

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Parsons, Marcia P.	2. Court or Organization USBC Eastern District of Tennessee	3. Date of Report 09/10/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Bankruptcy Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 200 West Depot Street Suite 321 Greeneville, Tennessee 37743		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Parsons, Marcia P.

Date of Report

09/10/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges	09/13 to 09/14	Washington, D.C.	Meeting	Transportation, meals, room
2. National Conference of Bankruptcy Judges	10/07 to 10/11	Las Vegas, NV	Meeting	Transportation, meals, room
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Parsons, Marcia P.

Date of Report

09/10/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	SoFi Lending Corp.	Guaranty of note for son's student loan	L
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Parsons, Marcia P.

Date of Report

09/10/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Capital Bank Checking	A	Interest	K	T					
2. TN Energy Acqu. Rev. Bond	B	Interest	K	T					
3. U.S. Savings Bonds	A	Interest	M	T					
4. IRA #2 (UBS Cus: Fin'ing Corp CPN Zero Coupon Bonds)	A	Interest	J	T					
5. IRA #2 (UBS Cus: Fin'ing Corp CPN Zero Coupon Bonds)	A	Interest	J	T					
6. IRA #2 (UBS Cus: Fed Hom Ln Mtg Corp Zero Coupon Bonds)	A	Interest			Matured	03/15/17	K		
7. IRA #2 (UBS Cus: Fed Nat'l Ass Med Term Zeros)	A	Interest	K	T					
8. IRA #2 (UBS Cus: Bank USA Deposit Account)	A	Interest	J	T					
9. IRA #1 (UBS Cus: Fin'ing Corp CPN FICO Zero Coupon Bonds)	A	Interest			Matured	02/17/17	K		
10. IRA #1 (UBS Cus: Fin'ing Corp. Fed Cpn Zero Coupon Bonds)	A	Interest	L	T					
11. IRA #1 (UBS Cus: Fin'ing Corp CPN Zero Coupon Bonds)	A	Interest	K	T					
12. IRA #1 (UBS Cus: Fin'ing Corp Strip Zero Coupon Bonds)	A	Interest	K	T					
13. IRA #1 (UBS Cus: Fed Nat's Mtg Assn Med Trm Zero Coup Bonds)	A	Interest	J	T					
14. IRA #1 (UBS Cus: Fed Nat's Mtg Assn Zero Coupon Bonds)	A	Interest	L	T					
15. IRA #1 (UBS Cus: Resol Funding Corp. Zero Coupon Bonds)	A	Interest	J	T					
16. IRA #1 (UBS Cus: TN Vally Zero Coupon Bonds)	A	Interest	K	T					
17. IRA #1 (UBS Cus: USA Bank Deposit Account)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Parsons, Marcia P.

Date of Report

09/10/2018

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. IRA #1 (UBS Cus: Jackson National Annuity)	A	Int./Div.	L	T					
19. IRA #1 (UBS Cus: FNMA Zero Coupon Bonds)	A	Interest	J	T					
20. IRA #1 (UBS Cus: FNMA Zero Coupon Bonds)	A	Interest	K	T					
21. IRA #1 (UBS Cus: FNMA Zero Coupon Bonds)	A	Interest	J	T					
22. IRA #1 (UBS Cus: Ohio Nat'l Annuity)	A	Interest	L	T					
23. Hartford Fixed Annuity	C	Distribution	L	T					
24. Great West IRA (100% in Secure Foundation Balanced L Account)	D	Distribution	M	T					
25. NY Life Fixed Annuity	D	Distribution	L	T					
26. TIAA-CREF Retirement Annuity #1 (ASL)	E	Distribution	N	T					
27. UBS Bank USA Deposit Account	A	Interest	J	T					
28. Capital One 360 Checking Account	D	Interest	J	T					
29. AMEX Personal Savings Account	A	Interest			Closed	11/17/17	K		
30. VOYA Variable Annuity #1 Intermediate Bond Portfolio	A	Interest			Merged (with line 43)	01/01/17	K		
31. VOYA Variable Annuity #1 Growth & Income Portfolio	A	Interest			Merged (with line 43)	01/01/17	K		
32. VOYA Variable Annuity #1 Large Cap Growth Portfolio	A	Interest			Merged (with line 43)	01/01/17	K		
33. VOYA Variable Annuity #2 Intermediate Bond Portfolio	A	Interest			Merged (with line 44)	01/01/17	K		
34. VOYA Variable Annuity #2 Growth & Income Portfolio	A	Interest			Merged (with line 44)	01/01/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Parsons, Marcia P.

Date of Report

09/10/2018

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. VOYA Variable Annuity #2 Large Cap Growth Portfolio	A	Interest			Merged (with line 44)	01/01/17	K		
36. VOYA Variable Annuity #3 Intermediate Bond Portfolio	A	Interest	K	T					
37. VOYA Variable Annuity #3 Growth & Income Portfolio	A	Interest	K	T					
38. VOYA Variable Annuity #3 Large Cap Growth Portfolio	A	Interest	K	T					
39. Ally Bank Savings Account	A	Interest	J	T					
40. Ally Bank CD	A	Interest	L	T					
41. UBS Bank USA Salt Lake Utah CD	A	Interest	M	T	Buy	11/22/17	M		
42.									
43. VOYA Annuity #1 (Fixed payment)	C	Distribution	L	T	Open	01/01/17	L		
44. VOYA Annuity #2 (Fixed payment)	C	Distribution	L	T	Open	01/01/17	L		
45. IRA #2 (UBS Cus; HSBC Bank USA CD)	A	Interest	K	T	Buy	03/20/17	K		
46. IRA #1 (UBS Cus; HSBC Bank USA CD)	A	Interest	K	T	Buy	02/17/17	K		
47. Ally Bank CD	A	Interest	K	T	Buy	10/13/17	K		
48. VOYA Annuity #3 (WSCC) (Variable) Vanguard Wellington Fund Adm	A	Int./Div.	J	T	Open	08/15/17	J		
49. VOYA Annuity #3 (WSCC) (Variable) Vanguard Target Retire 2020 Fnd	A	Int./Div.	J	T	Open	08/15/17	J		
50. VOYA Annuity #3 (WSCC) (Variable) Vanguard Target Retire Income Fnd	A	Int./Div.	J	T	Open	08/15/17	J		
51. VOYA Annuity #3 (WSCC) (Variable) VOYA Fixed Plus Account III	A	Int./Div.	J	T	Open	08/15/17	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Parsons, Marcia P.

Date of Report

09/10/2018

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52.									
53.									
54.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting	Date of Report
Parsons, Marcia P.	09/10/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. The TIAA-CREF Retirement Annuity on Line 26 of Part VII of the 2016 report has been renamed TIAA-CREF Retirement Annuity (ASL) #1 and appears on Line 26 of Part VII of this report. The renaming is to distinguish it from new TIAA-CREF Retirement Annuity #2 (WSCC) that appears on Line 42 of Part VII of this report.
2. The funds making up VOYA Retirement Annuity #1 that appeared on Lines 30-32 of Part VII the 2016 report have been merged into the VOYA Retirement Annuity #1 appearing on Line 43 of Part VII of this report to reflect that this investment has been annuitized into a single payment.
3. The funds making up VOYA Retirement Annuity #2 that appeared on Lines 33-35 of Part VII the 2016 report have been merged into the VOYA Retirement Annuity #1 appearing on Line 44 of Part VII of this report to reflect that this investment has been annuitized into a single payment.

Additional Information/Explanations for amended 2017 report:

1. VOYA Retirement Annuity #1 appearing on Line 43 of the original 2017 report has been relabeled as "Voya Annuity #1 (Fixed payment)" to reflect that it is a fixed payment annuity.
2. VOYA Retirement Annuity #2 appearing on Line 44 of the original 2017 report has been relabeled as "Voya Annuity #2 (Fixed payment)" to reflect that it is a fixed payment annuity.
3. TIAA CREF Retirement Annuity #2 (WSCC) appearing on Line 42 of the original 2017 report was incorrectly labeled as a TIAA CREF annuity when it is in fact a VOYA annuity and should have been described in the original 2017 report as "VOYA Annuity #3 (Variable)". In this amended report that investment is listed in Lines 48-51 where the specific funds in which the investment is held are identified. Line 42 in this amended report is left blank for that reason.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Parsons, Marcia P.

Date of Report

09/10/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Marcia P. Parsons**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544