

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dunn, Randall L.	2. Court or Organization U.S. Bankruptcy Court, OR	3. Date of Report 04/21/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States Bankruptcy Court 1001 S.W. 5th Av., #700 Portland, OR 97204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. National Conference of Bankruptcy Judges	04/07/2013 - 04/09/2013	Scottsdale, AZ	NCBJ Mid-Year Meeting of Board of Governors and Committees	Privately funded by: National Conference of Bankruptcy Judges - 3 days
2. National Conference of Bankruptcy Judges	05/19/2013 - 05/21/2013	Atlanta, GA	NCBJ -Executive Director candidate interviews	Privately funded by: National Conference of Bankruptcy Judges - 3 days
3. ABI	08/22/2013 - 08/25/2013	Lake Tahoe, NV	ABI Southwest education program	Privately funded by: ABI - 4 days
4. Oregon State Bar Debtor Creditor Section	09/26/2013 - 09/29/2013	Salishan, OR	OSB Debtor Creditor Section annual meeting/ education program	Privately funded by: OSB - 4 days

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5.	National Conference of Bankruptcy Judges	10/29/2013 - 11/02/2013	Atlanta, GA	NCBJ Annual Conference - activity of professional association or civic association	Privately funded by: National Conference of Bankruptcy Judges - 5 days
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. IBM (IRA)	A	Dividend	J	T					
2. GAIAM		None	J	T					
3. Schwab Money Market	A	Dividend	J	T					
4. Schwab Money Market Fund (IRA)	A	Dividend	J	T					
5. First Eagle Overseas Fund, fka Sogen Overseas Fund (IRA)	A	Dividend	J	T					
6. Euro Pacific Growth Fund (IRA) AEGFX	A	Dividend			Sold	06/03/13	J	A	
7. Biosolar, Inc.		None	J	T					
8. Emerson Electric (IRA)	A	Dividend			Sold	02/06/13	J	A	
9. Tetra Tech, Inc. (IRA)		None			Sold	09/10/13	J	A	
10. Pimco Total Return Inst. (IRA) PMBIX	A	Dividend			Sold	06/07/13	J	A	
11. Lingleaf Partners Fund (IRA)	A	Dividend			Sold	06/07/13	K	A	
12. I Shares TR Russell 1000 (IRA) IWB	B	Dividend			Sold	01/24/13	J	A	
13. Spider Gold Trust (IRA)		None	J	T					
14. JP Morgan Core Bond (IRA)	A	Interest			Sold	06/27/13	J	A	
15. Schwab Fundamental U.S. - Large (IRA) SFLNX	A	Dividend	J	T					
16. Schwab Fundamental U.S. - Small (IRA) SFSNX	A	Dividend	J	T	Sold (part)	06/07/13	J	A	
17. I Shares Russell 1000 Val (IRA) IWB	A	Dividend			Sold	06/07/13	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Consolidated Edison (IRA) ED	A	Dividend	J	T					
19. Spider Gold Trust		None	J	T					
20. Wells Fargo (Savings Account)	A	Interest	J	T					
21. Honeywell	A	Dividend	J	T					
22. Boeing (IRA)	A	Dividend	J	T					
23. Diana Container Ship-DCIX (IRA)		None	J	T					
24. Verizon-VZ (IRA)	A	Dividend	J	T	Sold (part)	04/15/13	J	A	
25. Pimco High Yield-PHIYX (IRA)	A	Dividend			Sold	06/07/13	K	B	
26. Schwab Funda. Emer - SFENX (IRA)	A	Dividend	J	T	Sold (part)	06/07/13	J	A	
27. Schwab Funda. Int'l - SFNNX (IRA)	A	Dividend	J	T					
28. Schwab Funda. Int'l - SFILX (IRA)	A	Dividend			Sold	06/07/13	J	A	
29. I Shares CoreTotal - AGG (IRA)	A	Dividend	K	T					
30. Apple	A	Dividend	J	T					
31. Caterpillar	A	Dividend			Sold	12/03/13	J	A	
32. EOG		None			Sold	06/18/13	J	A	
33. CY (IRA)	A	Dividend			Sold	11/12/13	J	A	
34. HAIN (IRA)		None	J	T	Sold (part)	02/25/13	J	A	

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35. WY (IRA)	A	Dividend	J	T					
36. HABDX (IRA)	A	Dividend			Sold	06/07/13	K	A	
37. Vanguard Emer Mkt VWO (IRA)	A	Dividend	J	T	Sold (part)	08/05/13	J	A	
38. Vanguard Emer Mkt VWO (IRA)	A	Dividend	J	T	Buy	10/03/13	J		
39. I Shares Russell IWS (IRA)	A	Dividend			Sold	10/01/13	K	B	
40. I Shares Index Fund EFA (IRA)	A	Dividend	J	T					
41. SPDR Consumer Fund XLY (IRA)	A	Dividend	J	T	Sold (part)	01/24/13	J	A	
42. I Shares Russell Midcap IWS (IRA)	A	Dividend			Sold	10/01/13	K	B	
43. I Shares EAFE Fund Index EFA (IRA)	A	Dividend	J	T					
44. KLA Tencor KLAC (IRA)	A	Dividend	J	T	Sold (part)	12/16/13	J	A	
45. Tibco Software TIBX (IRA)		None			Sold	08/21/13	J	A	
46. Fortinet FTNT		None			Sold	04/23/13	J	A	
47. CVS		None			Sold	02/06/13	J	A	
48. Johnson Controls JC1	A	Dividend	J	T	Buy	12/09/13	J		
49. ONVO		None	J	T	Buy	09/19/13	J		
50. TUP	A	Dividend	J	T	Buy	08/26/13	J		
51. WDC	A	Dividend	J	T	Buy	04/04/13	J		

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52. BIIB (IRA)		None	J	T	Buy	12/26/13	J		
53. LMT (IRA)	A	Dividend	J	T	Buy	10/21/13	J		
54. PCLN (IRA)		None	J	T	Buy	11/18/13	J		
55. SNA (IRA)	A	Dividend	J	T	Buy	09/12/13	J		
56. TKR (IRA)		None	J	T	Buy	12/10/13	J		
57. DDD (IRA)		None	J	T	Buy	10/28/13	J		
58. DDD (IRA)		None	J	T	Sold (part)	11/15/13	J	A	
59. DFRTX (IRA)	A	Dividend	J	T	Buy	06/07/13	J		
60. GOBIX (IRA)	A	Dividend	J	T	Buy	06/07/13	J		
61. PFSIX (IRA)	A	Dividend	J	T	Buy	06/07/13	J		
62. GSRLX (IRA)	A	Dividend	J	T	Buy	06/07/13	J		
63. MAPIX (IRA)	A	Dividend	J	T	Buy	06/07/13	J		
64. I Shares Russell Growth (IWP) (IRA)	A	Dividend	J	T	Buy	12/02/13	J		
65. VIG (IRA)	A	Dividend	J	T	Buy	06/07/13	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Randall L. Dunn**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544