13	J		
468. - VANGUARD MSCI EMERGING					Buy (add'l)	12/23/13	K		
469.					Buy (add'l)	06/20/13	K		
470.					Buy	05/24/13	K		
471.	A	Dividend	K	T					
472. - ABBVIE INC SHS					Sold	12/23/13	J	A	
473.					Buy (add'l)	04/26/13	J		
474.					Buy (add'l)	01/10/13	J		
475.	A	Dividend							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

476. - ABBOTT LABS		None			Sold	01/10/13	J	A	
477. - BCE INC					Sold	10/18/13	J	A	
478.					Buy (add'l)	04/26/13	J		
479.	A	Dividend							
480. - BRISTOL-MYERS SQUIBB CO					Sold	12/23/13	J	A	
481.					Buy (add'l)	04/26/13	J		
482.	A	Dividend							
483. - CONAGRA FOODS INC					Sold	10/18/13	J	A	
484.					Buy (add'l)	04/26/13	J		
485.	A	Dividend							
486. - CMS ENERGY CORP					Sold	07/02/13	J	A	
487.					Buy (add'l)	04/26/13	J		
488.					Sold (part)	04/16/13	J	A	
489.	A	Dividend							
490. - CHEVRON CORP					Sold	12/23/13	J	A	
491.					Buy (add'l)	04/26/13	J		
492.	A	Dividend							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

493. - DOUBLELINE TOT RTRN BD I					Sold	02/11/13	J	A	
494.	A	Dividend							
495. - DU PONT E I DE NEMOURS					Sold	12/23/13	J	A	
496.					Buy (add'l)	04/26/13	J		
497.	A	Dividend							
498. - DIGITAL RLTY TR INC					Sold	10/18/13	J	A	
499.					Buy (add'l)	04/26/13	J		
500.	A	Dividend							
501. - EATON CORP PLC					Sold	12/23/13	J	A	
502.					Buy (add'l)	04/26/13	J		
503.	A	Dividend							
504. - MARKET VECTORS ETF TR		None			Sold	02/11/13	J	A	
505. - GENERAL ELECTRIC					Sold	12/23/13	J	A	
506.					Buy (add'l)	04/26/13	J		
507.	A	Dividend							
508. - SPDR GOLD TRUST		None			Sold	07/18/13	J	A	
509.					Buy (add'l)	04/26/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

510.					Buy (add'l)	04/16/13	J		
511. - GATEWAY FUND					Sold	05/24/13	J	A	
512.					Buy (add'l)	04/26/13	J		
513.					Buy (add'l)	04/16/13	J		
514.	A	Dividend							
515. - ISHARES GOLD TR		None			Sold	12/23/13	J	A	
516.					Sold (part)	11/01/13	J	A	
517.					Buy	07/18/13	J		
518. - INTL BUSINESS MACHINES					Sold	12/23/13	J	A	
519.					Buy	10/18/13	J		
520.	A	Dividend							
521. - INTEL CORP					Sold	12/23/13	J	A	
522.					Buy (add'l)	04/26/13	J		
523.					Buy (add'l)	04/16/13	J		
524.	A	Dividend							
525. - ISHARES RUSSELL TOP 200 VALUE ETF					Sold	12/23/13	K	B	
526.					Sold (part)	11/01/13	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

527.					Sold (part)	07/18/13	J	A	
528.					Buy (add'l)	05/24/13	J		
529.					Buy (add'l)	04/26/13	J		
530.					Sold (part)	04/16/13	J	A	
531.	A	Dividend							
532. - ISHARES RUSSELL TOP 200 GROWTH ETF					Sold	06/20/13	J	B	
533.					Buy (add'l)	04/26/13	J		
534.					Sold (part)	04/16/13	J	A	
535.	A	Dividend							
536. - JPMORGAN CHASE & CO					Sold	12/23/13	J	A	
537.					Buy (add'l)	04/26/13	J		
538.	A	Dividend							
539. - KIMBERLY CLARK					Sold	10/18/13	J	A	
540.					Buy (add'l)	04/26/13	J		
541.					Sold (part)	04/16/13	J	A	
542.	A	Dividend							
543. - KRAFT FOODS GROUP INC					Sold	12/23/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

544.					Buy (add'l)	04/26/13	J		
545.					Sold (part)	04/16/13	J	A	
546.	A	Dividend							
547. - ALTRIA GROUP INC					Sold	12/23/13	J	A	
548.					Buy (add'l)	04/26/13	J		
549.					Sold (part)	04/16/13	J	A	
550.	A	Dividend							
551. - MERCK AND CO INC SHS					Sold	11/05/13	J	A	
552.					Buy (add'l)	07/02/13	J		
553.					Buy (add'l)	04/26/13	J		
554.	A	Dividend							
555. - MICROSOFT CORP					Sold	11/20/13	J	A	
556.					Buy (add'l)	04/26/13	J		
557.					Buy (add'l)	04/16/13	J		
558.	A	Dividend							
559. - NEXTERA ENERGY INC SHS					Sold	12/23/13	J	A	
560.					Buy (add'l)	07/02/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

561.					Buy (add'l)	04/26/13	J		
562.	A	Dividend							
563. - L SAYLES STRT INC CL Y					Sold	04/02/13	J	A	
564.	A	Dividend							
565. - OCCIDENTAL PETE CORP CAL					Sold	12/23/13	J	A	
566.					Buy (add'l)	04/26/13	J		
567.					Buy	04/16/13	J		
568.	A	Dividend							
569. - PIMCO ALL ASSET ALL AUTHORITY FUND CL P					Sold	12/23/13	K	A	
570.					Buy (add'l)	04/26/13	K		
571.					Buy (add'l)	04/16/13	K		
572.	A	Dividend							
573. - PACCAR INC					Sold	12/23/13	J	A	
574.					Buy (add'l)	04/26/13	J		
575.					Buy (add'l)	04/16/13	J		
576.	A	Dividend							
577. - PG&E CORP					Sold	10/18/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
578.					Buy	07/02/13	J		
579.	A	Dividend							
580. - PHILIP MORRIS INTL INC					Sold	12/23/13	J	A	
581.					Buy (add'l)	04/26/13	J		
582.	A	Dividend							
583. - PPL CORPORATION					Sold	10/18/13	J	A	
584.					Buy	07/02/13	J		
585.	A	Dividend							
586. - PRIN PRFD SEC FD CL P SECURITIES FUND CL P					Sold	02/11/13	J	A	
587.	A	Dividend							
588. - ROYAL DUTCH SHEL PLC		None			Sold	02/08/13	J	A	
589. - RS FLOATING RATE FD CL Y					Sold	02/11/13	J	A	
590.	A	Dividend							
591. - RS SELECT GROWTH FUND					Sold	12/23/13	J	A	
592.					Buy	07/18/13	J		
593.	A	Dividend							
594. - SPECTRA ENERGY CORP					Sold	12/23/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
595.					Sold (part)	10/18/13	J	A	
596.					Buy (add'l)	04/26/13	J		
597.	A	Dividend							
598. - JPMRGN LGCP GRTH FD SEL					Sold	12/23/13	K	C	
599.					Sold (part)	07/18/13	K	B	
600.					Buy (add'l)	04/26/13	K		
601.	A	Dividend							
602. - SEMPRA ENERGY					Sold	07/02/13	J	A	
603.					Buy (add'l)	04/26/13	J		
604.	A	Dividend							
605. - AT&T INC					Sold	10/18/13	J	A	
606.					Buy (add'l)	04/26/13	J		
607.					Sold (part)	04/16/13	J	A	
608.	A	Dividend							
609. - TORONTO DOMINION BANK					Sold	12/23/13	J	A	
610.					Buy (add'l)	04/26/13	J		
611.	A	Dividend							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
612. - THOMSON REUTERS CORP					Sold	10/18/13	J	A	
613.					Buy (add'l)	04/26/13	J		
614.					Buy	03/22/13	J		
615.	A	Dividend							
616. - TIME WARNER INC SHS					Sold	03/22/13	J	A	
617.	A	Dividend							
618. - VENTAS INC					Sold (part)	12/23/13	J	A	
619.					Buy (add'l)	04/26/13	J		
620.					Sold (part)	04/16/13	J	A	
621.	A	Dividend	J	T					
622. - XCEL ENERGY INC					Sold	07/02/13	J	A	
623.	A	Dividend							
624. - GLAXOSMITHKLINE PLC ADR	A	Dividend	J	T					
625. TRUST #1 (H)									
626. - ML BANK DEPOSIT PROGRAM	A	Interest	J	T					
627. - ACE LIMITED		None	J	T	Buy	10/09/13	J		
628. - AUTOMATIC DATA PROC		None	J	T	Buy	10/09/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
629. - AMERICAN WTR WKS CO INC NEW					Buy	10/09/13	J		
630.	A	Dividend	J	T					
631. - AMER EXPRESS COMPANY		None	K	T	Buy	10/09/13	K		
632. - CITIGROUP INC COM NEW					Buy	10/09/13	J		
633.	A	Dividend	J	T					
634. - CARDINAL HEALTH INC OHIO		None	J	T	Buy	10/31/13	J		
635. - CATERPILLAR INC DEL					Buy	10/09/13	J		
636.	A	Dividend	J	T					
637. - COMCAST CORP NEW CL A		None	J	T	Buy	10/09/13	J		
638. - COSTCO WHOLESALE CRP DEL					Buy	10/09/13	K		
639.	A	Dividend	J	T					
640. - COVIDIEN PLC SHS NEW		None	K	T	Buy	10/09/13	K		
641. - CVS CAREMARK CORP					Buy	10/09/13	K		
642.	A	Dividend	K	T					
643. - DOMINION RES INC NEW VA					Buy	10/09/13	J		
644.	A	Dividend	J	T					
645. - DIAGEO PLC SPSD ADR NEW		None	K	T	Buy	10/09/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
646. - FEDEX CORP DELAWARE COM		None	J	T	Buy	10/09/13	J		
647. - HOME DEPOT INC					Buy	10/09/13	J		
648.	A	Dividend	J	T					
649. - HESS CORP					Buy	10/09/13	K		
650.	A	Dividend	K	T					
651. - HONEYWELL INTL INC DEL					Buy	10/09/13	K		
652.	A	Dividend	K	T					
653. - MCDONALDS CORP COM					Sold (part)	10/09/13	J	A	
654.					Sold (part)	04/16/13	J	A	
655.					Buy (add'l)	03/21/13	J		
656.	A	Dividend	J	T					
657. - MONSANTO CO NEW DEL COM		None	J	T	Buy	10/09/13	J		
658. - NIKE INC CL B		None	J	T	Buy	10/09/13	J		
659. - ORACLE CORP \$0.01 DEL		None	K	T	Buy	10/09/13	K		
660. - SCHLUMBERGER LTD		None	J	T	Buy	10/09/13	J		
661. - THERMO FISHER SCIENTIFIC INC		None	K	T	Buy	10/09/13	K		
662. - PRICE T ROWE GROUP INC					Buy	10/09/13	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

663.	A	Dividend	J	T					
664. - UNITED TECHS CORP COM					Buy	10/09/13	K		
665.	A	Dividend	K	T					
666. - VISA INC CL A SHRS					Buy	10/09/13	J		
667.	A	Dividend	J	T					
668. - VALERO ENERGY CORP NEW					Buy	10/09/13	K		
669.	A	Dividend	K	T					
670. - VERIZON COMMUNICATNS COM					Sold	10/09/13	J	C	
671.					Sold (part)	04/16/13	J	A	
672.					Sold (part)	03/21/13	J	B	
673.	A	Dividend							
674. - WELLS FARGO & CO NEW DEL					Buy	10/09/13	J		
675.	A	Dividend	J	T					
676. - AMERICA MOVIL SAB DE CV					Buy	10/09/13	J		
677.	A	Dividend	J	T					
678. - ACCENTURE PLC SHS		None	J	T	Buy	10/09/13	J		
679. - INTL BUSINESS MACHINES					Buy	10/09/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
680.	A	Dividend	J	T					
681. - ABBVIE INC SHS					Sold	10/09/13	K		
682.					Sold (part)	04/16/13	J	A	
683.					Sold (part)	03/21/13	J	A	
684.					Buy (add'l)	01/10/13	J		
685.	A	Dividend							
686. - ABBOTT LABS		None			Sold	01/10/13	J	B	
687. - BCE INC					Sold	10/09/13	J	B	
688.					Buy (add'l)	04/16/13	J		
689.					Buy (add'l)	03/21/13	J		
690.	A	Dividend							
691. - BRISTOL-MYERS SQUIBB CO					Sold	10/09/13	J	C	
692.					Sold (part)	04/16/13	J	A	
693.					Sold (part)	03/21/13	J	A	
694.	A	Dividend							
695. - CONAGRA FOODS INC					Sold	10/09/13	J	A	
696.					Buy (add'l)	04/16/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
697.					Sold (part)	03/21/13	K	A	
698.	A	Dividend							
699. - CMS ENERGY CORP					Sold	07/02/13	J	B	
700.					Sold (part)	04/16/13	J	A	
701.					Sold (part)	03/21/13	J	A	
702.	A	Dividend							
703. - CHEVRON CORP					Sold	10/09/13	K	C	
704.					Buy (add'l)	04/16/13	J		
705.					Buy (add'l)	03/21/13	J		
706.	A	Dividend							
707. - DU PONT E I DE NEMOURS					Sold	10/09/13	J	B	
708.					Buy (add'l)	04/16/13	J		
709.					Buy (add'l)	03/21/13	J		
710.	A	Dividend							
711. - DIGITAL RLTY TR INC					Sold	10/09/13	J	A	
712.					Sold (part)	04/16/13	J	A	
713.					Buy (add'l)	03/21/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
714.	A	Dividend							
715. - EATON CORP PLC					Sold	10/09/13	K	C	
716.					Buy (add'l)	04/16/13	J		
717.					Sold (part)	03/21/13	K	A	
718.	A	Dividend							
719. - GENERAL ELECTRIC					Sold	10/09/13	K	A	
720.					Buy (add'l)	04/16/13	J		
721.					Buy (add'l)	03/21/13	J		
722.	A	Dividend							
723. - INTEL CORP					Sold	10/09/13	K	A	
724.					Buy (add'l)	03/21/13	J		
725.	A	Dividend							
726. - JPMORGAN CHASE & CO					Sold	10/09/13	J	B	
727.					Buy (add'l)	04/16/13	J		
728.					Sold (part)	03/21/13	J	A	
729.	A	Dividend							
730. - KIMBERLY CLARK					Sold	10/09/13	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
731.					Sold (part)	04/16/13	K	A	
732.					Sold (part)	03/21/13	K	A	
733.	A	Dividend							
734. - KRAFT FOODS GROUP INC					Sold	10/09/13	J	B	
735.					Buy (add'l)	04/16/13	J		
736.					Sold (part)	03/21/13	J	A	
737.	A	Dividend							
738. - MCKESSON CORPORATION COM		None			Sold	10/31/13	J	B	
739.					Buy	10/09/13	J		
740. - ALTRIA GROUP INC					Sold	10/09/13	J	B	
741.					Sold (part)	04/16/13	J	A	
742.					Sold (part)	03/21/13	J	A	
743.	A	Dividend							
744. - MERCK AND CO INC SHS					Sold	10/09/13	K	B	
745.					Buy (add'l)	07/02/13	J		
746.					Sold (part)	04/16/13	J	A	
747.					Sold (part)	03/21/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
748.	A	Dividend							
749. - MICROSOFT CORP					Sold	10/09/13	K	B	
750.					Sold (part)	04/16/13	J	A	
751.					Buy (add'l)	03/21/13	J		
752.	A	Dividend							
753. - NEXTERA ENERGY INC SHS					Sold	10/09/13	K	C	
754.					Buy (add'l)	07/02/13	J		
755.					Sold (part)	04/16/13	J	A	
756.					Sold (part)	03/21/13	J	A	
757.	A	Dividend							
758. - OCCIDENTAL PETE CORP CAL					Sold	10/09/13	K	B	
759.					Sold (part)	04/16/13	J	A	
760.					Buy (add'l)	03/21/13	J		
761.					Buy	02/08/13	J		
762.	A	Dividend							
763. - PACCAR INC					Sold	10/09/13	K	B	
764.					Buy (add'l)	04/16/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
765.					Buy (add'l)	03/21/13	J		
766.	A	Dividend							
767. - PG&E CORP					Sold	10/09/13	J	A	
768.					Buy	07/02/13	J		
769.	A	Dividend							
770. - PHILIP MORRIS INTL INC					Sold	10/09/13	J	B	
771.					Sold (part)	04/16/13	J	A	
772.					Sold (part)	03/21/13	J	B	
773.	A	Dividend							
774. - PPL CORPORATION					Sold	10/09/13	J	A	
775.					Buy	07/02/13	J		
776.	A	Dividend							
777. - ROYAL DUTCH SHELL PLC					Sold	03/21/13	J	A	
778.	A	Dividend							
779. - ROYAL DUTCH SHEL PLC					Sold	02/08/13	J	A	
780. - SPECTRA ENERGY CORP					Sold	10/09/13	K	C	
781.					Sold (part)	04/16/13	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
782.					Buy (add'l)	03/21/13	J		
783.	A	Dividend							
784. - SEMPRA ENERGY					Sold	07/02/13	J	C	
785.					Sold (part)	03/21/13	J	A	
786.	A	Dividend							
787. - AT&T INC					Sold	10/09/13	J	B	
788.					Sold (part)	04/16/13	J	A	
789.					Buy (add'l)	03/21/13	J		
790.	A	Dividend							
791. - TORONTO DOMINION BANK					Sold	10/09/13	J	B	
792.					Buy (add'l)	04/16/13	J		
793.					Buy (add'l)	03/21/13	J		
794.	A	Dividend							
795. - THOMSON REUTERS CORP					Sold	10/09/13	J	A	
796.					Buy (add'l)	04/16/13	J		
797.					Buy	03/21/13	J		
798.	A	Dividend							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
799. - TIME WARNER INC SHS					Sold	03/21/13	J	C	
800.	A	Dividend							
801. - VENTAS INC					Sold	10/09/13	J	B	
802.					Sold (part)	04/16/13	K	A	
803.					Sold (part)	03/21/13	K	A	
804.	A	Dividend							
805. - XCEL ENERGY INC					Sold	07/02/13	J	B	
806.	A	Dividend							
807. - GLAXOSMITHKLINE PLC ADR	A	Dividend	J	T					
808. BROKERAGE ACCOUNTS (H)									
809. - ML BANK DEPOSIT PROGRAM	A	Interest	J	T					
810. - ML BANK DEPOSIT PROGRAM	A	Interest	J	T					
811. - DAVIS NY VENTURE FD CL A	A	Dividend	J	T					
812. - FIRST EAGLE GLOBAL CLASS C					Buy	03/28/13	J		
813.	A	Dividend	J	T					
814. - GOLDMAN SACHS RISING DIVIDEND GROWTH FD CL C					Buy	03/28/13	K		
815.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
816. - THORNBURG INCOME BUILDER FUND CLASS C					Buy (add'l)	03/28/13	J		
817.	A	Dividend	J	T					
818. - BLACKROCK GLOBAL ALLOC C		None			Sold	03/28/13	K	B	
819. - DAVIS NY VENTURE FD B		None			Redeemed	08/01/13	J		
820. - ML BANK DEPOSIT PROGRAM	A	Interest	J	T					
821. - BLACKROCK GLOBAL ALLOCATION FD INC C	B	Dividend	K	T					
822. Investors Title common stock	A	Dividend	J	T					
823. 529 College Savings Plan (H)	C	Dividend	K	T					
824. Wells Fargo Bank Deposit Sweep (H)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

"Lewis & Babcock LLP 401(k) Plan" reported on line 480 of the 2012 Financial Disclosure Report was rolled over into an Individual Retirement Account held at Merrill Lynch on 4/25/2013. This is reflected in the Individual Retirement Account reported on lines 297 through 624 of the 2013 Financial Disclosure Report.

"Merrill Lynch Cash" on lines 181 and 358 of the 2012 Financial Disclosure Report is valued below the amount requiring it to be reportable therefore excluding it from the 2013 Financial Disclosure Report.

"Cash in Bank - SCB&T" on line 15 of the 2012 Financial Disclosure Report has been depleted through monthly expenses and is valued below the amount requiring it to be reportable therefore excluding it from the 2013 Financial Disclosure Report.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mary G. Lewis**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544