

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) DOWD, JR., DAVID D.	2. Court or Organization U.S. DISTRICT COURT, NDOH	3. Date of Report 10/26/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE - SENIOR	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address JOHN R. SEIBERLING UNITED STATES COURTHOUSE ROOM 402, TWO SOUTH MAIN STREET AKRON, OHIO 44308		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Monthly	Annuity - Public Retirement System of Ohio Annuity	\$32,670.20
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. Monthly	Annuity - State Teachers Retirement System of Ohio (payable monthly)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. ☐ IRA holdings follow:		None							
2. EXXON CORPORATION	A	Dividend	K	T					
3. TIMKEN CO.	A	Dividend	K	T	Sold (part)	02/11/11	J	B	
4. FIRST MERIT	B	Dividend	J	T					
5. ATT 6% Corporate Note	A	Interest	J	T					
6. ATT 6% Corporate Note	A	Interest	J	T					
7. NM Corporate Note 5.9%	A	Interest	J	T					
8. Exelon	A	Dividend	J	T					
9. Southern Corp.	A	Dividend	K	T					
10. Colgate-Palmolive	A	Dividend	K	T					
11. American Electric Power	A	Dividend	K	T					
12. Dominion RES INC NEW VA	A	Dividend	J	T					
13. Dow Chemical Co. Note	A	Interest			Redeemed	07/15/11	J	A	
14. Bank of New York note	A	Interest	J	T					
15. General Electric note	A	Interest	J	T					
16. Marathon Oil Corporation note	A	Interest			Redeemed	03/18/11	J	A	
17. Nextel Communications Co. note	A	Interest	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Patriot Coal	A	Dividend	J	T	Buy	03/31/11	J		
19. Merrill Lynch Bond	A	Interest	J	T	Buy	03/31/11	J		
20. Royal Bank of Scotland Bond	A	Interest	J	T	Buy	03/31/11	J		
21. The following securities are held [redacted];		None							
22. SBC COMMUNICATIONS, nka AT&T	A	Dividend			Sold	10/04/11	J	B	
23. FIRST ENERGY CORP - FNA OHIO EDISON	A	Dividend	J	T					
24. TIMKEN	A	Dividend	J	T					
25. AGL RESOURCES	A	Dividend	J	T					
26. FIRST MERIT	B	Dividend	K	T					
27. AMERICAN ELEC. POWER	A	Dividend	J	T					
28. PROGRESS ENERGY CORP.	A	Dividend	J	T					
29. Ohio State Dev. Auth. Bond 5.84% due 12/1/15.	A	Interest	J	T					
30. Southern Corporation	B	Dividend	K	T					
31. Miami Univ. of Ohio Bonds	A	Interest	J	T					
32. Princeton Ohio City School Bonds	A	Interest	J	T					
33. [redacted] IRA holdings follow:		None							
34. PROGRESS ENERGY	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. EXXON-MOBIL	A	Dividend	K	T					
36. FIRST MERIT	A	Dividend	J	T					
37. General Mills	A	Dividend	J	T					
38. Kellogg	A	Dividend	J	T					
39. Southern Company	A	Dividend	J	T					
40. Wal-Mart Store note	A	Interest	J	T					
41. U.S. Treasury Note	D	Interest			Redeemed	9/30/11	J	A	
42. Patriot Coal Company	A	Dividend	J	T	Buy	11/03/11	J		
43. General Dynamics Corp.	A	Dividend	J	T	Buy	10/10/11	J		
44. Proctor & Gamble	A	Dividend	K	T	Buy	10/11/11	J		
45. Properties [REDACTED] :		None							
46. Two-bedrm condo - Naples, FL - not rented		None	M	T					
47. 2 bedrm home in Massillon, OH-not rented		None	L	T					
48. Non-Dividend/Interest bearing security [REDACTED]		None							
49. SHADY HOLLOW COUNTRY CLUB		None	J	T					
50. WILDERNESS COUNTRY CLUB		None	K	T					
51. Securities [REDACTED] :		None							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52. AMERICAN ELECTRIC POWER	A	Dividend	K	T					
53. First Merit Corp.	A	Dividend	J	T					
54. OH ST Water Development Authority Revenue	A	Interest	K	T					
55. Bonds paying 4.625% due 12/1/27		None	J	T					
56. Akron OH Income Tax Revenue Bond paying 4.5%	A	Interest	J	T					
57. due 12/1/28		None							
58. Toledo OH City School District Bonds paying	A	Interest	K	T					
59. 4.625% due 12/1/32		None							
60. AGL Resources	A	Dividend	J	T					
61. Duke Energy	A	Dividend	J	T					
62. Hamilton County Convention Center bonds	A	Interest	K	T					
63. Spectra *(split with Duke Energy)	A	Dividend	J	T					
64. Timken	A	Dividend	J	T	Sold (part)	12/09/11	J	A	
65. Columbus Ohio Sw Revenue Bonds	B	Interest	K	T	Buy	07/08/11	K		
66. Automatic Data	A	Dividend	J	T	Buy	08/10/11	J		
67. Johnson & Johnson	A	Dividend	J	T	Buy	09/01/11	J		
68. Bank of America \$10,000.00 note	A	Interest	J	T	Buy	09/24/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. Abbott Labs	A	Dividend	J	T	Buy	11/03/11	J		
70. Coca Cola	A	Dividend	J	T	Buy	11/03/11	J		
71. Patriot Coal Company	A	Dividend	J	T	Buy	11/03/11	J		
72. Coca Cola	A	Dividend	J	T	Buy	7/29/11	J		
73. Ford Motor Company	A	Dividend	J	T	Buy	07/29/11	J		
74.									
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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86.									
87.									
88.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII - This amended report was required because the initial report failed to discuss the \$10,000 U.S. Treasury Note included in [REDACTED] IRA holdings in the report filed on August 15, 2012. As indicated, the U.S. Treasury Note was redeemed on September 30, 2011 for the amount of the note, i.e., \$10,000.00

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ DAVID D. DOWD, JR.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544