

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Shushan, Sally A.	2. Court or Organization USDC Eastern District of LA	3. Date of Report 07/08/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Fulltime U.S. Mag. Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 500 Poydras Street Room B-345 New Orleans, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	First Commercial Bank	Personal guarantee for Stradinger Cay, LLC	K
2.	Regions Bank	Personal guarantee for Josh Enterprises, LLC	M
3.	State Bank and Trust	Personal guarantee for Josh Enterprises, LLC	M
4.	Trustmark Bank	Personal guarantee for Draper Lake Holdings, LLC	M
5.	State Bank and Trust	Personal guarantee for Stradinger Investments, LLC	K

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA ACCOUNT 1 (H)									
2. -Loomis Sayles Bond fund	C	Dividend	L	T					
3. -Mutual Quest fund	C	Dividend	K	T					
4. -First Eagle Global Fund	A	Dividend	J	T					
5. -Schwab Money Market fund	A	Interest	M	T					
6. -Powershs QQQ Trust Ser 1	A	Dividend	J	T					
7. -Vanguard Short-Term Investment Grade Fund	B	Dividend	L	T					
8. -Vanguard Health Care Fd	D	Dividend	L	T					
9. -T Rowe Price Intl Bond Fund	A	Dividend	J	T					
10. -Harbor Bond Fund Inst	A	Dividend	J	T					
11. -Hewlett-Packard Company	A	Dividend	J	T					
12. -Gabelli Equity Income	B	Dividend	L	T					
13. -Perkins Mid Cap Value Fund	C	Dividend	K	T					
14. -Lazard Emerging Markets	A	Dividend	J	T					
15. -Metropolitan West Total Return Bond Fund	B	Dividend	L	T					
16. BROKERAGE ACCOUNT 1 (H)									
17. -T Rowe Price Mid Cap Growth Fund		None			Sold	11/14/13	K	D	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Schwab Cash Reserves	A	Interest	K	T					
19. LLC's (H)									
20. -Gulch Investments, LLC - Jackson, MS		None			Sold	06/20/13	L		
21. -Draper Lake Holdings, LLC		None	K	U					
22. -Josh Enterprises, LLC		None	N	U					
23. -Orange Leap, LLC		None	J	U					
24. -Stradinger Cay, LLC		None	M	U					
25. -Stradinger Investments, LLC		None	K	U					
26. -Moosh Enterprises, LLC		None			Sold	05/28/13	L		
27. BROKERAGE ACCOUNT 2 (H)									
28. -Harris Cnty TX General Obligation Ref		None			Redeemed	08/15/13	J		
29. -Kane Cook & DuPage Cntys IL Sch Dist		None	J	T					
30. -Orleans Parish LA Sch Brd Ref		None			Redeemed	02/01/13	J		
31. -Ennis Tex Indpt Sch Dist Psf Gtd		None	J	T					
32. -Wal-mart De Mexico SA Spon ADR	A	Dividend	J	T					
33. -E-470 Pub Hwy Auth Colo Rev Rfdg		None	K	T					
34. -Morgan Stanley Bank	A	Interest	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. IRA ACCOUNT 2 (H)									
36. -Morgan Stanley Bank	A	Interest	J	T					
37. -MS Hybird Leveraged Curve Steepener Based on CMS & SPX	C	Interest	K	T					
38. IRA ACCOUNT 3 (H)									
39. BANK ACCOUNT 1 (H)									
40. -Charles Schwab Bank Account	A	Interest	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Sally A. Shushan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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