

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2018Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Woods, Gregory H.	2. Court or Organization Southern District of New York	3. Date of Report 05/14/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States Courthouse 500 Pearl Street New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)POSITIONNAME OF ORGANIZATION/ENTITY

1. MEMBER, BOARD OF TRUSTEES	WILLIAMS COLLEGE
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☐ NONE (No reportable agreements.)DATEPARTIES AND TERMS

1. 03/1999	Continuing participation in 401(k) plan sponsored by Debevoise & Plimpton LLP. No further contributions by employer.
2. 01/2005	Continuing participation in 401(k) plan sponsored by Debevoise & Plimpton LLP. No further contributions by employer.
3. 01/2005	Debevoise & Plimpton LLP Cash Balance Retirement Plan for Partners. No further contributions by employer.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. JP MORGAN CHASE ACCOUNTS (CASH)	A	Interest	M	T					
2. CREF STOCK R2		None	J	T					
3. CREF GROWTH R2		None	J	T					
4. CREF SOCIAL CHOICE R2		None	J	T					
5. MGJ REALTY CORPORATION (COMMON STOCK OF PRIVATE CORP.)	C	Distribution	L	W					
6. [REDACTED] (COMMON STOCK OF PRIVATE CORP.)	E	Dividend	O	W					
7. RENTAL PROPERTY #1, NEW YORK, NY	E	Rent	O	W					
8. RETIREMENT ACCOUNT #1 (H)									
9. VANGUARD FEDERAL MONEY MARKET FUND	B	Dividend	L	T					
10. VANGUARD US VALUE FUND	A	Dividend	J	T					
11. VANGUARD SELECTED VALUE FUND	A	Dividend	J	T					
12. VANGUARD MID-CAP GROWTH FUND	C	Dividend	K	T					
13. VANGUARD INST INDEX FUND	A	Dividend	K	T					
14. VANGUARD WELLINGTON FUND	A	Dividend	J	T					
15. VANGUARD MORGAN GROWTH FUND	C	Dividend	K	T					
16. VANGUARD INFLA-PROTECTED SEC	A	Dividend	J	T					
17. VANGUARD TOTAL BOND MKT INDEX	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. VANGUARD HEALTH CARE FUND	A	Dividend	J	T					
19. VANGUARD TOTAL INT'L STOCK INDEX	A	Dividend	K	T					
20. VANGUARD WINDSOR FUND	B	Dividend	K	T					
21. VANGUARD EXPLORER FUND	B	Dividend	J	T					
22. VANGUARD EXTENDED MKT INDEX	A	Dividend	J	T					
23. RETIREMENT ACCOUNT #2 (H)									
24. VANGUARD US VALUE FUND	A	Dividend	J	T					
25. VANGUARD SELECTED VALUE FUND	A	Dividend	J	T					
26. VANGUARD MID-CAP GROWTH FUND	A	Dividend	J	T					
27. VANGUARD INST INDEX FUND	C	Dividend	M	T					
28. VANGUARD WELLINGTON FUND	A	Dividend	J	T					
29. VANGUARD MORGAN GROWTH FUND	B	Dividend	K	T					
30. VANGUARD INFLA-PROTECTED SEC	A	Dividend	J	T					
31. VANGUARD RETIRE SAVINGS TRUST III	A	Dividend	J	T					
32. VANGUARD TOTAL BOND MKT INDEX	A	Dividend	J	T					
33. VANGUARD HEALTH CARE FUND	A	Dividend	J	T					
34. VANGUARD TOTAL INT'L STOCK INDEX	B	Dividend	L	T					

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35. VANGUARD WINDSOR FUND	A	Dividend	J	T					
36. VANGUARD EXPLORER FUND	A	Dividend	J	T					
37. VANGUARD EXTENDED MKT INDEX	A	Dividend	J	T					
38. RETIREMENT PLAN #3 (H)									
39. DEBEVOISE & PLIMPTON LLP CASH BALANCE RETIREMENT PLAN FOR PARTNERS	D	Interest	L	T					
40. IRA #1 (H)									
41. BLACKROCK LARGE CAP FOCUS GROWTH FUND (MCLHX)	D	Dividend	K	T					
42. IRA #2 (H)									
43. BLACKROCK LARGE CAP FOCUS GROWTH FUND (MCLHX)	D	Dividend	K	T					
44. BROKERAGE ACCOUNT #1 (H)									
45. JP MORGAN DEPOSIT SWEEP ACCOUNT (CASH)	A	Interest	J	T					
46. AMG TR IV MANAGERS PICTET INTL FD (APCZX)	B	Dividend	K	T	Buy (add'l)	02/07/18	J		
47.					Sold (part)	09/20/18	J	A	
48.					Sold (part)	10/17/18	J	A	
49. BLACKROCK INDEX FDS INC INTL (BTMKX)	C	Dividend	L	T	Buy (add'l)	02/07/18	J		
50.					Sold (part)	08/17/18	K	C	

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51.					Sold (part)	10/17/18	J	A	
52. BROWN ADVISORY FDS WMC STRATEGIC EUROPEAN EQI INSTL (BAFHx)	A	Dividend	K	T	Sold (part)	03/26/18	J	A	
53.					Sold (part)	06/12/18	J	B	
54. CAUSEWAY CAP MGMT TR INTL VALUE FD INSTL CL (CIVIX)	B	Dividend	K	T	Buy (add'l)	02/07/18	J		
55.					Sold (part)	09/20/18	J	A	
56.					Sold (part)	10/17/18	J	A	
57. DODGE & COX FUNDS INTERNATIONAL STOCK FUND (DODFX)	A	Dividend	J	T	Buy (add'l)	02/07/18	J		
58.					Sold (part)	09/20/18	J		
59.					Sold (part)	10/17/18	J	A	
60. FIDELITY 500 INDEX FUND INST PREM CL (FXAIX)	A	Dividend	K	T	Buy	02/09/18	J		
61.					Buy (add'l)	02/15/18	J		
62. HARRIS ASSOC INVT TR OAKMARK FUND INSTL CL EST (OANMX)	B	Dividend	J	T	Sold (part)	03/26/18	J		
63.					Sold (part)	10/17/18	J	B	
64. ISHARES MCSI JAPAN ETF (EWJ)	A	Dividend	K	T	Buy (add'l)	01/11/18	J		
65. ISHARES MSCI EAFE ETF (EFA)	A	Dividend			Sold (part)	06/12/18	J	B	
66.					Sold (part)	07/11/18	J		

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67.					Sold	08/17/18	J	A	
68. JPMORG EXCH TRADED FD TR BETABLDRS CDA (BBCA)	A	Dividend	J	T	Buy	08/17/18	J		
69.					Buy (add'l)	09/20/18	J		
70. JPMORG EXCH TRADED FD BETABLDRS DEV ASIA EX JAP (BBAX)	A	Dividend	J	T	Buy	08/17/18	J		
71. JPMORG EXCH TRADED FD BETABLDRS EUROPE (BBEU)	A	Dividend	K	T	Buy	08/17/18	K		
72. JPMORG EXCH TRADED FD TR BETABLDRS JAP ETF (BBJP)	A	Dividend	K	T	Buy	07/11/18	J		
73.					Buy (add'l)	10/17/18	J		
74.					Buy (add'l)	11/02/18	J		
75. JP MORGAN TR I US EQUITY FD (JUEMX)	C	Dividend	K	T	Sold (part)	10/17/18	J		
76. MASSCHUSETTS INVESTORS TRUST CL I (MITIX)	B	Dividend	J	T	Sold (part)	10/17/18	J	B	
77. SIX CIRCLES TR INTL UNCONST EQ FD (CIUEX)	A	Dividend	J	T	Buy	10/17/18	J		
78. SIX CIRCLES TR US UNCONST EQ FD (CUSUX)	A	Dividend	K	T	Buy	10/17/18	K		
79. SPDR S&P 500 ETF TRUST (SPY)	C	Dividend	M	T	Sold (part)	01/31/18	J	C	
80. VANGUARD INDEX TR 500 INDEX FD (VFIAX)	B	Dividend	L	T	Buy (add'l)	01/11/18	J		
81.					Buy (add'l)	09/20/18	J		
82.					Buy (add'l)	11/02/18	J		
83. AMERICAN CENTURY MUN TR INT TERM TAX FREE (ATBYX)	B	Dividend	L	T	Buy (add'l)	02/07/18	J		

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84.					Buy (add'l)	03/15/18	J		
85.					Sold (part)	03/26/18	J		
86. BERNSTEIN SANFORD C FD INC AB INTR DIVERSIFIED MUN PORT ADV CL (AIDYX)	B	Dividend	L	T	Buy (add'l)	02/07/18	J		
87.					Buy (add'l)	03/15/18	J		
88.					Sold (part)	03/26/18	J		
89. BLACKROCK FDS HIGH YIELD BD PORTFOLIO (BRHYX)	A	Dividend	J	T	Buy (add'l)	02/07/18	J		
90.					Sold (part)	02/15/18	J	A	
91. BLACKROCK MUN BOND FUND INC CL K (BNMLX)	A	Dividend	K	T	Buy (add'l)	02/07/18	J		
92. DODGE & COX INCOME FUND (DODIX)	A	Dividend	K	T	Buy	01/11/18	K		
93.					Buy (add'l)	02/07/18	J		
94. JOHN HANCOCK FDS II STRAT INC OPP FD (JIPRX)	A	Dividend			Buy	03/15/18	J		
95.					Sold	12/12/18	J		
96. ISHARES TR SHS 1-3 YR TREAS BD (SHY)	A	Dividend	K	T	Buy	06/12/18	J		
97.					Buy (add'l)	12/12/18	K		
98. JOHN HANCOCK INCOME FUND (JSTIX) (X)	A	Dividend	J	T	Sold (part)	12/12/18	J		
99. JP MORGAN TR II SHORT-INTER MUN BD FD INSTL (JIMIX)	A	Dividend	J	T	Sold (part)	01/11/18	J		

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100. LORD ABBETT SHORT DURATION INCOME FD (LOLDX)	A	Dividend			Sold	03/15/18	J		
101. MAINSTAY HIGH YIELD CORPORATE BOND FUND CL 1 (MHYSX)	A	Dividend			Sold (part)	01/31/18	J	A	
102.					Sold	02/15/18	J	A	
103. PIMCO LOW DURATION FUND INSTL CL (PTLDX)	A	Dividend			Sold (part)	01/11/18	J		
104.					Sold (part)	01/31/18	J		
105.					Sold	02/09/18	J		
106. PIMCO SHORT TRM FD INST CL (PTSHX)	A	Dividend			Buy	02/15/18	J		
107.					Buy (add'l)	06/12/18	J		
108.					Sold	10/17/18	K	A	
109. PIMCO TOTAL RETURN FUND INSTL CL (PTTRX)	A	Dividend	K	T	Sold (part)	12/12/18	J		
110. T. ROWE PRICE INTL FUNDS INC EMERGING MARKETS (PRXIX)	A	Dividend	J	T	Sold (part)	02/15/18	J		
111. SIX CIRCLES TR TAX AWARE ULT SHT DUR FD (CUTAX)	A	Dividend	K	T	Buy	10/17/18	K		
112. TAX EXEMPT BD FD AMER CL F (TFEBX)	A	Dividend	K	T	Buy	01/11/18	K		
113.					Buy (add'l)	01/31/18	J		
114. VANGUARD SHORT TERM INFL PROT SEC INDEX FD (VTIP)	A	Dividend	J	T	Buy	01/31/18	J		
115.					Sold (part)	03/15/18	J	A	
116. BROKERAGE ACCOUNT #2 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
117. VANGUARD PRIME MONEY MARKET FUND	B	Int/Div.	J	T					
118. VANGUARD REIT INDEX FUND INV	A	Dividend	J	T					
119. VANGUARD SMALL CAP INDEX FUND ADM	A	Dividend	J	T					
120. VANGUARD SMALL-CAP VALUE INDEX	A	Dividend	J	T					
121. VANGUARD TOTAL INTL STOCK IX ADMIRAL	A	Dividend	K	T					
122. VANGUARD TOTAL BOND MKT INDEX ADM	B	Dividend	L	T					
123. VANGUARD TOTAL STOCK MKT IDX ADM	A	Dividend	K	T					
124. VANGUARD WELLINGTON FUND INV	C	Dividend	K	T					
125. VANGUARD 500 INDEX ADM	B	Dividend	L	T					
126. NEW YORK 529 COLLEGE SAVINGS DIRECT PLAN #1 (H)									
127. AGGRESSIVE AGE-BASED OPTION: DISCIPLINED GROWTH PORTFOLIO		None	K	T					
128. MODERATE AGE-BASED OPTION: CONSERVATIVE PORTFOLIO		None	K	T					
129. INFLATION PROTECTED SECURITIES PORTFOLIO		None	L	T					
130. CONSERVATIVE AGE-BASED OPTION: BALANCED INCOME PORTFOLIO		None	L	T					
131. NEW YORK 529 COLLEGE SAVINGS DIRECT PLAN #2 (H)									
132. AGGRESSIVE AGE-BASED OPTION: MODERATE GROWTH PORTFOLIO		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
133. MODERATE AGE-BASED OPTION: CONSERVATIVE GROWTH PORTFOLIO		None	K	T					
134. CONSERVATIVE AGE-BASED OPTION: INCOME PORTFOLIO		None	L	T					
135. INFLATION PROTECTED SECURITIES PORTFOLIO		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 46: Symbol change; corporate action only.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Gregory H. Woods**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544